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**THIS IS A MEETING WHICH THE PUBLIC ARE ENTITLED TO ATTEND**

22nd January 2020

Dear Sir/Madam

**EXECUTIVE COMMITTEE**

A meeting of the Executive Committee will be held in Executive Room, Civic Centre, Ebbw Vale on Wednesday, 29th January, 2020 at 10.00 am.

Yours faithfully

Michelle Morris  
Managing Director

**AGENDA**

**Pages**

**1. SIMULTANEOUS TRANSLATION**

You are welcome to use Welsh at the meeting, a minimum notice period of 3 working days is required should you wish to do so. A simultaneous translation will be provided if requested.

**2. APOLOGIES**

To receive.

We welcome correspondence in the medium of Welsh or English. / Croesawn ohebiaith trwy gyfrwng y Gymraeg neu'r Saesneg.

3. **DECLARATIONS OF INTEREST AND DISPENSATIONS**

To receive.

**MINUTES**

4. **EXECUTIVE COMMITTEE** 5 - 20

To consider Minutes of the Meeting held on 18<sup>th</sup> December, 2019.

**GENERAL MATTERS**

5. **CONFERENCES AND COURSES** 21 - 22

To consider the Conferences, Courses and Invitations.

**DECISION ITEMS - CORPORATE SERVICES MATTERS**

6. **FORWARD WORK PROGRAMME - 11TH MARCH 2020** 23 - 30

To receive the report.

7. **REVENUE BUDGET 2020/2021 TO 2024/2025** 31 - 54

To consider the report of the Chief Officer Resources.

**DECISION ITEMS - ENVIRONMENT MATTERS**

8. **REVIEW OF BLAENAU GWENT HOMES ALLOCATION POLICY** 55 - 308

To consider the report of the Team Manager, Housing Solutions and Compliance.

**DECISION ITEMS - REGENERATION & ECONOMIC DEVELOPMENT MATTERS**

9. **LOCAL HOUSING STRATEGY REVIEW** 309 - 314

To consider the report of the Corporate Director Regeneration and Community Services.

**DECISION ITEMS - SOCIAL SERVICES MATTERS**

10. **PROGRESS REPORT - SOCIAL SERVICES** 315 - 340

## **ASSISTED TRANSPORT PROVISION**

To consider the report of the Corporate Director Social Services.

### **MONITORING ITEMS - SOCIAL SERVICES**

#### **11. REGIONAL PARTNERSHIP BOARD**

341 - 348

To consider the report of the Corporate Director Social Services.

To: Councillor N. Daniels (Chair)  
Councillor G. Collier  
Councillor J. Collins  
Councillor D. Davies  
Councillor J. Mason

All other Members (for information)  
Manager Director  
Chief Officers

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**COUNTY BOROUGH OF BLAENAU GWENT**

**REPORT TO:** **THE LEADER AND MEMBERS OF THE EXECUTIVE**

**SUBJECT:** **EXECUTIVE COMMITTEE – 18<sup>TH</sup> DECEMBER, 2019**

**REPORT OF:** **LEADERSHIP AND DEMOCRATIC SUPPORT OFFICER**

**PRESENT:** **Leader of the Council/**  
**Executive Member Corporate Services**  
Councillor N.J. Daniels (CHAIR)

**Deputy Leader of the Council/**  
**Executive Member – Environment**  
Councillor G. Collier

**Executive Member – Regeneration and**  
**Economic Development**  
Councillor D. Davies

**Executive Member – Education**  
Councillor J. Collins

**WITH:** Managing Director  
Corporate Director Education  
Corporate Director Social Services  
Corporate Director Community Services and Regeneration  
Chief Officer Resources  
Chief Officer Commercial  
Head of Legal & Corporate Compliance  
Press Officer

**DECISIONS UNDER DELEGATED POWERS**

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
<b>No. 1</b>	<b><u>SIMULTANEOUS TRANSLATION</u></b>  It was noted that no requests had been received for the simultaneous translation service.	

ITEM	<u>SUBJECT</u>	<u>ACTION</u>
No. 2	<p><b><u>APOLOGIES</u></b></p> <p>An apology for absence was received Councillor J. Mason.</p>	
No. 3	<p><b><u>DECLARATIONS OF INTEREST AND DISPENSATIONS</u></b></p> <p>There were no declarations of interest or dispensations reported.</p>	
	<p><b><u>MINUTES</u></b></p>	
No. 4	<p><b><u>EXECUTIVE COMMITTEE</u></b></p> <p>The minutes of the Executive Committee held on 13<sup>th</sup> November, 2019 were submitted; whereupon:-</p> <p>The Executive Member for Education reported an amendment to Page 20, Item 14, 2019 School Performance For: End of Foundation Phase, Key Stage 2, Key Stage 3, Key Stage 4 (Provisional Only) which should read schools not authorities, as follows:-</p> <p><i>The Executive Member for Education provided an overview of the report and advised that this was a positive report for the Authority. The Corporate Director Education concurred with the comments and advised that schools in Blaenau Gwent were performing in line with similar schools in the South East Region. The Corporate Director added that this was due to the dedication of head teachers, teachers and support staff.</i></p> <p>RESOLVED accordingly.</p> <p>FURTHER RESOLVED, subject to the foregoing, that the minutes be accepted as a true record of proceedings.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
	<b><u>DECISION ITEMS</u></b>	
	<b><u>GENERAL MATTERS</u></b>	
<b>No. 5</b>	<b><u>CONFERENCES/COURSES</u></b>  Consideration was given to attendance at the following:-  <b><u>Armed Forces in Wales and Defence Employer Recognition Scheme Awards 28<sup>th</sup> November 2019</u></b>  RESOLVED that approval be granted for Councillor Brian Thomas, Armed Forces Champion to attend.	
	<b><u>DECISION ITEMS – CORPORATE SERVICES MATTERS</u></b>	
<b>No. 6</b>	<b><u>FORWARD WORK PROGRAMME – 29<sup>TH</sup> JANUARY 2020</u></b>  Consideration was given to the report of the Leader/Executive Member for Corporate Services.  RESOLVED, that the report be accepted and the forward work programme for 29 <sup>th</sup> January, 2020 be noted.	

<u>ITEM</u>	<u>SUBJECT</u>	<u>ACTION</u>
No. 7	<p><b><u>THE CALCULATION OF THE COUNCIL TAX BASE FOR THE YEAR 2020/21</u></b></p> <p>Consideration was given to the report of the Chief Officer Resources.</p> <p>The Chief Officer Resources spoke to the report which had been presented to set the Council Tax Base for the financial year 2020/21. The Chief Officer referred to the option which sought approval to set the council tax base at 20,662.45.</p> <p>RESOLVED that the report be accepted and the Council Tax base calculation for 2020/21 as detailed in Appendix 1 tables 1 to 6 and the Council Tax base for tax setting purposes be 20,662.45 be approved.</p>	
No. 8	<p><b><u>CAPITAL BUDGET MONITORING, APRIL TO SEPTEMBER, 2019/2020 FINANCIAL YEAR</u></b></p> <p>Consideration was given to the report of the Chief Officer Resources.</p> <p>The Chief Officer Resources provided a detailed overview of the report which outlined each portfolio's actual and forecast capital expenditure against funding approvals as at 30<sup>th</sup> September, 2019.</p> <p>The Chief Officer noted that Welsh Government had awarded 100% funding for the Household Waste Recycling Centre and as a result the Councils own resources were no longer required. Therefore, it is proposed the £520,000 be reallocated to the Capital contingency for allocation.</p> <p>In addition during 2019/20 the Council had received Welsh Government Economic Stimulus funding of £444,465. It was proposed that this funding be utilised for the Industrial Units Improvement Programme which would release £280,000 of the Council's own resources to the Capital contingency for allocation at a future date.</p> <p>The Leader welcomed the additional monies to be reallocated to the Capital contingency fund.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
	<p>RESOLVED that the report be accepted and Option 1; namely:-</p> <ul style="list-style-type: none"> <li>• the appropriate challenge to the financial outcomes in the report be provided;</li> <li>• the appropriate financial control procedures agreed by Council be continued;</li> <li>• the budgetary control and monitoring procedures in place to safeguard Authority funding be noted; and</li> <li>• the funding proposals in relation to the Industrial Units Improvement Programme and Household Waste Recycling Centre (HWRC) projects be approved.</li> </ul>	
<b>No. 9</b>	<p><b><u>REVENUE BUDGET MONITORING -2019/2020, FORECAST OUTTURN TO 31 MARCH 2020 (AS AT 30 SEPTEMBER 2019)</u></b></p> <p>Consideration was given to the report of the Chief Officer Resources.</p> <p>The Chief Officer Resources spoke to the report which provided the forecast financial outturn position across all Portfolios for the current financial year and referred to the information related to the actions taken forward to move towards a balanced budget as detailed in the appendices. The Chief Officer noted the impact on the budget and advised that the forecast overall financial position as at 31<sup>st</sup> March, 2020 showed a small adverse variance of £7,200 against the total net budget.</p> <p>The Chief Officer Resources noted the reasons for adverse variances in Education and Environment and outlined the actions taken towards the favourable variance in Social Services. The Chief Officer reported that the Education Portfolio received a virement of £60,000 to assist in costs incurred for Looked After Children attending schools out of Blaenau Gwent.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>						
	<p>The Leader welcomed the good position of the budget which showed excellent budgetary control and was a good example of how CLT, and portfolio holders managed the resources allocated to the Authority. The Deputy Leader concurred with the comments raised and hoped that the position could be sustained going forward.</p> <p>RESOLVED that the report be accepted and the appropriate challenge to the financial outcomes was received. The action plans attached at Appendix 4 to address the forecast adverse variances as at the end of September 2019 be noted and the budget virements attached at Appendix 5 which exceeded £250,000 be approved.</p>							
<b>No. 10</b>	<p><b><u>GRANTS TO ORGANISATIONS</u></b></p> <p>Consideration was given to the report of the Chief Officer Resources.</p> <p>The following additional grants were reported:-</p> <p><b><u>EBBW VALE</u></b></p> <p><b><u>Badminton Ward – Councillor C. Meredith</u></b></p> <table> <tr> <td>1.</td> <td>One Life Autism Support Group</td> <td>£50</td> </tr> </table> <p><b><u>Cwm Ward – Councillor D. Bevan &amp; G. L. Davies</u></b></p> <table> <tr> <td>1.</td> <td>Gayden Barrass</td> <td>£200</td> </tr> </table> <p>FURTHER RESOLVED subject to the foregoing that the report be accepted.</p>	1.	One Life Autism Support Group	£50	1.	Gayden Barrass	£200	
1.	One Life Autism Support Group	£50						
1.	Gayden Barrass	£200						
<b>No. 11</b>	<p><b><u>GRANTS WORKING GROUP - 28TH NOVEMBER 2019</u></b></p> <p>Consideration was given to the notes of the meeting held on 28<sup>th</sup> November, 2019.</p> <p>RESOLVED that the report be accepted and the information contained therein be noted.</p>							

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
<b>No. 12</b>	<p><b><u>GLOBAL RESETTLEMENT PROGRAMME</u></b></p> <p>Consideration was given to the report of the Head of Governance and Partnership.</p> <p>RESOLVED that the report be accepted and Option 1 namely:-</p> <ul style="list-style-type: none"> <li>• the update on current participation be noted;</li> <li>• the continued commitment of support via the Global Resettlement Scheme proportionate to local capacity of services be approved; and</li> <li>• further updates of progress made under the scheme be received.</li> </ul>	
	<p><b><u>DECISION ITEMS - ENVIRONMENT MATTERS</u></b></p>	
<b>No. 13</b>	<p><b><u>ACTIVITIES REPORT – LITTERING AND DOG CONTROL ORDER ENFORCEMENT FOR THE FINANCIAL YEAR 2018/19</u></b></p> <p>Consideration was given to the report of the Corporate Director Regeneration and Community Services.</p> <p>RESOLVED that the report be accepted and Option 2 namely:- the extension of the current contract with Kingdom be approved for a further 12 months (with a 2 month notice period) subject to service and cost information that would need to be modelled as part of a wider review. (Extension of the current contract would be subject to Strategic Procurement Board approval).</p>	

<u>ITEM</u>	<u>SUBJECT</u>	<u>ACTION</u>
	<p><b><u>DECISION ITEMS – REGENERATION &amp; ECONOMIC DEVELOPMENT MATTERS</u></b></p>	
<p><b>No. 14</b></p>	<p><b><u>ENERGY PROSPECTUS</u></b></p> <p>Consideration was given to the report of the Corporate Director Regeneration and Community Services.</p> <p>The Executive Member for Regeneration and Economic Development referred to the topical stories in the media around climate change and advised that the Energy Prospectus demonstrated the proactive approach being taken by the Council to be on the forefront of the reduction of the Authority's carbon footprint. The Executive Member expressed his thanks to officers who had produced the Energy Prospectus and commended their investigations into the available sources of energy.</p> <p>The Executive Member added that the project linked to the Bridging the Gap project and work undertaken around the Council's Carbon Footprint by the Managing Director. The Energy Prospectus would also feed into the Refit Programme and would provide further regeneration opportunities working with Welsh Government, Cardiff Capital Region City Deal and Tech Valleys. The Executive Member for Regeneration and Economic Development felt that there was a need for engagement with partners and constituents on the Prospectus which could be linked into the Authority's aspirations for the new Household Waste Recycling Centre.</p> <p>In conclusion, the Executive Member for Regeneration and Economic Development stated that the Council was at the forefront of this significant project with some of the best officers in Wales leading on the project. He suggested a launch of the project be pursued as there were a number of opportunities to be explored in communities and with schools, businesses and residents.</p>	



<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
	<p>The Corporate Director Regeneration and Community Services concurred with the comments raised and also expressed thanks to Welsh Government who had supported the Authority on this journey. The Corporate Director added that the Council had worked hard and the Energy Prospectus demonstrated the Authority's commitment.</p> <p>The Leader advised that the Energy Prospectus was an excellent project and concurred that a launch would be beneficial to inform people of the work being taken forward by the Authority. The Leader referred to visits made by himself and the Executive Member for Education to School Councils in both primary and secondary schools and advised that at all these meetings pupils had raised climate change and recycling matters. It was encouraging that our younger people in communities are aware of such significant issues. An Education Centre to work with schools would be a superb initiative to be aspired to in the Authority's future plans.</p> <p>RESOLVED that the report be accepted and Option 2 namely:- the energy prospectus (including associated communication plan), be approved enabling a proactive approach in the councils efforts to increase the reliance on renewable sources of energy and associated business and community energy models developed.</p>	
	<p><b><u>DECISION ITEMS - EDUCATION MATTERS</u></b></p>	
No. 15	<p><b><u>QUALITY ASSURING SAFEGUARDING IN LOCAL GOVERNMENT EDUCATION SERVICES (LGES)</u></b></p> <p>Consideration was given to the report of the Corporate Director Education .</p> <p>The Executive Member for Education noted the report which outlined the revised assurance protocol for safeguarding arrangements in Local Government Education Services. The Corporate Director Education added that findings from the process was helpful and would feed into the Local Authority self-evaluation processes.</p> <p>RESOLVED that the report be accepted and the information contained therein be noted.</p>	

ITEM	<u>SUBJECT</u>	ACTION
	<b><u>MONITORING ITEMS - CORPORATE SERVICES</u></b>	
<b>No. 16</b>	<p><b><u>USE OF GENERAL AND EARMARKED RESERVES 2019/2020</u></b></p> <p>Consideration was given to the report of the Chief Officer Resources.</p> <p>RESOLVED that the report be accepted and the attached report of and the planned forecast increase of the General Reserve to 4.58% (above the 4% target level) for 2019/2020 and future years strengthening the Council's Financial Resilience be noted. The Executive considered the impact the £0.007m forecast adverse variance for 2019/2020 would have on the General Reserve target and the challenge on budget overspends be continued along with the implementation of the appropriate service Action Plans, where required.</p>	
<b>No. 17</b>	<p><b><u>SICKNESS ABSENCE PERFORMANCE</u></b></p> <p>Consideration was given to the report of the Head of Organisational Development.</p> <p>The Leader referred to discussions at the Corporate Overview Scrutiny Committee in relation to absence monitoring and from January 2020 each Scrutiny Committee would have individual directorates sickness review reporting as part of their Forward Work Programme for consideration. An overview of these reports would also feed into the Executive for discussion.</p> <p>RESOLVED that the report be accepted and the staff sickness absence performance for 2018/19 and the proposed actions for improvement be noted.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
<b>No. 18</b>	<b><u>STAFF SURVEY 2019 – SUMMARY RESULTS</u></b>  Consideration was given to the joint report of the Managing Director and Chief Officer Commercial.  RESOLVED that the report be accepted and the information contained therein be noted.	
<b>No. 19</b>	<b><u>QUARTER 1 AND 2 (APRIL TO SEPTEMBER) JOINT FINANCE AND PERFORMANCE REPORT</u></b>  Consideration was given to the report of the Head of Partnerships and Governance.  RESOLVED that the report be accepted and the information therein be noted.	
	<b><u>MONITORING ITEMS - EDUCATION</u></b>	
<b>No. 20</b>	<b><u>EDUCATION ACHIEVEMENT SERVICES (EAS), VALUE FOR MONEY REPORT, FINANCIAL YEAR 2018/19</u></b>  Consideration was given to the report of the Corporate Director Education.  RESOLVED that the report be accepted and the information contained therein be noted.	
<b>No. 21</b>	<b><u>IMPROVING SCHOOLS PROGRAMME 2019</u></b>  Consideration was given to the report of the Corporate Director Education.  The Executive Member for Education reported that since the publication of the report Brynmawr Foundation School had been placed in special measures following the Estyn Inspection in October. The Executive Member noted that schools in red and amber categories had made positive progress.	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
	<p>The Corporate Director Education advised that the number of green schools had increased since 2017 with 4 schools, 8 schools in 2018 and 11 schools in 2019. The Corporate Director added that there are 2 schools in the red category, one with complex issues and these schools would continue to be supported to achieve improvements.</p> <p>RESOLVED that the report be accepted and the information contained in the report be considered to contribute to the continued assessment of effectiveness by making further appropriate recommendations for implementation.</p>	
	<b><u>MONITORING ITEMS - SOCIAL SERVICES</u></b>	
<b>No. 22</b>	<p><b><u>PROGRESS ON THE IMPLEMENTATION OF THE SAFE REDUCTION OF CHILDREN LOOKED AFTER STRATEGY 2017- 2020</u></b></p> <p>Consideration was given to the report of the Corporate Director Social Services.</p> <p>RESOLVED that the report be accepted and the information contained therein be noted.</p>	
<b>No. 23</b>	<p><b><u>ADULTS SAFEGUARDING REPORT 1<sup>ST</sup> APRIL TO 30<sup>TH</sup> JUNE 2019</u></b></p> <p>Consideration was given to the report of the Corporate Director Social Services.</p> <p>RESOLVED that the report be accepted and the information contained therein be noted.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
<b>No. 24</b>	<p><b><u>SAFEGUARDING PERFORMANCE INFORMATION FOR SOCIAL SERVICES AND EDUCATION – 1<sup>ST</sup> APRIL TO 30<sup>TH</sup> JUNE 2019</u></b></p> <p>Consideration was given to the report of the Corporate Director Social Services.</p> <p>RESOLVED that the report be accepted and the approach and information detailed in the report be noted.</p>	
	<p><b><u>EXEMPT - DECISION ITEM - REGENERATION AND ECONOMIC DEVELOPMENT</u></b></p>	
<b>No. 25</b>	<p><b><u>EBBW VALE HI TEC TEST FACILITY</u></b></p> <p>Having regard to the views expressed by the Proper Officer regarding the public interest test, that on balance the public interest in maintaining the exemption outweighed the public interest in disclosing the information and that the report should be exempt.</p> <p>RESOLVED that the public be excluded whilst this item of business is transacted as it is likely there would be a disclosure of exempt information as defined in Paragraph 14, Schedule 12A of the Local Government Act, 1972 (as amended).</p> <p>Consideration was given to the report of the Corporate Director Regeneration and Community Services.</p> <p>The Executive Member for Regeneration and Economic Development informed the Executive of the extensive work undertaken by the consultants and the opportunities to be explored. He noted the importance of taking forward the investigations to ascertain if there was potential for further development and advised that the next level would be to explore investment.</p>	

<u>ITEM</u>	<u>SUBJECT</u>	<u>ACTION</u>
	<p>The Corporate Director Regeneration and Community Services added that a meeting had been arranged with Welsh Government to discuss the programme and advised that the Tech Valleys Board had shown support for the facility. The Corporate Director referred to the various companies which had been contacted to measure interest and advised that it had been important that these initial stages had been undertaken to explore all options. If approval was received for market testing the Authority would hold all the appropriate evidence. However, the Corporate Director stated that if no positive outcome was reached from the process he would be satisfied that all options had been explored in order to seek maximum opportunities for Blaenau Gwent.</p> <p>The Managing Director concurred with the comments raised and noted the good work which had been carried out by the Corporate Director. The Managing Director reiterated the importance of identifying evidence as going forward it would be required for investment. It would also show that the Council had been robust around the governance and that the Authority was taking a more proactive approach in working as a commercially minded Council.</p> <p>The Leader noted the comments and commended officers on the amount of work undertaken. The Leader felt that it would be paramount going forward that finances are monitored as part of the in-house team and also requested that financial matters was also included as part of future discussions with the Executive.</p> <p>RESOLVED, subject to the foregoing, that the report which contained information relating to the financial/business affairs of persons other than the Authority be accepted and option 3, namely that market testing be agreed to identify a private sector partner and develop the scheme in partnership to a position where it could be determined whether there was a business case to proceed.</p>	

<b><u>ITEM</u></b>	<b><u>SUBJECT</u></b>	<b><u>ACTION</u></b>
	<b><u>EXEMPT MONITORING ITEM - ENVIRONMENT</u></b>	
<b>No. 26</b>	<p><b><u>SILENT VALLEY WASTE SERVICES LTD PERFORMANCE REPORT</u></b></p> <p>Having regard to the views expressed by the Proper Officer regarding the public interest test, that on balance the public interest in maintaining the exemption outweighed the public interest in disclosing the information and that the report should be exempt.</p> <p>RESOLVED that the public be excluded whilst this item of business is transacted as it is likely there would be a disclosure of exempt information as defined in Paragraph 14, Schedule 12A of the Local Government Act, 1972 (as amended).</p> <p>Consideration was given to the report of the Head of Community Services.</p> <p>RESOLVED, subject to the foregoing, that the report which contained information relating to the financial/business affairs of persons other than the Authority be accepted and the information contained therein be noted.</p>	

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# Agenda Item 5

*Council only*

Date signed off by the Monitoring Officer: N/A

Date signed off by the Section 151 Officer: N/A

## Committee:

**Executive**

Date of meeting:

**29<sup>th</sup> January, 2020**

Report Subject:  
**Report**

**Conferences/Courses**

**General Matters**

Report Submitted by:

**Democratic Services**

Report Written by:

**Democratic Services**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
N/A	N/A	N/A	N/A	N/A	N/A	29.01.2020	N/A	

## 1. Purpose of the Report

To present a list of Conferences/Courses for consideration and determination by the Executive.

## 2. Scope of the Report

### 2.1 Reception and Briefing by The Army Engagement Team at Coleg Gwent Thursday, 13<sup>th</sup> February, 2020

To approve the attendance of Councillor Brian Thomas, Armed Forces Champion.

### 2.2 Social Services Policy Group (SSPG) Learning Workshop and Meeting, 19<sup>th</sup> and 20<sup>th</sup> February, 2020

To approve the attendance of Councillor John Mason, Executive Member for Social Services.

## 3. Options for Consideration

### 3.1 To seek approval for attendance at the above.

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# Agenda Item 6

*Executive Committee and Council only*

Date signed off by the Monitoring Officer: 14.01.20

Date signed off by the Section 151 Officer: 15.01.20

Committee: **Executive Committee**

Date of meeting: **29<sup>th</sup> January 2020**

Report Subject: **Forward Work Programme – 11<sup>th</sup> March 2020**

Portfolio Holder: **All Portfolios**

Report Submitted by: **Cllr Nigel Daniels, Leader of the Council / Executive Member Corporate Services**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
	✓	13.01.20				29.01.20		

1. **Purpose of the Report**
  - 1.1 To present to Members the Executive Committee Forward Work Programme for the Meeting on 11<sup>th</sup> March 2020 for discussion and to update the Committee on any changes.
2. **Scope and Background**
  - 2.1 The Executive Work Programme is a key aspect of the Council's planning and governance arrangements and supports the requirements of the Constitution.

The topics set out in the Forward Work Programme link to the strategic work of the Council as identified by the Council's Corporate Plan, corporate documents and supporting business plans. Effective work programmes are essential to ensure that the work of the Executive makes a positive impact upon the Council's delivery of services.
  - 2.2 The Committee's Forward Work Programme was agreed in July 2019, recognising the fluidity of the document to enable the Committee to respond to urgent and emerging issues, and included timescales when reports will be considered by the Committee. The work programme is managed and implemented by the Performance and Democratic Team under the direction of the Leader and Executive Members.
  - 2.3 The forward work programme for the forthcoming meeting will be presented to Committee on a 6 weekly cycle in order that Members can consider the work programme; request information is included within the reports, as appropriate and / or make amendments to the work programme.
3. **Options for Recommendation**
  - 3.1 **Option 1:** The Executive Committee consider the Forward Work Programme for the meeting on 11<sup>th</sup> March 2020, and:
    - Make any amendments to the topics scheduled for the meetings;

- Suggest any additional invitees that the committee requires to fully consider the reports; and
- Request any additional information to be included with regards to the topics to be discussed.

**Option 2:** The Executive Committee agree the Forward Programme for the meeting on 11<sup>th</sup> March 2020, as presented.

**Background Documents /Electronic Links**

- Appendix 1 – Forward Work Programme - Meeting on 11<sup>th</sup> March 2020

**Executive Committee**  
**Forward Work Programme**

**Executive Meeting Date:** Wednesday 11<sup>th</sup> March 2020

**Report Submission Deadline Date to Liz Thomas:** Wednesday 19<sup>th</sup> February 2020

\*Reports received after this date will be included on the next agenda of Executive

Decision: 16 Items Monitoring: 12 items Information: 12 items
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Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
<b>DECISION ITEMS</b>					
<b>Portfolio:</b> Leader / Corporate Services					
Grants to Organisations	Rhian Daly	<b>Approval</b> To agree the Grants to Organisations.	18.02.20	N/A	N/A
Review of Workforce Strategy	Andrea Prosser	<b>Approval</b> To approve the review of the Strategy linked to Future Generations Act and Corporate Plan.	28.01.20	Corporate Overview – 12.02.20	N/A
Strategic Equality Plan 2020-24	Andrew Parker	<b>Approval</b> To endorse the final plan prior to approval at Council.	28.01.20	Corporate Overview – 12.02.20	26.03.20
<b>Portfolio:</b> Deputy Leader / Environment					
Review of Asset Management Disposal Policy	Paul Miles	<b>Approval</b> To approve the review of the current Disposal Policy to ensure it is fit for purpose.	11.02.20	Community Services – 27.02.20	N/A
Proposed Designation and Declaration of five Local Nature Reserves (LNRs)	Liz Hancocks	<b>Approval</b> To approve the proposal to designate and declare Sirhowy Hill Woodlands, Beaufort Hill Ponds & Woodland, Parc Bryn Bach, Garden City and Central Valley as Local Nature Reserves (LNRs).	17.12.19	Community Services – 16.01.20	N/A
Leisure & Culture Service Review	Anne-Louise Clark	<b>Approval</b> To endorse the service review.	11.02.20	Community Services – 27.02.20	26.03.20
Blaenau Gwent Cemeteries – Future Provision	Alun Watkins / Dave Watkins	<b>Approval</b>	11.02.20	Community Services – 27.02.20	N/A

Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
		Members to consider the burial capacity review of existing cemeteries and the options presented to increase the capacity.			
Pest Control Review	Dave Thompson	<b>Approval</b> To approve the review.	11.02.20	Community Services – 27.02.20	N/A
<b>Portfolio: Education</b>					
School Admissions Policy for Nursery and Statutory Education Statutory deadline for the policy to be agreed and published by the 15 <sup>th</sup> April each year.	Lynn Phillips / Claire Gardner	<b>Approval</b> Members to approve the revised policy.	11.02.20	Education and Learning – 26.02.20	N/A
EAS Business Plan 2020/21 and Progress on the 2019/20 Business Plan	Michelle Jones	<b>Approval</b> Members to endorse the EAS Business Plan.	11.02.20	Education and Learning – 26.02.20	N/A
Penycwm Capacity Review	Clair Gardner	<b>Approval</b> To agree consultation.	14.01.20	Education and Learning – 03.02.20	N/A
Attendance Policy	Lynette Jones	<b>Approval</b> To approve the policy.	14.01.20	Education and Learning – 03.02.20	N/A
21 <sup>st</sup> Century Schools Programme - Gateway Review	Lynn Phillips / Claire Gardner	<b>Monitoring</b> To provide Members with an update on the closure of the 21 <sup>st</sup> Century Schools Band A programme and the progress made on the Band B programme.	17.12.19	Education and Learning – 15.01.20	N/A
<b>Portfolio: Regeneration and Economic Development</b>					
Employment and Skills Plan	Bethan McPherson	<b>Approval</b> Members to approve the local approach to supporting people with employment and skills; which will be aligned with the Enterprise Strategy.	25.06.19	Regeneration – 05.03.20	N/A
Transport Strategy	Ellie Fry	<b>Approval</b> To approve the Transport Strategy.	07.01.20	Regeneration – 23.01.20	N/A

Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
Crowd Funding	Bethan McPherson	<b>Approval</b> To seek approval to engage and be the lead authority for a regional crowd funding platform, providing funding for community based projects.	11.02.20	Regeneration – 05.03.20	N/A
<b>MONITORING ITEMS</b>					
<b>Portfolio: Leader / Corporate Services</b>					
Revenue Budget Monitoring 2019/20	Rhian Hayden	<b>Monitoring</b> To provide members with an expenditure forecast at the end of quarter 3 across all portfolios for 2019/20.	18.02.20	Joint Finance – 09.03.20	N/A
Capital Expenditure Monitoring	Rhian Hayden	<b>Monitoring</b> To provide details of each portfolio's forecast capital expenditure against allocation at the end of quarter 3.	18.02.20	Joint Finance – 09.03.20	N/A
Forecast of General and Earmarked Reserves	Rhian Hayden	<b>Monitoring</b> To present report at the end of quarter 3 detailing the actual and forecast use of general and ear marked reserves.	18.02.20	Joint Finance – 09.03.20	N/A
Preparation for Exiting the EU	Bernadette Elias	<b>Monitoring</b> Update on preparedness for exiting the EU.	28.01.20	Corporate Overview – 12.02.20	N/A
Future Generation Commissioner's Self Reflection Toolkit Blaenau Gwent	Michelle Morris/ Bernadette Elias	<b>Monitoring</b> To receive the Self Reflection Toolkit for Blaenau Gwent.	18.02.20	N/A	N/A
CCTV Progress Report	Bernadette Elias	<b>Monitoring</b> To receive progress update on CCTV.	28.01.20	Corporate Overview – 12.02.20	N/A
<b>Portfolio: Education</b>					
Final School Performance	Michelle Jones	<b>Monitoring</b> To provide members with a summary of performance in line with accountability	11.02.20	Education and Learning – 26.02.20	N/A

Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
		changes to ensure that children and young people are provided with the best start in life. (KS4 final)			
Draft Self evaluation	Lynette Jones	<b>Monitoring</b> Members to endorse the development of the self-evaluation report for Local Government Education Services and agree that the actions arising from the SER are appropriate and aligned to the service needs for children and young people.	11.02.20	Education and Learning – 26.02.20	N/A
Welsh in Education Strategic Plan (WESP) <i>Please note the report and Plan will need to be translated to Welsh by the Directorate.</i>	Lynn Phillips / Claire Gardner	<b>Monitoring</b> Members to review performance annually, and monitor outcomes associated with the WESP in line with the vision, goals, targets and objectives; whilst ensuring that this process informs key strategic priorities from a Welsh-medium perspective.	14.01.20	Education and Learning – 03.02.20	N/A
LAC Provision and Progress	Catherine Edwards / Gavin Metherringham	<b>Monitoring</b> To consider the information provided in the report and identify opportunities to further improve.	14.01.20	Education and Learning – 03.02.20	N/A
<b>Portfolio:</b> Regeneration and Economic Development					
Tech Valleys	Richard Crook	<b>Monitoring</b> The report will provide an opportunity to outline the work to date, key themes and consider opportunities going forward.	07.01.20	Regeneration – 23.01.20	N/A
<b>Portfolio:</b> Deputy Leader / Environment					
National Food Hygiene Rating Scheme (Response to National Audit Findings)	Dave Thompson / Lisa Griffin	<b>Monitoring</b> This report will outline the findings of the audit, the local position, and the actions that re being taken in response to the audit findings. Members' observations and recommendations will be considered as appropriate.	11.02.20	Community Services – 27.02.20	N/A



Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
<b>INFORMATION ITEMS</b>					
Contracts Over £500,000	Clive Rogers	<b>Information</b> Members to receive the progress of projects including area concerns and to satisfy the standing orders for contracts requiring progress reports to Executive.	28.01.20	Corporate Overview – 12.02.20	N/A
Annual Independent Reviewing Officer report	Tanya Evans	<b>Information</b> Statutory responsibility.	28.01.20	Social Services – 13.02.20	N/A
Project 5 (MYST)	Tanya Evans	<b>Information</b> To update members of the work under taken and progress made by the My Support Team	28.01.20	Social Services – 13.02.20	N/A
Welsh Public Library Standards Annual Review	Dave Watkins	<b>Information</b> To update Members on the Annual Assessment from Welsh Government that highlights Blaenau Gwent's performance against the Welsh Public Library Standards.	11.02.20	Community Services – 27.02.20	N/A
Highways Capital Works Programme 2019/20 Update	Clive Rogers	<b>Information</b> To provide Members with update on progress of the current Highways Capital Works Programme for 2019/20.	11.02.20	Community Services – 27.02.20	N/A
Regional Transport Authority (RTA)	Ellie Fry	<b>Information</b> To receive an update on the work of the Regional Transport Authority as part of the Cardiff Capital Region City Deal	07.01.20	Regeneration – 23.01.20	N/A
Regional Skills Partnership (RSP)	Richard Crook	<b>Information</b> To receive an information report on the regional skills partnership work.	07.01.20	Regeneration – 23.01.20	N/A
Annual Progress Review of the Engagement Strategy	Andrew Parker	<b>Information</b> To receive an update on annual progress of the Engagement Strategy.	28.01.20	Corporate Overview – 12.02.20	N/A

Report Title	Lead Officer	Purpose of report	CLT Sign Off Date	Scrutiny Meeting Date	Council Meeting Date
Living Independently in the 21st Century	Alyson Hoskins	<b>Information</b> Members to receive progress against the 8 priorities over the previous 12 months and beyond which are part of the overarching approach to service development.	28.01.20	Social Services – 13.02.20	N/A
School Categorisation	Michelle Jones	<b>Information</b> To inform Members of the new national school categorisation system and Blaenau Gwent school categorisation profile for 2020.	11.02.20	Education and Learning – 26.02.20	N/A
Inspire to Achieve / Work Performance	Lynn Phillips / Joanne Sims	<b>Information</b> Members to receive information on performance in line with targets and spend.	11.02.20	Education and Learning – 26.02.20	N/A
Annual Air Quality	Dave Thompson / Andrew Long	<b>Information</b> Annual report on the Authority's fulfilment of its duties under Part IV of the Environment Act 1995 in relation to air quality management.	11.02.20	Community Services – 27.02.20	N/A

# Agenda Item 7

*Executive Committee and Council only*

Date signed off by the Monitoring Officer: 21.01.20

Date signed off by the Section 151 Officer: 20.01.20

Committee: **Executive Committee**

Date of meeting: **February 2020**

Report Subject: **Revenue budget 2020/2021 to 2024/2025**

Portfolio Holder: **Councillor N. Daniels, Leader / Executive Member**

Report Submitted by: **R Hayden – Chief Officer Resources**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
	14/01/20	20.01.20			28/01/20	29/01/20	06/02/20	

1. **Purpose of the Report**
  - 1.1 This report is presented for Members to consider and recommend to Council the revenue budget for 2020/21. The report
    - i) provides an update on the positive provisional local government settlement for 2020/21 and its impact upon the Council's budget
    - ii) considers and proposes the detailed budget for 2020/21 and indicative budget for 2021/22
    - iii) proposes the level of Council Tax increase for the 2020/2021 financial year in line with the Medium Term Financial Strategy assumptions.
2. **Scope and Background**
  - 2.1 Members will be aware that a revised Medium Term Financial Strategy was agreed by Council in December 2019. This report updates Members with the latest financial position regarding the budget setting process following the announcement of the provisional local government settlement for 2020/21. The report covers the following:

Section	Contents
<b>2.8 – 2.19</b>	National Aggregate External Finance (AEF) Position
<b>2.20 – 2.26</b>	Blaenau Gwent AEF position
<b>5.1.4 - 5.1.5</b>	Aggregated External Finance (AEF) funding compared to MTFS
<b>5.1.6 – 5.1.8</b>	Cost Pressures and Growth
<b>5.1.9 - 5.1.10</b>	Revised funding gap
<b>5.1.11–5.1.18</b>	Treatment of Grants & new responsibilities transferring into the settlement
<b>5.1.19–5.1.24</b>	Bridging The Gap Programme
<b>5.1.25 -5.1.28</b>	Further Budget Considerations
<b>6.1.1</b>	Revenue Budget 2019/20 – half year position
<b>6.1.2</b>	General Revenue Reserves 2019/20 – half year position

- 2.2 The Provisional Settlement contains details of the revenue funding that Welsh

Authorities can expect to receive in 2020/21 in order to allow them to set their budgets and determine levels of Council Tax for that year. It also provides details of the Capital funding that Authorities can expect to receive to fund their Capital Programmes. Indicative figures for the 2021/22 financial year and beyond have not been provided at this stage. The letter from the Minister is attached as Appendix 1.

- 2.3 Revenue funding from Welsh Government (WG) is provided in the form of Aggregate External Finance (AEF) which is made up of the Revenue Support Grant (RSG) and National Non Domestic Rates (NNDR). This AEF funding is un-hypothecated i.e. it is not earmarked for specific services and it is up to individual Councils to decide how to allocate to services in order to fit with their priorities (while taking into account statutory responsibilities).
- 2.4 Revenue funding also comes in the form of specific grants, in which case the funding is earmarked for a particular purpose. It is the long term intention of the WG that the number of specific grants is reduced, with funding being transferred into the AEF, giving Local Authorities more flexibility on distributing funding to services. Hence, this form of funding should diminish over time.
- 2.5 Capital funding is provided in the form of General Capital funding, made up of an Un-hypothecated Supported Borrowing (USB) approval and General Capital Grant approval. The USB approval is a borrowing approval for which revenue support is provided within the AEF to cover debt financing costs i.e. principal and interest. It is un-hypothecated, meaning that borrowing can be carried out for any capital purpose. The General Capital Grant, as its name suggests, is a grant that can be used for any capital purpose.
- 2.6 The funding information contained within the provisional settlement has enabled this report to be produced, bringing together sections covering the revenue budget setting process.
- 2.7 The positive provisional settlement combined with the opportunities identified in the Bridging the Gap programme means that the Council is able to invest in key priorities, avoid cuts to services and enhance its financial resilience.
- 2.8 **National Position (All Wales)**
- 2.9 The overall headline increase in AEF quoted by WG is 3.9%, after taking into account transfers into the settlement. Compared to the Welsh average increase of 4.3%, this places Blaenau Gwent in the bottom third of the all-Wales table (see Appendix 2).
- 2.10 There have been four transfers into the settlement, as follows:-
- £39.112m for the teachers' pensions grant
  - £12.018m for the teachers' pay grant
  - £1.9m for NHS funded nursing care
  - £151k for the Coastal Risk Management Programme

- 2.11 It will be a matter for each council to decide whether to passport all or some of this funding to the relevant budget holders.
- 2.12 Certain Specific Revenue Grants data has only been published on an all-Wales basis, totalling £982m. Funding for some grants has increased eg Regional Consortia School Improvement Grant, PDG Access and Social Care Workforce and Sustainability Pressures Grant. In addition, there is a new grant for Additional Learning Needs. Some grants have decreased eg the Sustainable Waste Management Grant.
- 2.13 In terms of capital grants, there are some significant increases in the Targeted Regeneration Investment Programme, 21<sup>st</sup> Century Schools, Welsh Medium Education, Active Travel Fund and the Childcare Offer.
- 2.14 Further details of the specific grants for local government will be published alongside the final RSG settlement in February 2020.
- 2.15 As RSG increases range from 3% to 5.4%, the Minister has concluded that there is no need for a funding floor mechanism in this instance.
- 2.16 Although not specifically referenced in the Minister's letter, the current policy and funding arrangements for Council Tax Reduction Schemes (CTRS) continues into 2020/2021. Consequently, £244 million continues to be included in the Settlement for funding the Council Tax Support Scheme. This reflects the costs of the Scheme when it was first established in 2013/2014, but again means that any additional costs resulting from increases in council tax levels or caseload for 2020/2021 will fall to Local Authorities.
- 2.17 The non-hypothecated general capital funding for 2020-21 will be £198 million (including £20 million for public highways refurbishment grant) - an increase of £15 million over that announced in the Final Budget last year.
- 2.18 The Minister states this is a good settlement and one which should alleviate some of the anticipated pressures. The Minister also notes that the latest tax base figures have been used (and so there should be no amendment to the final settlement as a result of this), but cannot guarantee that there will be no further changes, resulting from a new UK Government.
- 2.19 The provisional settlement announcement marks the start of a seven week consultation period which ends on 3<sup>rd</sup> February 2020. After this the Minister will consider whether further amendments are to be made, before the final settlement is announced on 25<sup>th</sup> February 2020.
- 2.20 **Blaenau Gwent Position**
- 2.21 The headline increase for BGCBC after allowing for transfers is 3.9% (£4.3m), compared to the all Wales increase of 4.3%. Changes across Wales range from 5.4% for Newport to 3% for Monmouthshire.

This positive settlement combined with the opportunities identified in the Bridging

the Gap programme means that subject to the recommendations in the report being agreed, the Council is able to agree a two year budget providing a level of certainty to Residents, Members and staff.

- 2.22 The Standard Spending Assessment (SSA) increase, quoted as 5.6%, is the lowest in Wales (compared to an all Wales increase of 6.4%).
- 2.23 The SSA is the detailed funding formula that is intended to reflect variations in the need to spend which might be expected if all Welsh Authorities responded in a similar way to the demand for services in their area. Indicators of need include population, pupil numbers, deprivation factors, population dispersion etc. The SSA is the mechanism for distributing RSG which enables Authorities to ***theoretically*** charge the same council tax for the provision of a similar standard of service. However, if Authorities don't spend at SSA level, then council tax levels will not be the same, which in practice, is what happens.

- 2.24 Changes in SSA data and formulae with a significant impact in Blaenau Gwent are explained below:

***Population*** – Blaenau Gwent's population has increased by 0.2% compared to the Welsh average increase of 0.1%.

Population indicators make up a large proportion of the cost driver indicators within the SSA formula.

***Education*** – Primary pupil numbers have increased by 1.3% compared to the Welsh average reduction of 0.2%. Secondary school pupil numbers have fallen by 1%, compared to the Welsh average increase of 2%. Free School meals data has been frozen at the 2018 level.

All these factors have resulted in an overall increase to Blaenau Gwent's Education SSA. For information, BGCBC has consistently spent above the Education SSA on the Education services it provides.

***Deprivation Indicators*** - IS (Income Support)/ JSA (Job seekers Allowance) /PC (Pension Credit)/ UC (Universal Credit)/ not in employment claimants have fallen by 6% compared to the Welsh average decrease of 3%. This has had an impact on the SSA increase.

- 2.25 The Authority remains the highest receiver of Aggregate External Finance (RSG plus NNDR) on a per capita basis.
- 2.26 General Capital Funding has increased by £29,000 from 2019/2020 to £3.87 million.

### 3. Options for Recommendation

### 3.1 **Option 1 (preferred option)**

- 3.1.1 Subject to the decisions on the following recommendations, Members recommend to Council the 2020/2021 revenue budget and high level indicative budget for 2021/2022 (as per Appendix 5 and para 6.4.1).
- 3.1.2 Members to provide comment on the outcomes within the overall provisional RSG Settlement and note the potential for further change in the Final RSG Settlement (paras 2.8 – 2.19).
- 3.1.3 Members to provide comment on the outcomes within the BGCBC provisional RSG Settlement and its impact upon the Medium Term Financial Strategy (paras 2.20 – 2.26)
- 3.1.4 Members to consider and recommend to Council the updated cost pressures and growth items (£2m in total) identified in Appendix 3 (paras 5.1.6 – 5.1.8) for inclusion in the Council's budget.  
This includes the establishment of a cross cutting Transformation budget of £500,000 to be utilised to implement / deliver opportunities for Blaenau Gwent.
- 3.1.5 Members to consider the grants transferring into the settlement and recommend to Council "passporting" expenditure from these former specific grants / additional funding into the Council's budget (paras 5.1.11 – 5.1.18).
- 3.1.6 Members consider and recommend to Council that any achievement of Bridging the Gap proposals which exceeds the in year budget requirement be transferred into an earmarked reserve to support medium term financial planning, specifically for the later years of the Medium Term Financial Strategy (para 5.1.28).
- 3.1.7 Members recommend to Council a Council tax increase of 4% for 2020/21 (para 5.1.3) as per the MTFS assumptions.

### 3.2 **Option 2**

- 3.2.1 Members consider and suggest alternative proposals.

## 4. **Evidence of how does this topic supports the achievement of the Corporate Plan / Statutory Responsibilities / Blaenau Gwent Well-being Plan**

- 4.1 The budget setting process will enable a greater alignment between the Medium Term Financial Strategy (MTFS) and the Corporate Plan. This will be evidenced in the budget reports to Joint Scrutiny, Executive and Council.

## 5. **Implications Against Each Option**

### 5.1 **Impact on Budget (short and long term impact)**

- 5.1.1 The most recent iteration of the MTFS (agreed by Council on December 12<sup>th</sup> 2019) indicates (prior to the identification of budget reductions) funding gap

figures of:-

5.1.2 **Table 1 – MTFS Funding Gap (as at 12<sup>th</sup> December 2019)**

2020/21	2021/22	2022/23	2023/24	2024/25	Total
£3.2m	£2.5m	£4.7m	£2.9m	£2.9m	£16.2m

5.1.3 These are based upon a number of assumptions including:

- cash flat level of funding from WG for 2020/2021 onwards
- a Council Tax increase of 4% per annum

5.1.4 **AEF Funding Compared to MTFS**

5.1.5 Clearly, the provisional settlement increase in funding of £4.3m (3.9%) for 2020/2021 for Blaenau Gwent is better than the MTFS modelled position of 0%. As a result of a better than anticipated settlement, the original funding gap can now be revised. Table 2 takes account of the provisional settlement and revised cost pressures.

5.1.6 **Service Cost Pressures and Growth**

5.1.7 Existing and new service cost pressures items totalling £1.095m for 2020/2021, £0.53m for 2021/2022, have been included in the MTFS and the funding gap identified above. £1m per annum has been assumed for 2022/2023 onwards.

5.1.8 Following the provisional settlement these have been reviewed and updated and now total £2m for the 2020/2021 financial year. These revised cost pressures and growth items are included at Appendix 3, the changes to those agreed by Council in December 2019 include::

- Teachers Pay and Pensions – The settlement only provided funding to cover the increase in costs for a 7 month period. The resulting cost pressure for the remaining 5 months of the financial year is assessed as £0.76m.
- Sustainable Waste Management Grant (£1.8m All Wales reduction in grant) – resulting in a cost pressure of £35,000, on top of the current years cost pressure of £50,000 (£85,000 total).
- Sustainable Social Services grant – the settlement identifies a significant increase in this specific grant for 2020/21. This should allow the service to manage costs / budgets without the requirement for an increase in its core funding. The previously agreed cost pressure of £392,000 can therefore be reduced / removed.
- Transformation Budget - It would be prudent to establish a Transformation



Budget which could be utilised for example to implement / deliver transformational projects and investments across the Council – this will reduce the requirement to utilize earmarked reserves. It is proposed that this budget be set at £500,000.

5.1.9 It is proposed that the revised cost pressures and growth items are agreed and built into the Council's budget from 2020/21.

5.1.10 **Table 2 - Funding Gap based on 2020/2021 Provisional RSG\***

	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025
	£000s	£000s	£000s	£000s	£000s
<b>Funding</b>					
AEF	(116,063)	(116,063)	(116,063)	(116,063)	(116,063)
Council Tax @ 4%	(34,591)	(35,974)	(37,413)	(38,910)	(40,466)
Total Funding	(150,654)	(152,037)	(153,476)	(154,973)	(156,529)
Draft Estimates - Prior to savings	147,307	152,528	158,021	162,215	166,468
Funding Gap /(surplus)	(3,347)	491	4,545	7,242	9,939
Cost Pressures/growth	2,046	529	1,000	1,000	1,000
Contribution to Reserves	200	200	200	200	200
<b>Funding Gap /(surplus)</b>	<b>(1,101)</b>	<b>1,220</b>	<b>5,745</b>	<b>8,442</b>	<b>11,139</b>

\*the above funding gap is prior to Bridging the Gap opportunities being applied

5.1.11 **Treatment of Grants and new responsibilities transferring into the Settlement**

5.1.12 The draft estimate figures currently do not include these amounts as transferring into the budget as they were only announced as part of the 2020/2021 settlement. As the grants are transferring into the settlement, they will no longer be received as a specific grant, but instead are built into the AEF funding.

5.1.13 The provisional settlement includes the transfer of two specific grants and additional funding totalling £1.122m into the RSG. The impact upon Blaenau Gwent is :-

- £253,000 - funding to assist with the cost pressure relating to the pay award for teachers. This funding covers the costs for 7 months.
- £822,000 - funding to assist with the costs of the employers

contribution to the teacher's pension scheme. This funding covers the costs for 7 months.

- £47,000 – funding in relation to NHS Funded Nursing care.

5.1.14 All Councils will need to consider whether they want to continue to support the activity formerly funded by specific grant and additional funding or use the resources for other purposes. If the Council does not agree to passport funding in this way, there will be a new cost pressure within these budgets.

5.1.15 It is proposed that the grants transferring into the settlement are passported to relevant budgets from 2020/21.

***Impact Upon the Individual Schools Budget (ISB)***

5.1.16 Passporting the grants transferring into RSG (£1.075m for teachers pay and Pensions) and agreeing to fund the schools cost pressures (£1.16m) identified in Appendix 3 (£0.76m for 5months teachers' pay & pensions and £0.395m for an increase in pupil demographics) will result in an increase to the ISB of approximately 5%, slightly above the increase Council will receive of 4.9%.

5.1.17 The table below shows the revised overall position, assuming Council agrees to passport grants transferring into the settlement directly to relevant budgets, as:

5.1.18 **Table 3 – Revised Funding Gap (assuming Grants passported)**

	2020/2021 £'000	2021/2022 £'000	2022/2023 £'000	2023/2024 £'000	2024/2025 £'000
<b>Provisional Funding Gap (Surplus)/Deficit</b>	<b>(1,101)</b>	<b>1,220</b>	<b>5,745</b>	<b>8,442</b>	<b>11,139</b>
<b>Grants transferring into settlement:-</b>					
Teachers pay award	253	253	253	253	253
Employers Pension Contributions - Teachers	822	822	822	822	822
NHS Funded Nursing Care	47	47	47	47	47
<b>Total Grants transferring In</b>	<b>1,122</b>	<b>1,122</b>	<b>1,122</b>	<b>1,122</b>	<b>1,122</b>
<b>Revised Funding Gap</b>	<b>21</b>	<b>2,342</b>	<b>6,867</b>	<b>9,564</b>	<b>12,261</b>

5.1.19 **BRIDGING THE GAP – Position Statement**

5.1.20 The table below provides a summary of the current estimated achievement of the

Strategic Business Reviews:

5.1.21 **Table 4 – Estimated Achievement of Bridging the Gap Strategic Business Reviews**

Estimated Achievement Range:	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025
	£'000	£'000	£'000	£'000	£'000
Low	1,465	914	1,346	800	800
High	2,141	1,374	1,856	1,300	1,300

5.1.22 Details of the workstreams within Bridging the Gap have been scrutinised by relevant scrutiny committees during November / December 2019 and were agreed by Council as part of the MTFS (Appendix 4).

5.1.23 It is proposed to factor in the estimated lower level achievement of the proposals into the budget which will result in the funding gap/(surplus) as follows:-

5.1.24 **Table 5 – Funding Gap / (Surplus) After Applying the Bridging The Gap Estimated Achievement**

	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025
	£000s	£000s	£000s	£000s	£000s
<b>Revised Funding Gap</b>	<b>21</b>	<b>2,342</b>	<b>6,867</b>	<b>9,564</b>	<b>12,261</b>
BTG low current year	(1,465)	(914)	(1,346)	(800)	(800)
BTG prev. year		(1,465)	(2,379)	(3,725)	(4,525)
<b>Final funding Gap/(surplus)</b>	<b>(1,444)</b>	<b>(37)</b>	<b>3,142</b>	<b>5,039</b>	<b>6,936</b>

5.1.25 Further budget considerations:-

5.1.26 The better than anticipated settlement will enable the consideration of a number of other factors, in balancing the budget for 2020/2021 and beyond.

5.1.27 ***Transfer to Earmarked Reserves***

It is proposed that the achievement of Bridging the Gap which exceeds the

budget requirement be transferred into an earmarked reserve to support medium term financial planning, specifically for the later years of the Medium Term Financial Strategy.

## **5.2 Risk including Mitigating Actions**

- 5.2.1 *MTFS Outcomes are not delivered as expected* – this risk will be mitigated by regular monitoring of proposals, which will also be reported through the quarterly budget monitoring reports to the Joint Budget Scrutiny Committee and Executive.
- 5.2.2 *Council may fail to set a balanced budget within the legal timeframe.* This will be mitigated by ensuring that Members consider the budget in February 2020.
- 5.2.3 *If grants and new responsibilities are not passported through to services, this will result in budget pressures in the next financial year.* This can be mitigated by Members agreeing to passport the financial resources identified.
- 5.2.4 *If Welsh Government does not provide indicative figures for Revenue Support Grant into the medium term, there is a risk that budget planning will be adversely affected.* This risk can be mitigated somewhat by robust medium term financial planning.
- 5.2.5 *There is a risk that Brexit will have an adverse financial impact on the procurement of goods and services.* This risk can be mitigated through the governance processes that the Council has introduced to monitor the impact of Brexit.

## **5.3 Legal**

- 5.3.1 The Council has a legal duty to set a balanced budget.

## **5.4 Human Resources**

- 5.4.1 The positive provisional settlement combined with the opportunities identified in the Bridging the Gap programme means that the Council is able to invest in key priorities and avoid cuts to services.
- 5.4.2 Consequently, only 2 post reductions have been identified within the 2020/21 budget and it is anticipated these will be achieved through voluntary redundancies. There will be service change implications arising from the continued commercial activity and transformation of services, which may impact upon staff in the future, however no compulsory redundancies are envisaged at this stage.

## **6. Supporting Evidence**

## 6.1 ***Performance Information and Data***

### 6.1.1 **Revenue Budget 2019/20 – Half Year Position**

At the end of September 2019, i.e. the half year position for the current financial year, Portfolios and Committees forecast a relatively small end of year over spend of £7,000.

### 6.1.2 **General Revenue Reserves 2019/20 – Half Year Position**

As at the end of September 2019 it is forecast that the General Reserve will increase from the 31<sup>st</sup> March 2019 level of £5.89million, to £6.14 million. This addresses the improvement needed in reserves which was highlighted by the Wales Audit Office in the Annual Audit Letter and supports the strengthening of the Council's financial resilience.

## 6.2 **Expected outcome for the public**

- 6.2.1 The proposed budget will enable the Council to protect front line services and jobs, to invest in its priority areas and to continue its work to become a more commercial and resilient organisation.

## 6.3 **Involvement (consultation, engagement, participation)**

- 6.3.1 The Council's approach to financial planning and the better than expected Welsh Government budget settlement means that there will be no impact on frontline services in the financial year 2020-21. As a result, the consultation on the budget focuses on people's views on the proposed Council Tax increase for 2020/21.

## 6.4 **Thinking for the Long term (forward planning)**

- 6.4.1 The Council is moving to medium to long term financial planning. This is evidenced by the following:-

- Budget proposals will be considered on a five year rolling programme basis.
- The MTFS as agreed by Council in December 2019 covers the period 2020/2021 to 2024/2025 and will roll forward one year, every year. Assuming that all recommendations included within the report are agreed, a high level revised MTFS has been produced at Appendix 5, demonstrating a balanced budget for 2020/2021 and a balanced high level indicative budget for 2021/2022.
- Proposals within the Bridging the Gap programme are taking a long term view of opportunities for development.
- The current capital programme covers the period 2019/2020 to 2025/2026 and will roll forward by one year (as a minimum) every year.

## 6.5 **Preventative focus**

6.5.1 An increasing proportion of the Council's budget is invested into early intervention and prevention activities to prevent the escalation into more complex, high cost services.

6.6 **Collaboration / partnership working**

6.6.1 The report and accompany information has been developed in partnership with budget holders across all service areas.

6.7 **Integration(across service areas)**  
N/A

6.8 **EqlA(screening and identifying if full impact assessment is needed)**

6.8.1 Screening has been undertaken on the Bridging the Gap proposals.

7. **Monitoring Arrangements**

7.1 *State how the work will be monitored e.g. through scrutiny or directorate performance management arrangements*

7.1.1 Wider Corporate leadership Team, political groups, Joint Budget Scrutiny, Executive and Council.

**Background Documents /Electronic Links**

- Appendix 1 – Welsh Government Letter Revenue and Capital Settlement
- Appendix 2 – Changes to AEF 2018-2019 to 2019-20 by Local Authority
- Appendix 3 – Medium Term Financial Strategy 2020/21 to 2024/25 – Cost Pressures
- Appendix 4 – Bridging the Gap – Proposed Savings 2020/21
- Appendix 5 – Medium Term Financial Strategy – Based on the Provisional Settlement



Our ref: MA/JJ/5755/19

To:  
Leaders of County and County Borough Councils in Wales

Copied to:  
Chief Executives and Directors of Finance, County and County Borough Councils in Wales  
Chief Executive and Director of Finance, Welsh Local Government Association

16 December 2019

Dear Colleagues

Today I am announcing details of the Provisional Local Government Revenue and Capital Settlements for 2020-21 for county and county borough councils in Wales through a Cabinet Written Statement. This is attached for your information.

This announcement outlines my intention to set local government core revenue funding for 2020-21 at £4.474 billion. I am pleased this means, **after adjusting for transfers, overall core funding for local government in 2020-21 will increase by 4.3 per cent on a like-for-like basis compared to the current year.** While this does not mean austerity is over and there are, I know significant pressures, I hope that this significant increase can help you support and continue local critical and valued services.

Funding for schools has been a particular consideration of our budget discussions this year. Within this settlement, **we have provided funding for additional costs arising from the UK Government's announced changes to employer pension contributions. We have also provided funding for additional costs arising from the 2019/20 teachers' pay deal for the remainder of the academic year and have provided funding beyond this to recognise the future impacts of teachers' pay awards which will come into effect from September 2020.** We are also continuing to provide funding for our proposals for new eligibility criteria for free school meals, given the continued rollout of Universal Credit by the UK Government.

I have given careful consideration to the potential of including a funding floor for this settlement. Given that every authority will see an increase of at least 3% over 2019-20 on a like-for-like basis, I have concluded that a funding floor is not necessary in this particular instance. This will also enable us to reset the settlement base and fund authorities according to relative need, and not to make up for the implementation of historic floors.

Canolfan Cyswllt Cyntaf / First Point of Contact Centre:  
0300 0604400

Bae Caerdydd • Cardiff Bay  
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CF99 1NA

[Gohebiaeth.Julie.James@lyw.cymru](mailto:Gohebiaeth.Julie.James@lyw.cymru)  
[Correspondence.Julie.James@gov.Wales](mailto:Correspondence.Julie.James@gov.Wales)

Rydym yn croesawu derbyn gohebiaeth yn Gymraeg. Byddwn yn ateb gohebiaeth a dderbynnir yn Gymraeg yn Gymraeg ac ni fydd gohebu yn Gymraeg yn arwain at oedi.

We welcome receiving correspondence in Welsh. Any correspondence received in Welsh will be answered in Welsh and corresponding in Welsh will not lead to a delay in responding.

The non-hypothecated general capital funding for 2020-21 will be £198 million (including £20 million for public highways refurbishment grant) - an increase of £15 million over that announced in the Final Budget last year. I hope that this additional funding will enable you to respond to the urgent need to decarbonise, in light of the climate emergency declared by the Welsh Government and many councils over the past year.

We have discussed, before, our shared recognition of the need to invest in the supply of housing. Investing in social housing should minimise the pressures on local authority budgets and on homelessness services. Investment in housing can also support the Welsh economy and local economies. I hope that this settlement, capital and revenue, can support you in increasing the scale and pace of housebuilding across Wales.

The draft *Local Government Finance Report* and additional tables containing details of the provisional settlement by individual authority are also being published on the Welsh Government website. These tables include the individual authority allocations of Aggregate External Finance (AEF), comprising Revenue Support Grant (RSG) and redistributed Non-Domestic Rates (NDR). As we have discussed through FSG, we are also providing information on revenue and capital grants which are planned for 2020-21. This information will be further updated for the final settlement.

The delay in the publication of the provisional settlement has enabled us to draw on the latest tax-base figures for 2020-21, meaning that there should be no change between provisional and final settlements as a result of updates to the tax base.

This settlement provides you with the most stable platform I can offer for planning your budgets for the forthcoming financial year. I fully appreciate the pressures local government is facing and am committed to shielding local government from the worst effects of austerity. This is a good settlement that should alleviate some of the pressures that you had been anticipating and offers an opportunity for local government to plan for the future. While I can't guarantee that there will be no changes between the provisional and final settlements, due to the financial uncertainty that comes with a new UK Government, I can assure you that I do not intend on making any significant changes to the methodology or the data underpinning the distribution of this settlement.

My announcement today launches a 7-week period of formal consultation on the provisional local government settlement for 2020-21. I would be grateful if you could ensure your response arrives no later than **Monday, 3 February 2020**. All responses to this consultation should be sent to:

Simon Edwards  
Local Government Finance and Workforce Partnerships Division  
Welsh Government, Cathays Park, Cardiff, CF10 3NQ.  
Or email: [LGFPSettlement@gov.wales](mailto:LGFPSettlement@gov.wales).

Comments are invited about the effects (whether positive or adverse) the proposed settlement would have on opportunities for people to use the Welsh language and on treating the Welsh language no less favourably than the English language. In addition, we invite comments on whether the proposed settlement could be formulated or revised to have positive effects, or decreased adverse effects, on opportunities for people to use the Welsh language and on treating the Welsh language no less favourably than the English language.

The Welsh Government intends to publish a summary of the responses. Normally, the name and address (or part of the address) of each respondent are published along with the response. If you do not wish to be identified as the author of your response, please ensure you state this explicitly in your response.



Copies of responses may be placed in the Welsh Government's library. If you wish your comments to remain confidential, please make this clear in your reply. This will be considered in light of our obligations under the Freedom of Information Act. The Welsh Government will consider all responses received by the due date before the final determination is made and published.

Local authorities are reminded of the requirement to comply with the general equality duties set out in the Equality Act 2010, and also the specific equality duties where applicable. The equality impacts of budgetary options should be assessed and inform any final decisions.

Authorities also need to take account of your duties under the Well-being of Future Generations (Wales) Act 2015 and the Welsh language standards in preparing plans for 2020-21.

This provisional settlement provides you with the information you need to plan your budgets for 2020-21 and to consider how best you engage with your local communities in formulating your proposals and making budget decisions.

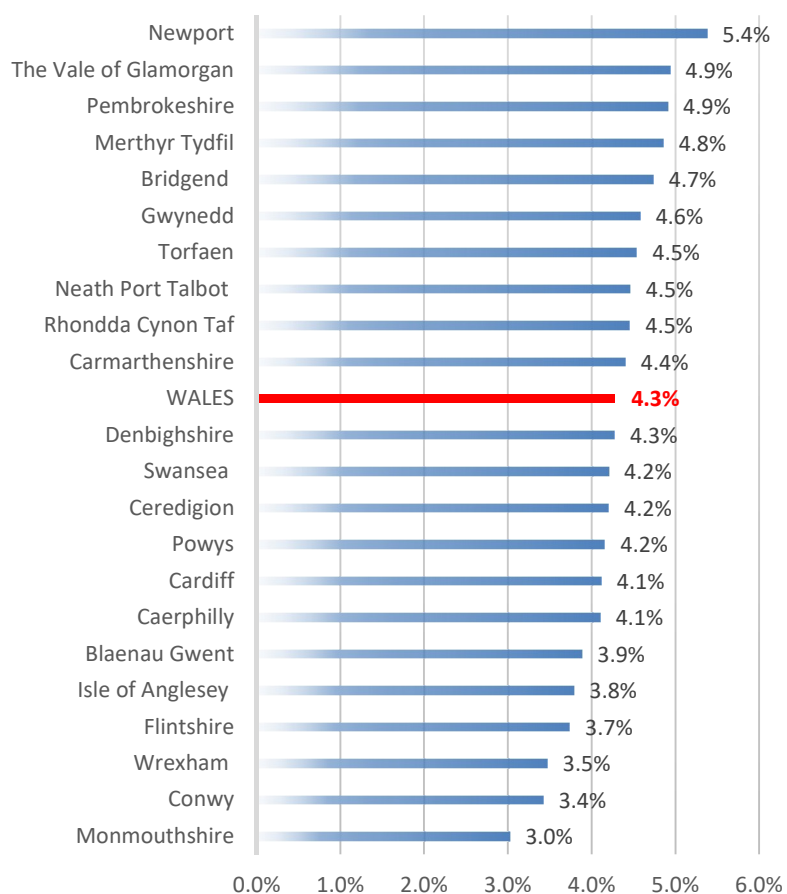
Yours sincerely

**Julie James AC/AM**

Y Gweinidog Tai a Llywodraeth Leol  
Minister for Housing and Local Government

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## Changes to AEF, 2018-19 to 2019-20 by local authority



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**MEDIUM TERM FINANCIAL STRATEGY 2020/21 TO 2024/25 - COST PRESSURES**

PORTFOLIO	SERVICE	2020/2021 £	2021/2022 £	2022/2023 £	2023/2024 £	2024/2025 £
<b><u>COST PRESSURES</u></b>						
<b>Corporate Services</b>						
	Housing Benefit	107,000				
		<b>107,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Social Services</b>						
	Community Care	-	162,000			
		<b>-</b>	<b>162,000</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Education</b>						
	Teachers Pay & Pensions	766,000				
		<b>766,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Environment</b>						
	Cemeteries	50,000				
	Sustainable Waste Management	85,000				
	Grant Reduction					
		<b>135,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Planning</b>						
	Review of LDP		133,000			
	Development of SDP	27,000	-	-	-	-
		<b>27,000</b>	<b>133,000</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>All Portfolios</b>						
	Cardiff City Deal	16,800	34,500			
	Loan Interest Costs	108,000				
	Highways Prudential Borrowing funding		200,000			
		<b>124,800</b>	<b>234,500</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL EXISTING COST PRESSURES</b>						
		<b>1,159,800</b>	<b>529,500</b>	<b>-</b>	<b>-</b>	<b>-</b>

**GROWTH**

<b>Education</b>	Pupil Population	229,500		182,000		152,200
	Pupil Population at Pen y Cwm	165,000				
		<b>394,500</b>	<b>-</b>	<b>182,000</b>	<b>-</b>	<b>152,200</b>
<b>All Portfolios</b>	Transformation Budget	<b>492,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL GROWTH</b>		<b>886,500</b>	<b>-</b>	<b>182,000</b>	<b>-</b>	<b>152,200</b>
<b>OVERALL TOTALS</b>		<b>2,046,300</b>	<b>529,500</b>	<b>182,000</b>	<b>-</b>	<b>152,200</b>

## Bridging the Gap - Proposed Savings 2020/2021

Saving Proposal	Low Estimated Achievement	Potential Impact on Post Numbers
<b>Overall Savings target</b>	£ 1,465,000	
<b>1 Third Party Expenditure</b> a Contract Management - Removing of Inflation applied to the Draft Estimates b Early Settlement Terms / Increased use of the Procurement Card	550,000 500,000 50,000	
<b>2 Fees &amp; Charges</b> a Stretched Income Targets b Increase in Fees & Charges of 5.5% from April 2020	200,000 105,185 94,815	
<b>3 Property &amp; Asset Review</b> Corporate Landlord - Reduction in the Rates & Maintenance Budgets following the CAT transfer / Selling/demolition: a Worcester St - £13,630 Brynmawr District Office - £24,730 Greenacre - £1,600	130,000 73,000	
Corporate Landlord - Reduction in the Rates & Maintenance Budgets re: Buildings to be sold: b Bryngwyn Primary & Queen St Primary - £18,000	18,000	
b Corporate Landlord - Review of rates paid and rateable values c Review of leasehold payments d Increase income re: Land and other charges e Net reduction in Anuerin Leisure Trust management fee e Energy Costs - Reduction in budget due to efficiency savings identified following implementation of RE:FIT <div style="text-align: right;">             - Corporate Landlord Buildings              - Street lighting           </div>	3,760 28,000 7,240 tbd	
<b>4 Growth Strategy</b>	220,000	

<b>Saving Proposal</b>		<b>Low Estimated Achievement</b>	<b>Potential Impact on Post Numbers</b>
a	Council Tax - Increase in collection following the removal of the Empty Property Discount	170,000	
b	Council Tax Income	50,000	
<b>5</b>	<b>Industrial Portfolio Review</b>  - Review of service charges and insurance to pass onto Tenants - Increase income through Investment of capital funding to upgrade units	100,000	
<b>6</b>	<b>Commercial Waste</b>  Service review - implementation 1/4/2020	23,000	
<b>7</b>	<b>Low Carbon</b>  Removal of the Carbon Reduction Commitment Budget	138,000	
<b>8</b>	<b>Work Place Transformation</b> Cost reduction Measures - ICT Budget - Rationalising systems - Managing Suppliers - Review of printing, scanners, postage etc - Review of telephony	50,000	
<b>9</b>	<b>Income Recovery</b>  Review of Income Recovery activities	54,000	2.00
<b>TOTAL POTENTIAL SAVINGS</b>		<b>1,465,000</b>	-
<b>TOTAL ACHIEVEMENT</b>		<b>1,465,000</b>	



## MEDIUM TERM FINANCIAL STRATEGY -BASED ON THE PROVISIONAL SETTLEMENT

	2020/2021 £	2021/2022 £	2022/2023 £	2023/2024 £	2024/2025 £
<b>Draft Expenditure</b>					
Draft Estimates	147,307	152,528	158,200	160,070	159,799
Grants transferred in	1,122	1,122	1,122	1,122	1,122
Revenue Grants	22,170	22,170	22,170	22,170	22,170
Assumed Savings	0	179	(2,324)	(4,524)	(2,694)
Cost Pressures / Growth	2,046	529	1,000	1,000	1,000
<b>Adjusted Draft Budget</b>	<b>172,645</b>	<b>176,528</b>	<b>180,168</b>	<b>179,838</b>	<b>181,397</b>
<b>Funding</b>					
AEF	116,064	116,064	116,064	116,064	116,064
Council Tax	34,590	35,970	37,410	38,910	40,465
Revenue Grants	22,170	22,170	22,170	22,170	22,170
<b>Total Funding</b>	<b>172,824</b>	<b>174,204</b>	<b>175,644</b>	<b>177,144</b>	<b>178,699</b>
<b>Funding Gap / (Surplus)</b>	<b>(179)</b>	<b>2,324</b>	<b>4,524</b>	<b>2,694</b>	<b>2,698</b>
Contribution to reserves	200	200	200	200	200
<b>Adjusted Funding Gap / (Surplus)</b>	<b>21</b>	<b>2,524</b>	<b>4,724</b>	<b>2,894</b>	<b>2,898</b>

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# Agenda Item 8

*Executive Committee and Council only*

Date signed off by the Monitoring Officer: 14.01.20

Date signed off by the Section 151 Officer: 15.01.20

Committee: **Executive Committee**

Date of meeting: **29<sup>th</sup> January 2020**

Report Subject: **Review of Blaenau Gwent Homes Allocation Policy**

Portfolio Holder: **Councillor Garth Collier, Executive Member Environment**

Report Submitted by: **Mark Congreve, Team Manager – Housing Solutions and Compliance**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
12.11.19	19.11.19	13.01.20			5.12.19	29.01.20		

## 1. **Purpose of the Report**

- 1.1 This report proposes policy and operational changes to the Blaenau Gwent Homes Allocation Scheme ('the Scheme') as a consequence of a recent review of the Scheme and consultation process.

## 2. **Scope and Background**

- 2.1 The Council, as local housing authority, has statutory obligations under the Housing Act 1996, the Homelessness Act 2002 and the Housing (Wales) Act 2014 in relation to the publication of a Housing Allocation Scheme and for ensuring equality of access to social housing for all people with a housing need. In Blaenau Gwent, since 2010 the Council's Housing Allocations Scheme has been delivered in partnership with local housing associations, through the operational mechanism of a Common Housing Register. In 2017, a Banding Scheme was introduced to the Common Housing Register and it was re-branded as 'Blaenau Gwent Homes'.
- 2.2 Blaenau Gwent Homes offers a single route through which all those seeking social housing can apply. This approach delivers significant benefits to the Council, Housing Associations and most importantly to those seeking accommodation in the areas. These benefits include simple, fair and consistent processes and efficient, co-ordinated administration. Applicants can apply online or over the telephone to join Blaenau Gwent Homes. Offers of accommodation are allocated to the person in the highest band who has waited the longest in that band. This ensures that there is transparency in the way the lettings are made.

## 3 **Present Position**

- 3.1 In March 2016, the Welsh Government issued a new 'Code of Guidance' for Local Authorities on the Allocation of Accommodation and

Homelessness. This code provides guidance which Local Authorities must legally have regard to when exercising their functions in connection with housing allocations and the development and implementation of a Housing Allocations Scheme. The Code advises Local Authorities to review their Housing Allocations Schemes every two years to ensure compliance with the law and good practice.

3.2 As Blaenau Gwent's Housing Allocation Scheme was last reviewed in 2017 prior to implementation, it is now due to be reviewed in line with this legislative guidance. Therefore, the Common Housing Register Steering Group (which is made up of key officers from the Council, Tai Calon Community Housing, Linc Cymru, Melin Homes and United Welsh Housing Associations) was tasked with carrying out this review.

### 3.3 **Blaenau Gwent Homes Housing Allocation Scheme 2017**

### 3.4 **Key Features of the current scheme**

3.4.1 In the Scheme, the Council must give priority for housing to certain applicants. These are:-

- People who are homeless (within the meaning of Part 2 of the Housing (Wales) Act 2014;
- People who are owed any duty by a local housing authority under Section 66, 73 or 75 of the Housing (Wales) Act 2014;
- People who are owed a duty by a local authority under Section 190 (2), 193 (2), or 95 (2) of Part VII of the Housing Act 1996 or who are occupying accommodation secured by any local authority under Section 192 (3);
- People living in poor or overcrowded housing;
- People who need to move for medical or welfare reasons;
- People who need to move to an area of Blaenau Gwent for a particular reason e.g. to look after a family member

3.5 The Scheme uses a 'banding' system whereby applicants are placed into a 'band' according to their need for a home. Each band includes applicants who have a similar level of housing.

3.6 As well as giving priority to the groups mentioned above, the Council also gives priority to other groups of people as doing so helps the Council to meet its local strategic housing priorities effectively. This includes the following:-

- Applicants who by moving will release an adapted property;
- Applicants whose child is likely to be accommodated by the local authority if re-housing is not made;
- Applicants who have been recommended to foster or adopt children and whose current accommodation is not big enough;

- Households including children who are forced to live apart owing to a lack of suitable accommodation;
- Existing tenants with no housing need who wish to move

3.7 It is the Council's responsibility to accept applications for housing and assess those applications in accordance with the Scheme in order to determine their eligibility and priority. It is the responsibility of the Housing Associations to allocate their vacant housing in accordance with the Scheme and associated Operational Guidance through Blaenau Gwent Homes. These roles and responsibilities are managed through a partnership approach.

3.8 When a property becomes void, the relevant housing association offers the property to the applicant on the top of the band to which the property is allocated.

3.9 Housing Associations are closely monitored by the Council to ensure that they are adhering to the Scheme when making offers of/allocating housing and to ensure that correct processes are followed in accordance to the Scheme.

### 3.10 **Proposed Policy Changes (Appendix 3)**

#### 3.10.1 **Quotas**

3.10.2 All Registered Social Landlords have evidenced that the percentage of allocations to be shared between the different bands as they stand are not fit for purpose and they have struggled to use the targets when making their allocations. This is due to the accommodation available not matching the needs of those in the Emergency band, Therefore, it has been determined that the percentage ratio of quotas need to change so that an applicants' priority for housing can reflect the type of accommodation available, their level of housing need and length of time spent on the waiting list. A breakdown of this current and proposed quota targets can be seen below:

Band	Current	Proposed
Emergency	25%	10% ↓
Gold	60%	60% ↔
Silver	10%	20% ↑
Bronze	5%	10% ↑

### 3.10.3 **Armed Forces**

- To redress the disadvantage people who have served in the British Armed Forces often face when accessing social housing, it is proposed that the effective date of registration will be reset to the date they joined the British Armed Forces.

### 3.10.4 **Adapted/Accessible Properties**

- The OTT will recommend the type of housing that the homeseeker needs to resolve the urgent circumstances. All disabled applicants and adapted properties are assessed via criteria which allow applicant(s) to be matched to the most suitable type of property (A, B, C, D, E, F, U). When an adapted property becomes available to let, it is allocated to the person who needs that Level of property and who is in the highest band.
- Bungalows will be allocated according to the Level they have been assessed as (A, B, C, D, E, F) and will be allocated based on this and as per either adapted or general needs short-listing.

### 3.10.5 **Extra Care**

- Allocations were previously undertaken by the Extra Care allocations panel. However, to ensure that allocations are made in line with housing need/priority it has been decided that to ensure transparency these lettings will be undertaken in line with the Policy and processes of the Common Housing Register.

### 3.10.6 **Direct Match**

- Allows Housing Associations some flexibility in exceptional circumstances to make the best use of their housing stock. Whilst it is expected that the vast majority of general needs properties will be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a hard to let property to an applicant (e.g. Low demand area and short list has been exhausted). This will be done in exceptional circumstances and in consultation with the Council.

### 3.10.7 **Multi Agency Public Protection Arrangements (MAPPA)**

- Currently all applicants are assessed via banding for their needs. Applicant(s) are matched to the most suitable type of property subject to coming top of the band to which the property has been allocated and Probation checks have been carried out.
- A high risk applicant assessment form will need to be completed and attached to the housing application by the Registered Social Landlords on all match/offer rejections for bypass reasons.

#### 3.10.8 **Immediate Move**

- Homeseekers who need to move immediately due to domestic abuse, extreme violence or extreme harassment will be awarded additional preference. This includes hate crime, disability, racial, homophobic or transgender harassment and will be awarded for exceptional circumstances where there is a high risk to the tenant or their family's safety if they remain in the property/area and they therefore require an immediate move.

#### 3.10.9 **Text Messaging**

- This has not been utilised previously in relation to the progression of the Homeseekers housing applications. However, of 135 respondents, 70% have supported the introduction of this service.

#### 3.11.10 **Non Direct Policy Changes**

- There are a number of amendments to the wording of some of the reasonable categories, which are intended to provide people with a clearer understanding of their meaning.
- A more detailed overview of the proposed policy changes and rationale for the changes is provided at **Appendix 3**.

### 4. **Options for Recommendations**

#### 4.1 **Option 1**

Executive Committee to approve the proposed policy changes to the Blaenau Gwent Homes Housing Allocation Scheme for implementation on the 1st April 2020.

#### 4.2 **Option 2**

Executive Committee do not approve the proposed to the Blaenau Gwent Homes Housing Allocation Policy.

### 5. **Evidence of how does this topic supports the achievement of the Corporate Plan / Statutory Responsibilities / Blaenau Gwent Well-being Plan**

- 5.1 The review, consultation on and implementation of the Housing Allocation scheme will make a positive contribution towards the Council's Corporate Plan Vision of 'a County Borough that has high aspirations, is confident and promotes opportunity for all'; as it will deliver against the specific priorities of 'People - Promoting independence and positive lives for everyone and 'Place - Creating neighbourhoods where people are proud to live and work'.

5.2 It will also help the Council to meet three of the seven wellbeing goals that The Well Being of Future Generations (Wales) Act 2015' puts in place as follows:

1. A healthier Wales
2. A more equal Wales
3. A Wales of cohesive communities

5.3 The proposed changes are also consistent with the sustainable approach promoted by the Well-being of Future Generations (Wales) Act through the five ways of working:

- *Long-term* – the Housing Allocation Scheme will assist residents of Blaenau Gwent to secure long term and sustainable housing.
- *Prevention* – effective implementation of the Scheme will help to prevent people from becoming homeless. Living in good quality housing that is suitable also helps to prevent ill health.
- *Integration* – the Housing Allocation Scheme ensures that the approach to allocating housing in Blaenau Gwent is integrated with other council priorities e.g. helping people to live independently and safely.
- *Collaboration* – the Housing Allocation Scheme promotes collaboration between the Council and all Housing Associations with housing stock in the County Borough because it provides a common way of allocating all available social housing
- *Involvement* – The effective implementation of the Housing Allocation Scheme requires the involvement of the Council and its housing association partner and other third sector and statutory agencies

## 6. **Implications Against Each Option**

### 6.1 **Budget**

6.1.1 One of the questions included in the survey requested people's views on implementing a text messaging service to receive progress updates in relation to their housing application. The consultation response was positive in response to this question with 135 respondents (70%) saying 'yes' whilst 57 respondents (30%) said 'no'.

6.1.2 The cost of providing this service is expected to cost £3,000. However, it is important to note that these costs are shared between the Council and the 4 Housing Associations who are currently part of the Blaenau Gwent Homes Scheme.



## 6.2 **Risk including Mitigating Actions**

- 6.2.1 The policy has been developed to comply with the legal requirements of The Housing Act 1996 and the Homelessness Act 2002, and the Welsh Assembly Government's Code of Guidance for Local Authorities on Allocation of Accommodation and Homelessness and Housing (Wales) Act 2014.

## 6.3 **Legal**

- 6.3.1 The proposals presented in this report have been developed in accordance with the Council's statutory obligations under Part VI of the Housing Act 1996, and Part 2 of the Housing (Wales) Act 2014 and have been produced with reference to the 'Code of Guidance for Local Authorities on the Allocation of Accommodation and Homelessness (2016)'.
- 6.3.2 A Housing Consultant's opinion on the proposed policy changes has however been sought to ensure compliance with the legislation and relevant case law.

## 6.4 **Human Resources**

- 6.4.1 There are no staffing implications as a result of this report.

## 7. **Performance Information and Data**

### 7.1 **Key performance data**

- 7.1.1 Since the 1<sup>st</sup> January 2019 **1257** active applicants have registered with Blaenau Gwent Homes. **65** applications have been closed (mandatory information not supplied), **7** applicants requested closure and **2** applicants were excluded from the register. On average **39** applications are received each week and **217** applicants have been housed.
- 7.1.2 To date there are **2059** active applicants registered with Blaenau Gwent Homes. In total **1077** households have been housed via the Common Housing Register since implementation in May 2017. On average applicants have waited **398** days to be housed.
- 7.1.3 The Programme Development Plan indicates that over the next two years the following units of accommodation will be delivered:
- 100 - Bryn Serth, Ebbw Vale (70 x Open market & 30 x Social Rented)
  - 277 - College Site, Ebbw Vale (Mixture of Open Market / Social Rented)
  - 23 - Greenacres, Tredegar (1 x Assisted Living / 22 x Social Rented)

- 23 - Glanfrwyd, Ebbw Vale (All Social Rented)
- 25 (Phase 4) - Gwaun Helyg, Ebbw Vale (All Social Rented)

8. **Expected outcome for the public**

- 8.1 Members of the public can be assured that the Council are consistently monitoring the allocations scheme and that the policy remains fit for purpose when assessing housing need. An Equality Impact Assessment (EqIA) has also been completed (**Appendix 4**).

9. **Involvement (consultation, engagement, participation)**

- 9.1 **Public consultation** on the Housing Allocation Scheme was carried out over a 4-week period and ended on the 28<sup>th</sup> October 2019. The consultation analysis is presented at **Appendix 5**. A range of mediums were used to carry out the consultation to ensure as many stakeholders as possible were consulted on the proposed changes. These included:

- Blaenau Gwent Homes Website
- Blaenau Gwent CBC corporate website
- Social Media Channels
- Discussions at various forums and meetings.

- 9.1.1 194 responses to the consultation were received via an online survey and overall the feedback on the proposed changes has been very positive.

- 9.1.2 **Corporate Leadership Team (CLT)** supported 'Option 1' on the 19<sup>th</sup> November 2019.

- 9.1.3 **Community Services Scrutiny Committee** supported 'Option 1' on the 5<sup>th</sup> December 2019.

10.

**Thinking for the Long term (forward planning)**

10.1

To ensure that the policy is presently fit for purpose prior to a further review in 2021.

11.

**Preventative focus**

11.1

The changes to the allocation policy will ensure that people's housing needs continue to be met.

12.

**Collaboration / partnership working**

12.1

Partnership working will with our Registered Social Landlords and collaborative working with agencies subject to partnership agreements and housing allocation policy will continue.

13. **Integration (across service areas)**

13.1 The review and consultation included gathering the views of many stakeholders, thus, promoting an integrated approach.

14. **EqlA (screening and identifying if full impact assessment is needed)**

14.1 A comprehensive Equality Impact Assessment (EqlA) screening form has been completed. This can be seen in **Appendix 4**.

15. **Monitoring Arrangements**

15.1 The work will be monitored through directorate performance management arrangements.

## **Appendices**

- *Appendix 1* - Current Blaenau Gwent Homes Housing Allocations Policy 2017
- *Appendix 2* - Proposed Blaenau Gwent Homes Housing Allocations Policy 2019
- *Appendix 3* - Summary of Proposed Changes
- *Appendix 4* - Equality Impact Assessment (EqlA)
- *Appendix 5* - Consultation Analysis

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## **APPENDIX 1**

**Blaenau Gwent Common Housing Register**

**Common Housing Allocation Policy**

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## **Section 1: Introduction and Background**

### **1.1 The Purpose of the Allocation Scheme**

Blaenau Gwent Common Housing Register is a service that enables people seeking social rented housing to exercise choice where they will be offered a tenancy, and has been developed in the context of:

- Relevant legislation and guidance;
- Our Local Housing Strategy;
- The current need for, and demands on, social housing within Blaenau Gwent; and
- Equality of opportunity legislation and guidance.

The administration of this scheme is the responsibility of Blaenau Gwent County Borough Council. All homeseekers within Blaenau Gwent will be assessed on the same basis and will be considered for vacancies across the County Borough.

The following are members of the “Blaenau Gwent Partnership”.

- Blaenau Gwent County Borough Council
- Tai Calon Community Housing
- Linc Cymru
- Melin Homes
- United Welsh

Each of these housing associations have voluntarily signed up to this common policy to ensure that all applicants applying for social housing in the County Borough are provided with a single route of access and assessed using a single policy.

Blaenau Gwent County Borough Council no longer owns a housing stock. The scheme formally applies to all properties to which Blaenau Gwent Council have rights of nomination (whether by way of a legally enforceable arrangement or not) of the tenant; except those, which are reserved for special housing needs groups. Properties to which the County Borough Council have rights of nomination of the tenant include properties owned by Tai Calon, Linc Cymru, Melin Homes and United Welsh.

Although the Council has nomination rights to a percentage of vacant properties held by the Registered Housing Association Providers each association has agreed to join the Common Housing Register Partnership and allocate their vacant accommodation through one housing register and to an agreed set of rules.

To improve the service to applicants and to avoid duplication an applicant must be registered by the Housing Access Team at Blaenau Gwent County Borough Council and will receive a mandatory **Housing Solutions Interview**.

When a person seeking a home (called a 'Homeseeker' in the policy) applies to join the register an assessment will be made of both their eligibility as defined in Section 5, and their priority under the scheme. Reasonable preference will be given to Homeseekers that satisfy the categories identified in the Housing Act 1996.

### ***Making changes to the Policy***

The policy cannot be amended until a copy of the proposed amendments has been sent to every housing association in the County. They must be given a reasonable opportunity to comment on the proposals.

All major changes will be approved by a meeting of the Full Council and will be subject to consultation with all Registered Social Housing Providers in the County Borough, regardless of whether a provider is a member of the Common Housing Register Partnership.

For minor changes to the policy decisions will be delegated to the member responsible for the housing portfolio in the County Borough. For minor changes to procedure decisions will be delegated to the Head of Service responsible for Housing in the Blaenau Gwent Council who will consult on any minor changes to procedure with the Chief Executives of the Registered Housing Associations or their delegated officer.

The relevant decision-making bodies of Registered Social Landlords, namely their Boards, will also need to consider and endorse any major changes that the Council or a member of the Partnership wish to see. For more minor changes this may be delegated to the Chief Executive of a partner housing association depending on the governance rules that operate for that association.

The Council will notify in writing, and within a reasonable period, any major changes in policy to those it may affect. Any major changes will require a full and detailed consultation process for those potentially affected by the changes. The Council will fully consider the Housing Act 1996 Part 6 and the relevant sections of the Code of Guidance 2015 relating to amending an Allocations Policy when deciding the appropriate level and method of consultation for any changes.

## **1.2 Principles and scope of the allocations scheme**

This allocation scheme is based on a number of principles:

- To give reasonable preference to the groups set out in the Housing Act 1996
- Customer choice and empowerment

- Making effective use of the housing stock
- An understandable and transparent system for users
- The provision of accurate and up to date information to homeseekers on their housing options, enabling them to exercise informed choice
- Contributing to the creation of sustainable communities
- Improved quality and delivery of service
- Ensuring the extension of choice to vulnerable customers
- Equality of opportunity

### **1.3 Legal Background**

In developing this policy the Council has followed and fully considered the following housing legislation and guidance:

The allocation scheme has been designed to ensure that reasonable preference is given to all of the following categories of people, as set out in s.167(2ZA) of the Housing Act 1996. These are:

- (i) People who are homeless (within the meaning of Part 2 of the Housing (Wales) Act 2014;
- (ii) People who are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014;
- (iii) People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions;
- (iv) People who need to move on medical or welfare grounds including grounds relating to disability.
- (v) People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).

The detailed criteria the Council will use to assess whether an applicant should be awarded one of these 5 reasonable preference categories is set out in appendix 1.

For people unlikely to be offered accommodation through the scheme there will be advice about alternative housing options. There will be information on the Common Housing Register website and available in written material covering options such as; mutual exchanges, the private rented sector, low cost home ownership and the availability of shared ownership properties.

The Council acknowledges that some customers applying to the scheme will not be eligible to register under the qualification rules due to unacceptable behaviour, such as anti-social behaviour, or significant rent arrears. The policy aims to be open and transparent as to the reasons for any exclusion

and gives advice on the possible actions a customer can take to gain acceptance onto the register.

Although the Council has nomination rights to a percentage of vacant properties held by the Registered Housing Association Providers each association has agreed to join the '*Common Housing Register Partnership*' and allocate accommodation through one housing register and to an agreed set of rules.

As part of the operational implementation of the new scheme the Council and Housing Association Partners will seek to agree an *Exclusions Policy* so that as far as possible there is also agreed criteria and a process for allocating accommodation when an applicant's circumstances require further consideration. This would be for example circumstances where an applicant may be excluded from being considered for an offer of social housing until they have addressed any concerns to the satisfaction of the Council and, or, a Housing Association Partner.

#### **1.4. The Council and Partners' Agreed Policy Statement on Choice for Applicants**

A Local Authority's Allocation Scheme must include a statement of the Authority's Policy (in this case the Partnerships' Common Housing Allocation Policy) on offering applicants a choice of housing accommodation or the opportunity to express preferences about any accommodation to be allocated to them.

The Council wishes as far as possible to give choice to customers who are looking to obtain an offer of social housing. The housing pressures faced limit the degree of choice that the Council is able to offer along with the responsibility the Council has to some groups in urgent housing need. The Council believes that any applicant considered to be eligible under this Scheme should be able to express a preference over the type of property and the area in which they would like to live but should be fully aware that the Council's ability to satisfy their preference might be severely limited.

The Council will ask all applicants to state those areas where they believe they cannot live due to fear of violence, harassment or domestic abuse. The Council must be satisfied such factors exist and that it should on the facts take into account these concerns.

Giving choice must be set against the need for the Council to resolve some applicant's housing situation urgently, for example, where there is a homeless households to whom there is a statutory duty to provide housing or households at risk of violence. In cases where the Council has accepted a homeless duty under sections 66, 73 or 75 of the Housing Wales Act 2014 home seekers will normally be able to exercise choice for a period of 13 weeks, after which time regardless of whether suitable properties have become available, the Council may choose to make an offer of accommodation anywhere which is suitable applying the homelessness law

and this will fully discharge any one of the homeless duties owed, as long as the applicant/s has sufficient priority under the scheme at that date for re-housing to enable a direct offer to be made.

Please note whilst in bed and breakfast accommodation the Council may make an offer of accommodation at any time to end any one of the homeless duties under the Housing Wales Act 2014.

The decision to make an offer outside of an area where the applicant has expressed a choice could be where:

1. An applicant is not being realistic in the areas they are requesting for accommodation and as a result they may be occupying a temporary accommodation unit that may be needed for another newly presenting homeless applicant.
2. Where for financial or operational reasons the Council needs to house households owed a homeless duty.

Those households 1) owed a homelessness duty under sections 66, or, s73, or s75 of the Housing Wales Act 2014 or applicant's who are considered homeless within the meaning of Part 2 of the Housing Wales Act 2014, will lose their priority status banding if the duty owed to them is ended due to the refusal of a suitable offer of accommodation. Thereafter, unless they have another reason to awarded one of the priority bands they will be able to be registered in the Bronze non reasonable preference priority band. The offer of suitable accommodation made will also bring to an end any statutory homeless duty owed under s66, s73, or s75 and they will be warned in writing of this consequence at the point a property is formally offered to them.

With the exception of the rules that apply to applicants owed one of the relevant homelessness duties listed above under the Housing Wales Act 2014 all other applicants on the Housing Register are able to specify any areas at street, estate or community level where they do not want to be offered housing. The scheme allows them to be considered only for property types that would suit the size of the household. The intention therefore is to make offers of accommodation that meet the preferences of applicants and provide suitable accommodation. As a result, when applicants refuse three offers of accommodation without offering a valid reason they will be demoted to the next band down. In these circumstances the demotion will be removed 12 months after the last offer unless their circumstances have changed and the Local Housing Panel determines that the change in circumstances is sufficient to allow the reduced preference to be removed.

### **1.5 How the scheme aims to offer fair and equal treatment to all types of applicant**

This scheme has been designed to ensure fairness and consistency in allocating housing, through setting a fair and transparent framework for assessing housing need.

The Council's aim is to deliver services that recognise the needs of different groups within the County Borough. To meet this aim the Blaenau Gwent Partnership will ensure that no applicant is treated less favourably on the grounds of age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion, sex, sexual orientation. The eligibility criteria, set out in appendix 2, will be used to make decisions on allocations under this scheme.

Applicants will be able to apply for housing in the County Borough using a range of methods. In addition to this interpretation services such as language line, availability of large print information or Braille and printed information in ethnic minority languages will be available when requested.

The scheme will be widely promoted to ensure that no individual or group is excluded from accessing services due to a lack of information. To ensure that the services offer equality of opportunity, service provision and satisfaction they will be monitored by age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

Under the Equality Act 2010 and in particular section 149 of the Public Sector Equality Duty, Local Authorities are required to give due regard to eliminate discrimination, advance equality of opportunity and foster good relations between those who share a protected characteristic and those who do not, in their exercise of a public function.

The protected characteristics are: age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The Council will ensure that its policy complies with the current equality legislation and with the relevant statutory codes and guidance. The new policy will be subject to an Equality Audit before it is adopted and this will be regularly reviewed.

## **1.6 Properties not included in the scheme**

The following properties **will not be** included as part of the banding scheme:

1. Properties required for decants (such as for regeneration schemes or emergency essential repair works, which would in any case be outside of the allocations scheme);
2. Properties required for emergency re-housing e.g. witness protection, urgent management transfers; and
3. Any other exceptional circumstances identified by the Council's Housing Solutions Manager and relevant Senior Manager from the Housing Association.

## **Section 2: Who can apply to the Housing Register and the criteria for assessing qualification**

### **2.1 Those who are eligible to join the housing register**

Any Homeseeker over the age of 16 can apply to join the register. However if applicant is under 18 years of age their ability to manage a tenancy will need to be assessed. This is to make sure that they can cope with being a tenant at a young age and that they do not lose any tenancy offered through a lack of support. This may include a referral to Social Services or other support services to ensure the appropriate support is in place. If a person is 16 and if they are given a tenancy, this will be held in trust until they reach 18. This means that another suitable person (such as a parent, legal guardian, social worker or relative) will be responsible for the property.

All individuals or households owed any homelessness duty by the local authority under sections 66, or, s73, or s75 of the Housing Wales Act 2014 will automatically be registered with the scheme, with a registration date when they presented as homeless to the Council. They will be placed in the Gold Band (See Appendix 1).

Married, civil partners and co-habiting couples, same sex couples, family members, friends and brothers and sisters, who wish to live together, can make joint applications. In such cases, it is usual for a joint tenancy to be granted in the event of an offer of accommodation being made. The Welsh Code of Guidance for Allocations 2006 lays down the rules for the recognition of refugees and those in need of Subsidiary Protection.

### **2.2 Those who are not eligible to join the housing register**

Everyone can apply to join the register but there are some groups of people who by law cannot join the register regardless of their housing need or circumstances. These are people who:

- Come under various immigration rules and cannot claim housing help;
- Do not live permanently in the Common Travel Area (UK, Channel Islands, the Isle of Man or the Republic of Ireland) for tax purposes;
- Who do not have the right to live in the UK;
- Plus other categories of people who the Secretary of State or the Welsh Government has, or may in the future, decide are not eligible for housing.

The Council will also choose not to register applicants where it is satisfied that a person meets the criteria set down in the policy for unacceptable behaviour.

See appendix 2 for more details on the rules the Council will apply to determine whether a person is eligible to join the register due to the Welsh Government's rules for deciding if a person is able to join the register

### **2.2.1 Unacceptable behaviour**

Most applicants for social housing will not be persons from abroad, and will have been resident in the UK (or elsewhere in the CTA) for 2 years prior to their application. Such applicants, together with eligible applicants from abroad may, at the discretion of the Authority, be treated as ineligible by the Housing Authority on the basis of unacceptable behaviour. There is no obligation on Local Authorities to implement these provisions and where they do robust procedures are needed to ensure compliance with the law, this Code and the fair and consistent treatment of applicants.

The Council has adopted the power to make ineligible applicants to the register where it is determined there is unacceptable behavior. The rules adopted concerning eligibility to join the register, or to receive an offer of accommodation, where there is unacceptable behavior are set out in appendix 2 and follow the guidance set down in the Allocations Code of Guidance issued by the Welsh Government in 2015.

Any cases that may be considered as ineligible for accommodation due to their unacceptable behaviour will be discussed at the monthly Local Housing Panel and all partners will need to agree to either suspension or reduced preference. All applicants have the right to request a review of any decisions as to eligibility and a right to be informed of the decision of the Local Housing Panel and the grounds on which that decision was made.



### **Section 3: The Banding system and how Homeseekers who are eligible to join the Common Housing Register will be assessed.**

#### **3.1 The Banding System**

A banding system will be used to decide when to make an offer of accommodation and to whom.

All social housing in Blaenau Gwent will be allocated using a banding system (there may be some exceptions see below). The bands distinguish between the different needs of applicants and they will usually only be re-housed when they are at the top of their band to qualify for an offer in one of their chosen areas. The bands are arranged to reflect housing priority, with the highest band including those applicants who have the highest priority for housing. The banding system is designed so that each band includes applicants who have a similar level of housing need.

All Homeseekers will be placed into an appropriate band utilising the information that they have provided. Applicants will be prioritised within their band based on the date that their application was received for housing. When a property becomes available the Common Housing Register partner will go to the relevant band and, in most cases, select the applicant with the longest waiting time suitable for that property.

The scheme uses a quota banding system to prioritise applicants. This means that each band will be allocated a target indicating the percentage of allocations to be shared between the different bands. The Common Housing Register partners will then use these targets when making their allocations. The indicative number of lets that go to each band are outlined in the Annual Lettings Plan set out in section 6.2.

The table below outlines the banding system and the circumstances used to allocate an applicant the appropriate band. The principle of this scheme is that an applicant's priority for housing should reflect both their level of housing need and length of time spent in need. Therefore priority will increase with time and a new applicant should not normally overtake an existing applicant within the same band. The circumstances where an allocation can be made to an applicant who is not at the top of the band will be monitored by the Council. Applicants with no local connection will move down to the next band.

There are four bands: Emergency, Gold, Silver, and Bronze. Properties will be normally be allocated in the following order:

1. The Emergency band – Very urgent priority: These are applicants that are owed a statutory award of reasonable preference but whom the Council believes should also be awarded additional preference based on their urgent housing needs.
2. The Gold band – High priority: These are applicants that are owed a statutory award of reasonable preference under the policy.

3. The Silver band – Medium priority: These are also applicants that are owed a statutory award of reasonable preference under the policy.
4. The Bronze band – Low Priority: These are applicants who have not been assessed as being in the additional preference group or the reasonable preference group.

### **Banding Summary Table**

Note the descriptions used below are a summary of the criteria for qualification under each Band. This is not a substitute for the full detailed policy on how an applicant's housing needs will be assessed to decide what Banding will be awarded. This is fully set out in appendix 1 of the Policy.

### **Blaenau Gwent Partnership Banding Scheme Summary Table**

**Emergency Band. This Band covers the circumstances where an applicant has been granted a statutory Reasonable Preference plus an emergency Additional Preference because of the emergency need to be housed**

**These are time limited cases to be reviewed at 3 months and then, if the award continues, every 3 months**

**Local Connection Criteria will apply except for MAPPA and Homelessness Cases**

#### **Homeless due to fire, flood or disaster**

- The applicant has suddenly lost their existing home as a result of disaster and requires accommodation in a short period of time.

#### **Armed forces applicants leaving or bereaved spouses or partners**

- Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military accommodation and Bereaved spouses or civil partners of those serving in the regular forces

#### **Impact of property condition**

- The applicant currently occupies a property that is in such poor condition as to impose extreme or imminent risk to the health and safety of its occupants
- The applicant currently occupies a property where there is a statutory requirement due to prohibition order / demolition order / compulsory purchase
- The applicant is a currently under occupying social housing by one or more bedrooms and needs to transfer to a smaller property

#### **Need to move on medical or disability grounds**

An applicant who has an extremely urgent and immediate need to move for medical reasons or due to a disability, which is being exacerbated by their current housing situation including:

- The applicant's health condition is terminal and re-housing is

required to provide a basis for the provision of suitable care

- The applicant's health condition is so severely affected by the accommodation that it is likely to become life threatening
- Where overcrowding in the property leaves the applicant at risk of infection, for example, where an applicant is suffering from late-stage or advanced HIV infection
- Disabled people whose current accommodation completely restricts them from carrying out day-to-day activities both internally and outside the home and they require urgent re-housing into a property that is suitable to their needs
- Applicants who have nowhere to live when they are discharged from hospital where their current property is no longer suitable for their needs and cannot be made suitable and all other housing options have been explored

## **Local Priorities**

### **Need to move on urgent welfare grounds**

- The applicant is a Housing Association tenant and is a high risk management transfer, who is receiving support from a professional agency or who is subject to a MARAC (Multi Agency Risk Assessment Conference) that is making a recommendation of housing need. The transfer will enable the applicant's existing property to become available for an allocation to another applicant on the waiting list.
- The occupant of the property no longer requires the adaptations and will therefore be releasing an adapted property by moving and there is a suitable applicant on the waiting list for the adapted property which will be released through the move.
- There is a likelihood of a child being accommodated by the Local Authority if re-housing is not made.
- The applicant's circumstances are not dealt with under any of the other circumstances in Emergency Band, however their housing need has been assessed by the Local Housing Panel as urgent and they require immediate re-housing.

**Gold Band: This Band covers the Circumstances where an applicant has been granted reasonable preference and a high priority**

### **Applicants owed certain homelessness duties under the Housing Wales Act 2014**

- Applicants owed a S75 duty because they have been assessed as eligible, homeless, in priority need and not intentionally homeless
- Applicants owed a S66 threatened with homelessness duty, or S73 homeless duty but only for as long as that duty is owed to the applicant

**Need to move due to impact of overcrowding**

- The applicant is occupying accommodation which is short by 2 or more bedrooms suitable to their needs.

**Housing is unsuitable for medical reasons, or due to a disability**

Applicants who are not housebound, or whose health, or safety is not at such a risk level to require urgent housing including where the applicant's current accommodation is unsuitable and is having a serious detrimental impact on their own/household member's existing health condition and the ability to live independently, which will deteriorate in the long term if more suitable accommodation is not offered.

Examples of when Gold Banding will be awarded are:

- a) The applicant currently occupies a property that is in such poor condition as to impose significant risk to the health and safety of its occupants
- b) The applicant's current accommodation is in such a condition that it contributes to causing serious ill health
- c) Disabled people whose current accommodation presents some barriers, such as steps, stairs or situated on a hill, to carrying out day-to-day activities and they require re-housing into a property that is suitable to their needs
- d) The applicant has an urgent need to move on welfare grounds due to any of the following:
- e) The applicant is at risk of being admitted to residential care or hospital if re-housing is not made.
- f) The applicant needs to move due to relationship breakdown and a need has been identified to safeguard and promote the welfare of the child.

**Care Leavers** - The applicant is a care leaver, vulnerable and has a high housing need that is best met by the provision of long term settled housing and the applicant has been assessed and approved by the Move On Panel as ready for re-housing. Applicants must be a former 'Relevant Child' as defined by the Children Leaving Care Act 2000.

**Leaving Supported Housing** - The applicant is in supported accommodation in Blaenau Gwent and also the Amber House Project and is ready for independent living.

**Support Hardship** - The applicant is giving or receiving essential support, which can only be delivered if they live in a specific locality within the area.

**Employment Hardship** - The applicant has permanent employment that

cannot continue unless they live in a specific locality within the area and are otherwise adequately housed.

**Financial Hardship** – Alternative accommodation is required due to exceptional financial hardship relating to the accommodation the applicant currently occupies.

#### **Local Priorities**

**Need to foster or adopt** - The applicant has been recommended by the Director of Social Services to foster or adopt children and whose current accommodation is not large enough

**Forced to live apart** - The applicant, their partner or children are forced to live apart because their current accommodation is unsuitable

\*This does not apply to those who are legally separated or are living apart due to relationship breakdown or family dispute

**Silver Band:** This Band covers the circumstances where an applicant has been granted reasonable preference with a medium priority to be housed.

#### **Applicants owed certain homelessness duties under the Housing Wales Act 2014**

- The applicant has been assessed as homeless and not in priority need
- The applicant has been assessed as intentionally homeless and in Priority Need

#### **Unfit or overcrowded accommodation**

- The applicant is occupying insanitary or unfit accommodation and living in unsatisfactory housing conditions that present some risk to the health and safety of the occupants.
- The applicant is living in accommodation which is not suitable to their needs because it is short by one bedroom which is suitable to their needs
- The applicant's current accommodation is unsuitable and exacerbates the applicant's or other household members' health condition and more suitable alternative accommodation is required to improve the health condition□

#### **Reduced preference Silver band award**

Applicants whose housing circumstances have been assessed as meeting the criteria to be awarded silver band reduced preference. Applicants awarded reduced preference will sit at the bottom of the Silver Band

<b>Local Priorities</b>
<p><b>Tenants wishing to move with a good rent record</b></p> <p>The applicant is an existing social housing tenant who does not qualify for Emergency Band or Gold Band, who wishes to transfer and has no rent arrears and have been a tenant for 12 months.</p>
<p><b>Bronze Band</b></p> <p>All other Applicants who have not been awarded reasonable preference</p> <p>Plus</p> <p>Applicants awarded Reasonable Preference for a Silver Band category but have no local connection with the Council. (Except cases owed any homelessness duty by the Council under the Housing Wales Act 2014)</p> <p>Plus those applicant's owed a Reasonable Preference but have had that preference reduced (adjusted preference) due to the circumstances set out in the Policy</p>
<ul style="list-style-type: none"> <li>• The applicant is an owner occupier and does not qualify for Emergency Band, Gold Band or Silver Band</li> <li>• The applicant has the financial resources to meet their own needs</li> <li>• All other applicants not dealt with in Emergency Band, Gold Band or Silver Band</li> <li>• Applicants whose housing circumstances have been assessed as meeting the criteria to be awarded Bronze band reduced preference</li> </ul>

Priority within a Band will be determined by the length of time the application has been placed in that Band and most allocations will be made by band and the time they have waited within that Band. In some circumstances an offer will be made to an applicant outside of the priority band and 'time registered' procedure. This is where there is an urgent need for an individual household to be housed or where there is an operational or financial need to house certain categories of applicants.

For example, where an applicant has been given additional preference due to an exceptionally urgent need for housing they may be placed at the front of the priority band and made an offer of housing as soon as possible. A decision that a person's need to be housed is exceptionally urgent would be made by the Letting Panel or can in urgent cases be made by the Chief Officer responsible for the Council's allocation policy.

In addition there may be other circumstances where there are urgent operational or financial reasons to make a direct offer of housing outside of the band and date order criteria. The qualifying criteria for awarding additional preference are set out in appendix 1. Specifically, examples of the circumstances where there may be urgent operational or financial reasons to allocate outside of the band and date order criteria are:

- People that need to move due to a fire or flood, or severe storm damage to their home.
- People who are at imminent risk of violence and are to be housed through a witness protection programme.
- People who it has been agreed must be housed urgently as part of a for example – a multi-agency protocol such as a MAPPA, MARRAC case or a protocol between the Housing and Social Care Departments of the Council where there is a need agreed between housing and social services to provide a specific property for a vulnerable individual.
- Where an applicant is homeless and in temporary accommodation that would not be suitable for more than a short period of time or where the Council needs to move applicants out of temporary accommodation to manage the budgetary impact on the Council as a whole.
- Where a vacant adapted property or a property designed to disability standards becomes available it may be offered to those customers with a need for this property type regardless of the date they were registered.

### **3.2: Local lettings policies**

The legislation enables Housing Authorities to allocate accommodation to people of a particular description who may not be at the top of their Band.

From time to time new Local Lettings Policies may be agreed with a Housing Association, to deal with specific local issues at a street, estate or community level. The details of these will be made public alongside the scheme. The policy for local lettings is set out in appendix x

These plans will be developed and approved in accordance with an agreed procedure, which considers the specific aims and justification of the plans. The plans will be reviewed on an annual basis. All local lettings policies are evidence based with the aim of achieving community sustainability and ensure that they fit with and inform strategic priorities. We will ensure local lettings policies do not discriminate and that they are monitored and evaluated to assess their impact.

Ongoing monitoring of the outcomes of lettings against lettings Policy targets will be undertaken and progress in achieving the lettings Policy targets will be closely monitored by the Council.

### **3.3 New developments**

When registered social landlords are in the process of or have completed new developments, the initial lettings can be made via a local lettings Policy, created in partnership with the Council. This is to ensure balanced and sustainable communities are created.

### **3.4 Size of accommodation**

Applicants can apply for any area and type of property that is suitable to their needs. However, the size of accommodation that can be allocated to an applicant will be calculated according to the standard set out in the following sub section and Appendix 4 – Bedroom Standard. There may be circumstances where a larger size property may be allocated than what is needed. In such cases applicants will be made fully aware of the financial implications of having a property, which may be larger than their household size. However, landlords have discretion to allocate their properties to maximize occupancy and a financial assessment of affordability will be made by an association willing to let an applicant occupy accommodation that is larger than their requirements.

In addition, some types of accommodation will only be allocated to certain groups of applicants.

The following criteria will be used to determine the size of accommodation to be allocated to the applicant:



Household Size	Accommodation Type
<b>Single Person</b>	1 Bedroom
<b>Couple</b>	1 Bedroom
<b>Joint tenant (non partners)</b>	2 Bedroom
<b>Single parent or couple with one child</b>	2 Bedroom
<b>Single parent or couple with two children of the same sex</b>	
- both aged under 16	2 Bedroom
- *one or both aged over 16	3 Bedroom
<b>Single parent or couple with two children of opposite sex</b>	
- both aged under 10	2 Bedroom
- one or both aged 10 or over	3 Bedroom
<b>Single parent or couple with three children</b>	
- if two are able to share a room (under 10)	3 Bedroom
- none are able to share a room	4 Bedroom
<b>Single parent or couple with four children</b>	
- If all children are under 10	3 Bedroom
- all other	4 Bedroom

Household members who are temporarily living away from home, for example in further education or the armed forces, will be included as part of the household. The individual currently living away will need to confirm in writing that they wish to be considered as part of the household and until the letter is provided the application will be considered as the individual not being part of the household.

Each application is assessed on the individual needs of the applicant in recognition that individual needs vary. There may be circumstances where a larger house size than which an applicant is entitled to may be awarded as outlined below:

- Expectant mothers must provide a copy of their Maternity Certificate (MAT B1) so that a property can be allocated according to their current needs plus an additional bedroom for the unborn child/children.
- Due to limited stock, where a home seeker is separated or divorced but has regular access to children as determined by a Court Order or Solicitor's letter, they will only be able to apply for a house suitable to the needs of the applicant(s) but requests can be made for the case to be heard by the Local Housing Panel if special circumstances apply.
- Where the applicant has a disability and a non resident-carer, the applicant will be entitled to extra funding for an extra bedroom (Welsh Government changes to Housing Benefit).
- Where there is a medical reason for requiring a separate or additional bedroom – this will be considered on an individual basis.

- Where there is no stock of a suitable size – in some areas, where landlords do not own properties of a smaller size, smaller households may be entitled to be considered for the next suitable size property.

In some cases applicants may be offered a larger property if, for example, a property becomes available in a low demand area and there are no suitable applicants for that size property. In such cases that a larger property is provided to an applicant which is larger than they are entitled to, the applicant will be made fully aware of any implications in relation to Housing Benefit.

### **3.5 Type of Accommodation**

Applicants can usually apply for any type or size of accommodation that is suitable to their needs. However, some types of properties may only be designated to certain client groups, for example bungalows and level access accommodation will usually be offered to applicants requiring an adapted property in the first instance and sheltered or older persons accommodation will usually be offered to people over 55.

### **3.6 Areas with greater availability of housing**

In some areas of Blaenau Gwent there are areas of housing that have a very short waiting lists or even no waiting list at all. In these circumstances the Council may agree with the landlord a local lettings Policy that does not rely on the Housing Allocation Scheme but allows instant access without the need for any assessment beyond eligibility. The aim of such local lettings policies will be to establish a viable community in the location. If demand increases then lettings may be brought back within the scheme.

### **3.7 Access to Children**

The primary carer will be classified as the parent who receives Child Benefit, Child Tax Credit or Working Family Tax Credit in respect of the child / children.

Applicants who are separated or divorced, have joint custody, and can evidence via a Court Order or legal agreement that they provide essential support to the primary carer may be considered for a property based on the family size. Applicants who do qualify for this reason for a larger property will be awarded reasonable preference and placed in the Gold Band. Applicants will need to provide evidence, such as birth certificate, to support their application and each application will be assessed individually. An applicant would still have to pass the test of being able to afford the rent for any larger accommodation.

Access cases, where there is no essential support being provided, will be assessed by the Local Housing Panel according to the applicants housing need and may be entitled to a property with one bedroom more than the permanent family's needs.

The changes in Housing Benefit have implications on the size of a property that is offered to applicants. Applicants need to be fully aware of these changes and the cost implications of having a larger property as Housing Benefit for a larger property will only be paid to the primary carer.

### **3.8 How will medical or disability cases be assessed to see if they qualify for an Emergency or Gold Banding award**

The following procedure will be applied. Full details of the assessment procedure and guidelines for officers is set out in appendix 9

- 1) Homeseekers who indicate that they or anyone in their household have an illness or disability which is affected by their current home they will be asked to complete questions on their application form relating to their medical condition or disability.
- 2) The Housing Access Team Officers will carry out an initial assessment using the standard assessment criteria set out in this appendix to the policy. An applicant may need to be further interviewed by an Access officer or OTT officer to help make the assessment.
- 3) Where a Homeseeker's health is not so urgent and immediate that they are unable to live in their current home but their medical condition would be significantly improved by alternative housing a Gold Banding will be awarded. This will be determined by the Housing Access Officer and checked and signed off by the Housing Solutions Manager based on the evidence provided.
- 4) Where the Council believes there may be an urgent and immediate need due to the severity of the impact of their current housing on their medical condition or disability and the applicant may be unable to continue to live at their present home the case will be submitted to the Occupational Therapy Team (OTT) who will determine whether to place the Homeseeker in the Emergency Band. In order to do this the OTT will potentially visit the home of the Homeseeker or tenant household, consider any supporting information that has been requested or provided, and decide whether Emergency Band priority should be awarded, based upon the severity of the case and the urgency of the need for re-housing.
- 5) The OTT will also recommend the type of housing that the homeseeker needs to resolve the urgent circumstances.
- 6) Where priority is awarded on the basis of medical circumstances applicants can only be considered for the type of properties identified by the OTT, so for example, if someone needs to move to a bungalow because they have suddenly become a wheelchair user, they will only be considered for suitable properties within the Gold Band.

## **Section 4: The Process for offering Accommodation**

### **4.1: How accommodation will be offered**

Officers from the Housing Association partners use Blaenau Gwent's database to prepare a 'short list' of applications when a property is ready to let. If a Housing Association makes an offer of accommodation to an applicant, a formal offer of housing will be made. This may be in the form of a telephone call (if we have been provided with phone number) or a written offer may be sent in the post.

The correspondence from the Housing Association will set out clear steps to enable the applicant to arrange a viewing of the property when it is available. Additional information will also be given, such as: -

- the address of the property;
- the number of bedrooms it has;
- what type of property it is (such as a flat or a house);
- how much rent you will have to pay if you accept the offer;
- the date the property is expected to become available; and
- the last date you must contact the Housing Association by if you want to view the property.

The applicant must inform the Housing Association within three working days of receipt of the offer, if he/she would like to view the property. If following confirmation of an offer of accommodation the applicant does not respond, this will be treated as a refusal of the offer.

Applicants will get up to three offers of accommodation that the council is satisfied were reasonable and if 3 offers are refused, the application will receive reduced preference and be demoted to the next band down.

Formal offers of accommodation are subject to the Housing Associations carrying out pre tenancy checks as circumstances can often change from the time of completion of the application to a prospective offer of accommodation.

### **4.2 How Lettings to Councillors and Council Staff and Housing Association Board Members and Staff are made**

Blaenau Gwent County Borough Council and its partners are committed to providing a fair and equitable housing allocation scheme to all its applicants. We do not exclude any employee, Council Member, Board Member, close relatives of employees, Council Members or Board Members, or former staff from applying for a tenancy.

All persons eligible are entitled to apply to the scheme and all applicants will undergo the same assessment procedure.

Staff, Board Members and Council Members and their relatives will be treated the same as any other applicant and must not be seen as receiving any preferential treatment.

However, the following steps will be followed when a member of Staff, Board Member or Council Member submits an application:

- The applicant will have no involvement in any decisions or the inputting of their application;
- The applicant will have no involvement in the awarding of priority or band;
- Applications should be clearly marked that the application is that of a staff member, Board Member, Council Member or a relative; and
- At the point of offer the relevant form must be completed and signed off before the offer is complete.

Guidance on permitted payments and benefits to staff and board members of Housing Associations in Wales states that when an offer is made there is an exemption to permit the grant of a tenancy or the disposal of a house to an officer or to a close relative of an officer provided that the written consent of the Welsh Government has been obtained.

### **4.3 How Lettings to Applicants Requiring Adapted Properties are Made**

Applicants requiring adapted properties will apply for housing in the same way as applicants requiring general needs properties. Applications will be awarded priority in accordance with the banding system as set out in Section 3. However, at the point of application, such applicants will be required to complete a self assessment form. The purpose of the self assessment form is to identify the appropriate classification of the disability needs of the applicant which will identify the type of property required.

When an adapted property becomes available for allocation the relevant housing officer from the Housing Association will identify applicants requiring an adapted property, which are at the top of the relevant band. The housing officer will follow the process used for selecting an applicant for a general needs property i.e. identifying an applicant from Emergency Band first and if there is no suitable applicant in this band, moving to Gold Band and so on (dependant on the current quota system in place).

When a general needs property becomes available for allocation this will be allocated to the person at the top of the relevant band in accordance with Section 3. Some applicants requiring adaptations may not necessarily need a bungalow, level access or a fully adapted property and a general needs property, which can be adapted, may be suitable. If the applicant at the top of the band requires an adapted property, the housing officer will assess the suitability of the property to identify if the property can be adapted to meet the needs of the disabled applicant who is at the top of the list. Where the Housing Officer assesses the property as being suitable, an Occupational Therapist will be required to undertake a verification visit. The Housing Officer

and the Occupational Therapist must consider the, suitability, practicality and the cost effectiveness of adapting the available property.

#### **4.4 How Lettings to Applicants Requiring Older Persons and Sheltered Housing Accommodation are made**

Sheltered Housing offers of accommodation, often within complexes, specifically designed with the needs of older people in mind.

It aims to provide a safe environment where people can make friends and enjoy a range of social activities while living independently. In some cases, a warden service is supplied for greater reassurance and better security.

To be eligible for Sheltered Housing applicants must be:

- aged 55 or 60 and over or an relevant support need, depending on the accommodation and Housing Association (Appendix 6)
- eligible for housing as detailed in the Scheme and appear in an appropriate band as set out in the Scheme
- be made aware of the cost of moving into a Scheme before a letting is carried out

A list of these schemes can be seen in Appendix 7.

#### **4.5 How Lettings to the Council's Gypsy Traveller Caravan Site are made**

Lettings to the Council's Gypsy Traveller Caravan Site will be made in accordance with this Allocation Policy.

## **Section 5: How to apply to join the Common Housing Register**

### **5.1 How to Make an Application**

Homeseekers need to complete an initial assessment form that is available at the Council and all partner Housing Association offices. However, the supply of vacant social housing for letting varies greatly across the County Borough and in some areas, or for some types of property, the waiting times are long. For this reason applicants will not be able to complete a full application form until a personal housing solutions interview is undertaken, whereby applicants will be given housing advice to help them make informed choices about their area preferences, explore other options for accessing housing within the County Borough, and carry out verification checks of:

- identity – staff will accept a passport, driving license, utility bills, post office statements or bank statements;
- household details including income;
- details of current address and family circumstances;
- housing circumstances.

Applications can be made face-to-face at the Housing Solutions Office, 20 Church Street Ebbw Vale NP23 6BG or by telephone (01495 354600). Alternatively, some applicants may require an officer to visit them at home. This can be arranged by telephoning the Housing Access Team on (01495 354600).

Applicants are encouraged to complete the initial assessment form themselves. However, if completed at the Housing Office, staff will be available to go through the form with the applicant to ensure that the required information is collected. Staff are also available to give support in completing the applications if requested by telephone and translation services are available at the Housing Office via language line, where needed.

As part of assessing eligibility to join the Common Housing Register applicants are initially asked the four following questions:

1. Have you resided in the UK for the past 5 years?
  - a. If 'yes' are you British?
  - b. If 'no' what is your nationality?
2. Are you threatened with Homelessness?
3. Have you committed an act of unacceptable behaviour?
4. Do you have an unspent criminal conviction?

If an applicant answers 'yes' to any of the above questions they are required to contact the Housing Access Team on 01495 354600, who will then direct the applicant to the most appropriate officer. Applicants who answer 'yes' to questions 1 and 2 are required to make an appointment with a Housing

Options Officer and applicants who answer 'yes' to questions 3 and 4 are required to make an appointment with the Housing Access Team. The purpose of the appointments is to fully assess the applicant's eligibility and provide advice and assistance on their eligibility.

Applicants with a criminal conviction will be referred to the Local Housing Panel. The Local Housing Panel is responsible for confirming eligibility based on the following tests of unacceptable behaviour:

- Has the applicant been evicted for neighbour nuisance / and or anti social behaviour?; or
- Has the applicant been convicted of specified conduct or in view of the panel have been guilty of such conduct?; or
- Has the applicant made a clear attempt to obtain accommodation by deception?

Where the Local Housing Panel assesses the applicant or member of their household as having unacceptable behaviour, where the behaviour is serious enough to make them (the applicant) unsuitable to be a tenant and where the behaviour is still deemed to be unacceptable at the point of application, the applicant may be determined to be ineligible to join the scheme.

Where the Local Housing Panel has assessed the application as ineligible, the Senior Housing Access Officer will write to the applicant to advise them of this, providing full reasons for the decision and advising of their right to ask for a review of this decision.

Where an applicant is deemed eligible the Housing Access Team will continue the application process and inform the applicant of this decision. Applicants who are required to provide any supporting evidence are advised of this in writing once their application has been assessed and need to present this evidence to the Housing Access Team. Upon receipt of all supporting evidence the application is completed and a band is awarded. The decision is confirmed in writing to the applicant and includes information on the applicant's right to appeal.

All applicants are required to undertake a Housing Solutions Interview where the applicant's housing needs and housing options are discussed in detail. The purpose of the Housing Solutions Interview is to determine whether or not applying to the Common Housing Register is the most appropriate housing option for the applicant.

Following verification of an application form, the Housing Access Team will confirm the band that has been awarded in writing, along with advising the applicant on their right to appeal.

## **5.2 Making an Application by Telephone**

All applicants are encouraged to attend a Housing Solutions Interview, following completion of an initial assessment form. However, on occasions



there may be certain circumstances, such as medical circumstances or living outside of the County Borough, that mean the applicant cannot attend the interview. Therefore, the housing solutions interview will be undertaken over the telephone by contacting the Housing Access Team on 01495 354600.

Applicants are initially asked the four eligibility questions mentioned above and if they answer 'yes' to any of the questions the Housing Solutions Team will direct the applicant to the most appropriate officer. Applicants who answer 'yes' to questions 1 and 2 are required to make an appointment with a Housing Options Officer and applicants who answer 'yes' to questions 3 and 4 will be asked a series of question to determine their eligibility and be referred to the Local Housing Panel.

Where supporting evidence is required applicants will be advised of this and asked to present the evidence to the Housing Access Team and the band will not be confirmed until the evidence has been assessed.

Upon completion of the above, if the housing option is to join the Common Housing Register the applicants will be advised of their band and the Housing Access Team will confirm this in writing.

### **5.3 Making an Application In Person**

Applications can be made by visiting the Housing Access Team based at the Housing Solutions Service, 20 Church Street Ebbw Vale NP23 6BG. As previously mentioned all applicants are asked the four eligibility questions upon which the Housing Access Assistant filters the applicant to the most appropriate officer.

Applicants threatened with homelessness or have not resided in the UK for the past 5 years are required to make an appointment with a Housing Options Officer. Applicants who have an unspent criminal conviction or have committed an act of unacceptable behaviour are required to undertake a Housing Solutions Interview.

All applicants making an application in person are also required to undertake a Housing Solutions Interview, and depending on the outcome of the consultation are filtered to the most appropriate housing option. If the housing option is to join the Common Housing Register the application is completed and a band assigned.

Where supporting evidence is required applicants are advised of this and asked to present the evidence to the Housing Access Team, where upon receipt the Band will be confirmed.

### **5.4 Home Visits**

Some applicants require a home visit and therefore at the point of contact to the Housing Solutions Team, the team will:

- Undertake a brief telephone assessment to assess eligibility and identify any supporting evidence that may be required, and can be presented at the home visit;
- Once eligibility has been confirmed, undertake the home visit and verify supporting evidence;
- Complete the application process;
- Following completion of this process the housing access team will confirm the band in writing and advises of the applicant's right to appeal.

## **5.5 Housing Solutions Interview**

Every applicant, except in exceptional circumstances, is required to undertake a Housing Solutions Interview. The Housing Solutions Interview is an informal discussion and its purpose is to understand the applicant's housing need and to offer advice on alternative housing solutions. The Housing Solutions Interview will also determine whether applying to the Common Housing Register is the most appropriate housing solution for the applicant.

Where this is not the case the applicant is advised of other housing options such as low cost home ownership or private rented accommodation.

During the Housing Solutions Interview applicants are provided with very detailed information regarding social housing within the County Borough. Applicants are advised of high and low demand areas and the average waiting times that applicants have to wait before being housed in certain areas. As a result of this, applicants may find that their prospects of being housed through the Scheme are better in some areas than others.

All applicants are signposted to the Housing Solutions website ([www.blaenaugwenthousing.co.uk](http://www.blaenaugwenthousing.co.uk)) and may be provided with hardcopies of information, where required, which includes information on a range housing options, including those mentioned above.

All interviews and home visits will also be used to check and enquire about current or previous rent arrears or other breaches of tenancy, current or previous damage to property and harassment/anti-social behaviour or relevant criminal activity. Contact will also be made with current and previous landlords in relation to these issues.

## **5.6 The Provision of Advice and Information**

The Housing Access Team aims to provide advice and assistance to enable applicants to make informed choices and to ensure that no applicant is disadvantaged.

Applicants can also meet with an independent housing advisor at either Shelter Cymru or Citizens Advice Bureau. The sort of advice that the Council will provide includes:

- How to apply to join the housing register and the sorts of supporting information that will be required;
- Assistance to complete the application form if required;
- Explanation of the priority bands;
- How to exercise choice of area;
- Options advice to consider other forms of housing such as private renting or low cost home ownership;
- Information regarding the implications of over-occupation and the bedroom tax.

If there is a difficulty in understanding any aspect of our Allocation Policy, the Council will arrange for a member of housing staff to provide advice and guidance on the Policy, and answer any questions applicants may have. There is also access to 'Language Line' for those individuals whose first language is not English.

### **5.7: The Homeseekers responsibility to provide information**

The Housing Access team responsible for assessing new applications will assess whether the person qualifies for the Housing Register and, if they do, will use the policy to assess the person's housing needs and will award any priority to that persons' application if they meet the criteria set out.

Applicants will be required to sign a declaration to:

- Confirm that the information given is correct and that they will notify the Council of any change in their circumstances.
- Give consent to allow enquiries to be made concerning their eligibility for housing and level of priority.
- Give consent to allow information to be provided to another partner organisation in the scheme.

It is the responsibility of the applicant to provide the Council with all the information and to provide any supporting information or documents as requested. Incomplete applications will not be made active until such time as the Council is satisfied that it has in its possession all of the information it requires to complete its assessment. All incomplete applications will be cancelled after a period of 28 days of inactivity from the applicant from the date information has been requested and not been provided. This cancellation does not prevent the applicant making a subsequent application at a later date, although all applicants should note that in such cases the effective date of registration would not be backdated to the earlier application date.

All applicants must provide the information that is requested so that the Housing Access Team can confirm their details and award the appropriate

Band. If supporting evidence is not provided then this will delay the application process and therefore hold up any potential allocation.

Applicants will be provided with information regarding their own applications as follows:

- Unique Reference Number;
- What band they have been awarded;
- What information they need to supply for verification purposes;
- If the applicant has been awarded reduced priority or are found to be ineligible what actions, if any, need to be undertaken to resolve this;
- Registration date (the registration date of all 'trade-down'<sup>1</sup> tenants who will be placed in the Emergency Band) will be the date that their tenancy commenced with the participating registered social landlord.

## **5.8 Checks into any court cases or unspent criminal convictions**

In the interests of assessing an applicant's eligibility to join the register all applicants and members of their prospective household will be requested but not required to disclose any pending court cases or unspent criminal convictions.

The Council may use any information disclosed, or any other information obtained during the assessment or following registration, to ascertain whether the applicant should be disqualified from joining or remaining on the register due to serious unacceptable behaviour and/or because depending on the facts they may pose a serious risk to a community where they might be housed. Where a criminal conviction is spent the Council will not take into account that an applicant had received a criminal conviction is assessing that person's eligibility to join the register. The assessment will reflect whether there is evidence of any current serious unacceptable behavior regardless of whether a person has been convicted in the past for that behavior.

If the Council decide on the information obtained during the assessment process that there is a real pressing need for a police check an approach may be made to the Disclosure Scotland agency for information but only to establish whether the person has been involved in a serious crime(s) that may come under the unacceptable behaviour non-qualification criteria.

Information gained will not automatically exclude an applicant from the register. It may also be used to make informed decisions about any nomination for vacant property.

All assessments will be carried out in accordance with the data protection and information sharing policies and legal requirements.

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<sup>1</sup> Someone who moves to a smaller property e.g. moves from a 3 bedroom house to a 2 bedroom house.

## **5.9 Notification of Registration**

When an application has been fully assessed the person who applied will be notified in writing and this notification will include the following information:

- Whether they qualify for the register and if not why not
- If they do qualify they will be informed in the notification of their registration date and their registration reference number and
- The Band they have been placed into according to their housing need, and the type of property for which they may bid for

## **5.10 The requirement to inform the Council of any change of circumstances**

It is the responsibility of the applicant to inform the Council of a change of circumstances and, where requested, provide proof of the change. Notification of a change in circumstances should be done without undue delay and within 2 weeks of the change. Examples of a change in circumstances include but are not limited to:

- (i) A change of address or contact details, for either themselves or members of their prospective household;
- (ii) A change in their medical condition or disability (either existing or newly acquired);
- (iii) Additional family members or other people they wish to add to their application (It will be for the Council to decide whether they will allow additional people to join the application);
- (iv) Any family member or any other person on the application who has left the accommodation; and
- (v) Any significant changes in income, savings or assets, which is likely to have a material effect on the application.

It does not follow that every change in circumstance will result in a change in priority. However, a change may mean an applicant qualifies for additional preference or may mean that an applicant no longer qualifies for the priority band. The Council will verify and assess the extent of the change to ascertain whether this will result in a change in priority. Applicants will not actively be considered for housing whilst the change of circumstance is being verified and the Council will endeavor to assess the change as quickly as possible.

## **5.11 Giving False Information**

Under section 171 of the 1996 Housing Act it is a criminal offence for anyone applying for housing from a housing authority to knowingly give false information or to withhold information relevant to their application. An offence

is also committed if a person allows a third party to provide false information on their behalf.

Customers who are found to have withheld or given false information may be removed from the register and will not be able to reapply for a period of 12 months. Decisions to remove the person from the register will be made based on the seriousness of the false information given and an assessment of why the information was withheld.

### **5.12 Deliberate Worsening of Circumstances**

Where there is evidence that a customer has deliberately made their housing situation worse in order to gain a higher priority on the register, they will be assessed based on the circumstances before their situation changed.

Examples of this are:

- Customers who have allowed family members or others to move into their property, who previously had suitable accommodation or the financial means to secure their own accommodation, and this has resulted in the property being overcrowded.
- Customers who have moved from previously suitable or more suitable accommodation which it were reasonable for them to continue to occupy, into a less suitable property.
- Homeowners who have transferred their property to another family member within the last 5 years from the date they make their application to the Register.
- Giving up affordable and suitable private rented accommodation which they are able to maintain, to move in with other relatives or friends, creating a situation of overcrowding and/or sharing of bathroom/kitchen and/or a split household;
- Requesting or colluding with a landlord or family member to issue them with a Notice to Quit;

## **Section 6: Service Standards, Annual Letting Plans and Reviewing the Common Register**

### **6.1 The Service Standards applied to the Scheme**

The Council is no longer a social landlord and does not own any domestic properties. In December 2010, the Council transferred all of its housing stock to Tai Calon Community Housing, which has been set up to specifically manage all of the Council's transferred housing stock.

If you were a Council tenant in Blaenau Gwent at the time of transfer, you automatically became a Tai Calon tenant.

The Council still has responsibility to ensure the needs of residents are met through housing services provided locally and has a duty to help people who are homeless or threatened with homelessness.

The Council as administrators of the Common Housing Register will consider every application received and will:

- Provide free advice and information about the right to apply for accommodation;
- Provide detailed advice on eligibility to join the Common Housing Register;
- Provide free assistance to applicants who may have difficulty in making an application;
- Ensure that all information is easy to understand and is readily accessible
- Outline how choice is offered and the ability of applicants to indicate their preferences;
- Provide detailed advice on the types of accommodation that is available throughout the County Borough;
- Provide detailed information on the length of time applicants are likely to have to wait to be re-housed in their area of choice, and also information on the length of waiting time for any other area;
- Provide a full copy or summary of this Scheme to all households that request them and always provide a summary of the Scheme to people accepted as being owed a full duty as statutorily homeless;
- Treat applicants equally in accordance with their housing need and where possible their choices, regardless of race, religion or creed, ethnic or national origin, disability, gender, sexual orientation or marital status or age;
- Regularly monitor all nine equality strands of applicants;
- Ensure that all information provided by applicants will be treated with strict confidentiality.

### **6.2 How the Annual Lettings Plan Works**

The Scheme will use a targeted banding system to prioritise applicants. This means that each band will be allocated a target (or a set of lettings indicators) indicating the number of allocations to be shared between the different bands.

The Common Housing Register Partners will then use this plan when making their allocations to ensure that the Scheme meets its legislative and contractual requirements by housing those in greatest housing need and helps to build sustainable communities.

This Scheme is substantially different to the one previously in operation due to the change from a points based system to a banding system. In order to determine the targets for each band, the Council has undertaken an analysis of the points of the applicants who were allocated a property over the last two years under the previous scheme and matched these points broadly to the new banding system. This has allowed the Council to identify which band these applicants would have been awarded under the banding system. Using trends in this way has allowed the Council to set appropriate targets for each band and will ensure that the Council will continue to meet its statutory obligations towards homeless households whilst also assisting other reasonable preference applicants, local strategic priority applicants and will contribute to achieving sustainability.

The targets for this period have been set as follows:

- Emergency Band 25%
- Gold Band 60%
- Silver Band 10%
- Bronze Band 5%

The targets will be reviewed after the first six months of operation of the Scheme and annually thereafter to ensure that the targets for each band have been set appropriately.

### **6.3 How Future Lettings Plans will be developed**

After the first year of operating the revised Policy, accurate data will be available on the allocations made under each band and this will inform any future amendments to targets if necessary. This data will also allow for considering other monitoring options such as:

- Current applicants on the Common Housing Register split by band;
- The split of need within band i.e. how many applicants have Reasonable Preference and for which reasons;
- The percentage of a particular Reasonable Preference group that has been re-housed within the year; and
- The percentage of allocations made under each Band broken down by area

### **6.4 Reviewing who is on the Common Housing Register**

Each customer on the Housing Register will have their application reviewed annually. This may be based on an annual review date or a rolling review 12 months from the applicant's date of registration. A letter will be sent to all



customers requesting confirmation of their current circumstances and that they wish to remain on the register. Applicants will be sent a reminder after 14 days. If they fail to respond within a further 14 days, this will result in the applicant being deleted from the scheme.

All deleted applicants will be notified in writing. If the applicant can provide a good reason, such as being on holiday, for not responding to the review request in time then the application may be reinstated with their original application date.

## **6.5 The Procedure for Dealing with Changes in Circumstances**

All homeseekers must keep the Housing Access Team at the Council informed of any changes in their circumstances. Amongst the more detailed information described below, this also includes details such as contact telephone numbers.

Where a change of circumstances leads to a change in band, the homeseeker will be advised in writing of the new band, the reason for it and the applicable priority date. As noted previously in this Policy, homeseekers have a right to request a review of this decision.

Where a change of circumstances leads to a homeseeker being moved into a higher priority band, the registration date will become the day the Council received notification of the homeseekers change in circumstances.

If a homeseeker moves into a lower band as a result of a change in circumstances, then the original registration date will be used as the priority date.

Applicants must immediately notify the Housing Access Team of any changes in their circumstances and provide evidence to support these changes as a change in circumstances could alter an applicant's position on the Housing Register.

Any applicants that do not respond within the timescale for providing additional information may be removed from the Scheme. Applicants will not be considered for an offer of accommodation whilst the Housing Access Team is awaiting the required information. Applicants who have been removed from the register have the right to a review of the decision within 21 days.

If a household deliberately worsens their circumstances (see the relevant section in this policy) following a voluntary change of address, the application may, for a period of not more than 12 months, be awarded reduced preference. Anyone forced to leave their home through violence or a genuine fear of violence will not be considered to have deliberately worsened their circumstances.

## **6.6 Cancelling Applications**

An application will be cancelled from the housing register in the following circumstances:

- a) At the customers request.
- b) If the customer becomes ineligible for one of the qualification grounds.
- c) When the customer has been housed.
- d) On failure to reply to a review letter or requests for further information within a given time period.
- e) An applicant has been housed in a secure or assured tenancy by another Local Authority or other Housing Association not part of the Common Housing Register;
- f) Where an applicant leaves temporary accommodation without a forwarding address;
- g) When the applicant moves to a new address and does not complete a new application form.
- h) Where it is discovered that the customer has given false or misleading information

## **Section 7: Reviews and Appeals**

### **7.1 Reviews and Appeals**

As noted throughout this Policy, homeseekers have the right to request a review of decisions made about their eligibility, their priority and their removal of priority. Any homeseeker that wishes to ask for a review of a decision must make this request in writing to the Housing Solutions Manager. Reviews will be heard by the Local Housing Panel the terms of reference and scope are set out at Appendix 6.

Customers who want to review a decision made in relation to their application to join the register and certain subsequent decisions have a right to ask for a formal review of the decision.

There is a legal right to a review of a decision in the following circumstances:

- a) The customer disagrees with a decision not to place them in a band or disagrees with the band they have been placed in. This includes where an applicant has been awarded adjusted preference meaning a reduced preference award.
- b) The customer considers that a decision has been reached based on incorrect information.
- c) The customer has been treated as ineligible on the basis of their immigration status.
- d) They have been treated as ineligible to join the register due to serious unacceptable behaviour.
- e) Their priority on the register has been given no priority status due to unacceptable behaviour.

#### **The review procedure**

- 1. Customers will be notified in writing of decisions made in respect of the review.
- 2. Customers must request a review of a decision within 21 days of being notified in writing, unless there are exceptional circumstances that they did not request a review within that timescale. They must give reasons why they wish to have the decision reviewed including where they believe an incorrect decision has been made on the facts.
- 3. A senior officer in Blaenau Gwent Housing Service who was not involved in assessing the case will informally consider the case and may provide an informal view to the applicant. Where the applicant wishes to proceed with a review this will be considered by the Local Housing Panel.
- 4. The review will be considered on the basis of the authority's allocation scheme, any legal requirements and all relevant information. This will

include information provided by the applicant on any relevant developments since the original decision was made

5. The Council will aim to complete and inform the applicant in writing of the decision within 21 working days depending on the frequency of the Local Panel meetings, after taking into account any additional information that has been provided by the customer. Alternatively, the customer will be advised of any time extension required to make the decision. There is a further right of appeal to the Housing Appeals Panel.

## **Appendix 1: Assessment criteria for when an applicant's housing needs be awarded the Emergency Priority Band for additional preference, or the Gold or Silver reasonable preference bands.**

The allocation scheme has been designed to ensure that reasonable preference is given to all of the following categories of people, as set out in s.167(2ZA) of the Housing Act 1996:

- (i) People who are homeless (within the meaning of Part 2 of the Housing (Wales) Act 2014;
- (ii) People who are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014;
- (iii) People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions;
- (iv) People who need to move on medical or welfare grounds including grounds relating to disability.
- (v) People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).

These reasonable preference groups have been reflected in the Allocation Policy through a Banding system. Anyone with an award of reasonable preference plus additional preference to reflect their very urgent housing needs will be placed in the Emergency Band. All other applicant's owed a statutory reasonable preference will be awarded either Gold, or Silver depending on the Council's assessment of their relative housing need. Homeseekers not assessed as being owed a reasonable preference or have been assessed as being owed a reduced preference will be granted Bronze Banding. The summary table set out in the main body of the Policy records when Emergency, Gold, Silver or Bronze banding will be awarded.

Below are the detailed criteria that will be used to assess whether an applicant should be awarded one of the statutory reasonable preference categories and what Band will be awarded to reflect this. Note once an applicant is awarded reasonable preference they will receive no more priority if they would qualify for more than one priority category unless their housing circumstances are so urgent that they qualify for an award of additional preference – the Emergency Priority Band. The Emergency band is time limited and cases will be assessed after 3 months and if allowed to remain in the band their case will be reassessed every 3 months to determine whether the award of Emergency band status should still apply.

### **Reasonable Preference Group 1 - People who are homeless within the meaning of Part 2 of the Housing Wales Act 2014**

### **Circumstances when the Emergency Band (additional preference) will be awarded**

Homeseekers who have become homeless due to a fire, flood, or disaster and require accommodation within a short time period.

### **Circumstances when Gold, or Silver Banding to reflect a reasonable preference will be awarded**

Customers assessed, as meeting the criteria for this reasonable preference category will be.

- a) People who are homeless and have been assessed as not being owed a s75 duty because they are not to be in priority need – **Silver band**
- b) People where it has been verified by the authority that they are rough sleeping in Blaenau Gwent County Borough and owed a section 73 duty – **Gold Band**
- c) Applicants who live in accommodation that is a moveable structure, like a caravan, but there is no lawful place they can lawfully place it and live in it – **Gold Band**
- d) Applicants who have made a homelessness application and have been determined by any Council to be homeless but not owed a s75 duty because they have been found to be intentionally homeless – **Silver or Bronze banding or no priority depending on the circumstances of their case.**

**Note:** those found intentionally homeless may be granted reduced preference or even not eligible or eligible but granted no priority by virtue of any unacceptable behaviour that led to the intentional homeless decision. This will be decided by applying the unacceptable behaviour criteria set out in the policy. They will remain under that reduced preference or no priority award until the matters that have led to that decision have been successfully resolved by that applicant to the satisfaction of the Council.

### **Reasonable Preference Group 2 - People who are homeless and are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014**

**Note:** The reasonable preference award will only apply whilst any of the duties listed are still owed. Once an applicant is no longer owed a duty, (say for example where an applicant who was owed a s73 duty because they are homeless but where that duty has come to an end for any of the rules set out in the legislation including where a period of 56 days have elapsed, no duty will then be owed and the applicant will no longer be entitled to an award of reasonable preference for being owed a s73 duty to relieve homelessness).

### **Circumstances when the Emergency Band for additional preference will be awarded**

There are no additional preference criteria for this category

## **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Applicants who will be given this preference are:

- a) Applicants owed a S75 duty because they have been assessed as eligible, homeless, in priority need and not intentionally homeless – *Gold banding*
- b) Applicants owed a S66 threatened with homelessness duty, or S73 homeless duty but only for as long as that duty is owed to the applicant – *Gold banding*
- c) Applicants owed a S66, or S73, or S75 duty by another Council not Blaenau Gwent Council will be given reduced preference and will sit at the bottom of the Silver band and will only be considered for an offer of accommodation in the circumstances where no other applicants in priority bands emergency, Gold or Silver with a local connection have expressed a preference for a vacant property. They do, however, remain able to be considered for any property where no one in the Emergency, Gold or Silver bands have expressed a preference for and will be considered for any such property before applicants in the Bronze band.

**Note:** Proof of other Local Authority homelessness duty owed will be required before the application is processed and further proof will be requested to continually monitor that the correct band has been awarded. If the information requested is not supplied, then application will be put on hold until the circumstances can be determined.

## **Reasonable preference group 3: People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions**

### **Circumstances when the Emergency Band for additional preference will be awarded**

Customers will be awarded additional preference in the following circumstances:

- a) Households living in conditions which give rise to an imminent risk of serious harm and where the Local Authority has served a Prohibition Order under Part 1 of the 2004 Housing Act.
- b) Demolition or Compulsory Purchase Order (CPO). Where the applicant's property is subject to demolition or subject to a Compulsory Purchase Order for redevelopment.

Note 1: For tenants of a Council or another Registered Social Housing Provider in another local authority council accommodation the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will additional preference be awarded.

Note 2: Applicants guilty of deliberately worsening their circumstances will not be given additional preference.

Note 3: Children will only be included in the overcrowding calculation at their main place of residence.

Note 4: The assessment applied by the Council will be to consider the facts and information received from the applicant and from any other Council department (such as the private sector housing team) or from any other organisation and the officer assessing the impact will apply the test set out below.

**Assessment check 1:** The officer will decide on the facts known what the seriousness of the impact is on the applicant and, or, any member of the household – the typically impact could be on the applicant's health for example.

**Assessment check 2:** Then the officer will consider what can be done to 1) immediately lessen or remove the impact and 2) in the near future to lessen or remove the impact e.g. action that can be taken by a landlord or the team responsible for private sector enforcement work in the council.

**Assessment check 3:** The officer will assess the new likely impact following any actions that can be carried out immediately and in the near future to lessen or remove the impact and will decide if there is still an imminent risk of serious harm.

### **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Applicants will be awarded reasonable preference in the following circumstances:

a) Applicants without access at all to any of the following facilities. No access to:

- a. A bathroom or kitchen
- b. An inside WC
- c. Hot or cold water supplies, electricity, gas or adequate heating.

Applicants who have access to shared facilities in shared accommodation will not qualify under these criteria – **Gold band**

b) Where an officer from the Council has determined that a private sector property (tenanted) contains one or more serious Category 1 hazards as defined by the Housing Health & Safety Rating System that are having a severe impact on the household. The awarded is made in respect of a significant risk to the health and well being of the occupant(s), and where there is no prospect of the issues being remedied in a period of time that the Council considers reasonable – **Gold Band**

c) The applicant is occupying insanitary or unfit accommodation and living in unsatisfactory housing conditions that present some risk to the health and safety of the occupants – **Silver Band**



d) Overcrowding of 2 bedrooms or more measured by the bedroom standard i.e. Where a Local Authority officer has made an assessment using the Housing Health and Safety Rating system and concluded there is a serious high risk of harm due the impact of severe overcrowding – *Gold Band*

e) The applicant is living in accommodation that is not suitable to their needs because it is short by one bedroom which is suitable to their needs – *Silver Band*

The standard used to assess overcrowding is as follows:

A separate bedroom should be allocated to the following persons:

- ✓ A person living together with another as husband and wife (whether that other person is of the same sex or the opposite sex).
- ✓ A person aged 16 years or more.
- ✓ Two persons of the same sex aged less than 16 years.
- ✓ Two persons (whether of the same sex or not) aged less than 10 years.
- ✓ Any person aged less than 16 years in any case where he or she cannot be paired with another occupier.

Note 1: For tenants of a Council or another Registered Social Housing Provider in another local authority area who apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.

Note 2: Applicants guilty of deliberately worsening their circumstances will not be given reasonable preference.

Note 3: Children will only be included in the overcrowding calculation at their main place of residence.

Note 4: The assessment applied by the Council will be to consider the facts and information received from the applicant and from any other Council department (such as the private sector housing team) or from any other organisation and the officer assessing the impact will apply the test set out below.

**Assessment check 1:** The officer will decide on the facts known what the seriousness of the impact is on the applicant and, or, any member of the household – the typically impact could be on the applicant's health for example.

**Assessment check 2:** Then the officer will consider what can be done to 1) immediately lessen or remove the impact and 2) in the near future to lessen or remove the impact e.g. action that can be taken by a landlord or the team responsible for private sector enforcement work in the council.

**Assessment check 3:** The officer will assess the new likely impact following any actions that can be carried out immediately and in the near future to lessen or remove the impact and will decide if there is still an imminent risk of serious harm.

**Reasonable preference group 4: People who need to move on medical or welfare grounds including grounds relating to disability.**

**Circumstances when the Emergency Band for additional preference will be awarded on medical or disability grounds**

A customer who has an extremely urgent and immediate need to move for medical reasons or due to a disability, which is being exacerbated by their current housing situation will be awarded additional preference and placed at the top of the list.

An officer of the Council in the Housing Access Team will make the initial assessment of whether the applicant's medical circumstances qualify for an award of additional preference or reasonable preference or do not meet the criteria to be awarded any priority. All officers will have received training on assessing such cases. They will assess cases according to clear criteria. The assessment will not be of the customer's health but how their accommodation affects their health. The criteria to be used to assess cases is attached at appendix 4.

If the medical impact lies outside of the criteria set out in the policy the assessing officer may take further advice from the Council's Occupational Therapist Service. Where it is felt necessary further information may be required from the applicant's Doctor or Health Specialist.

The following are examples of cases that may qualify for additional preference Emergency Band priority. It may apply to the applicant or a member of their household:

- 1) An immediate life threatening condition which is seriously affected by the current housing and where re-housing would make it significantly easier to manage
- 2) A serious illness, is currently receiving palliative care and urgently requires rehousing to facilitate the on-going provision of this care;
- 3) A life limiting condition and their current accommodation is affecting their ability to retain independence or enable adequate care;
- 4) The applicant's health is so severely affected by the accommodation that it is likely to become life threatening, e.g. applicant has severe mental health problems that are significantly exacerbated by their accommodation;
- 5) A new and life-changing condition that severely impairs their mobility, meaning they are unable to carry out day-to-day activities or have difficulty accessing facilities inside and outside of their accommodation and requires rehousing into accommodation suitable for their use;
- 6) Where their current property leaves a person at risk of infection, e.g. where an applicant is suffering from late-stage or advanced HIV infection
- 7) A member of the household cannot be discharged from hospital until a suitable adapted property is provided.

- 8) Due to limited mobility a person is unable to access essential parts of the property e.g. bathroom/toilet and requires re-housing into accommodation suitable for their use.
- 9) A member of the household is elderly or disabled or has a progressive illness and is likely to require admission to hospital or residential/nursing care in the immediate future and re-housing would enable the person to remain at home.
- 10) Armed forces personnel who need to move to suitable adapted accommodation because of a serious injury, medical condition or disability he or she, or a member of their household, has sustained as a result of service.
- 11) Veterans who have seen active service within the armed forces and are suffering from post traumatic stress disorder or serious illness directly related to service in the Forces
- 12) People who have a severe mental health or learning disability which significantly affects their ability to lead a normal life and which puts them at risk of admission to hospital or residential care.
- 13) People living in a mobile home, caravan or converted vehicle which, due to medical conditions, does not meet their needs
- 14) The applicant or household member requires significant disabled adaptations to meet their needs and this is not possible in their current accommodation or would not be cost effective.

*All of the above examples would be granted Emergency Band Priority*

### **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Customers whose housing is unsuitable for medical reasons, or due to a disability, but who are not housebound, or whose health, or safety is not at such a risk level to require urgent housing will be awarded Gold band reasonable preference priority if they meet the criteria set.

The following are examples that may qualify for the Gold reasonable preference priority band:

- 1) Children with severe conditions such as autism, or cerebral palsy where their long term needs cannot be met without settled accommodation.
- 2) A person whose disability means that re-housing would enable them to overcome physical barriers created by their current accommodation e.g. stairs and steps.
- 3) A person with a severe disability requiring substantial adaptations to a property not provided for in their current accommodation.
- 4) A person with a terminal illness or long term medical debilitating condition whose current accommodation is not having a significant impact on their condition but where their quality of life would be significantly improved by moving to alternative settled accommodation which may or may not be closer to support.

The applicant's current accommodation is unsuitable and exacerbates the applicant's or other household members' health condition and more suitable alternative accommodation is required to improve the health condition □ - *Silver Band*

**Circumstances when the Emergency Band for additional preference will be awarded on welfare grounds.**

***1) Need to move on welfare grounds - Domestic abuse, extreme violence or extreme harassment.***

Applicants who need to move immediately due to domestic abuse, extreme violence or extreme harassment will be awarded additional preference. This includes hate crime, disability, racial, homophobic or transgender harassment and will be awarded for exceptional circumstances where there is a high risk to the tenant or their family's safety if they remain in the property/area and they therefore require an immediate move.

The assessment will be made by a senior officer within the Council and will be based on evidence provided by the customer and other appropriate statutory and voluntary agencies. This would include, the Police, Social Services, referrals by MARAC, Domestic Violence organisations and Lesbian and Gay support groups.

For tenants of a Council or another Registered Social Housing Provider in another local authority area that apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.

***2) Need to move on welfare grounds – high risk management transfer***

The applicant is a Housing Association tenant and is a high-risk management transfer, who is receiving support from a professional agency or who is subject to a MARAC (Multi Agency Risk Assessment Conference) that is making a recommendation of housing need. The transfer will enable the applicant's existing property to become available for an allocation to another applicant on the waiting list.

***3) Need to move on welfare grounds – a social housing tenant wishing to move where that move would release an adapted property needed by another applicant***

The occupant of a social housing property within the County Borough no longer requires the adaptations and will therefore be releasing an adapted property by moving and there is a suitable applicant on the waiting list for the adapted property that will be released through the move

***4) Need to move on welfare grounds – risk of a child having to be taken into care***

There is a likelihood of a child being accommodated by the Local Authority if re-housing is not made

The applicant's circumstances are not dealt with under any of the other circumstances in Emergency Band, however their housing need has been assessed by the Local Housing Panel as urgent and they require immediate re-housing.

***5) Need to move on welfare grounds - Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military accommodation and Bereaved spouses or civil partners of those serving in the regular forces***

Where an applicant requires accommodation as a result of leaving the Armed Forces and the loss of military accommodation and this has not been as a result of a dishonourable discharge. Or

Where their spouse or partner's death is attributable (wholly or partly) to their service and the bereaved spouse or civil partner's entitlement to reside in Ministry of Defence accommodation then ceases.

**Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

***1) Need to move on welfare grounds - Move on from supported housing projects – Gold Banding***

Applicants who are moving on from a specified list of supported housing projects in Blaenau Gwent (and also the Amber House Project) and are ready for independent living will be awarded additional preference if they meet the following criteria:

They must have vulnerability and urgent housing need that is best met through the provision of long-term settled housing. To qualify for this additional preference the support provider will provide a completed Move On from Supported Accommodation Request Form which provides evidence that the Council will use to assess whether the applicant:

- a) Has successfully completed a minimum of 6 months in the supported housing scheme (except in proven exceptional circumstances when a lesser period may be considered).
- b) Is ready to move to independent settled accommodation and is required to move on by the project to free up the unit for another individual in need.
- c) Specifically requires social housing to move onto because their circumstances make a move on option of private rented housing unsuitable
- d) Has a support package that has been assessed and is in place.

Customers can register when they move into supported accommodation and if they qualify for the priority band criteria they will be awarded the priority band when there is evidence that the person is ready to move on and can sustain a tenancy. Where the assessment is that the person is not ready to move on and is unlikely to sustain a tenancy they will be granted no priority until the time when they are assessed as ready to move on and can sustain a tenancy.

## ***2) Need to move on welfare grounds - Move on from Care for a young person – Gold Banding***

The applicant is a care leaver, vulnerable and has a high housing need that is best met by the provision of long term settled housing and the applicant has been assessed and approved by the Move On Panel as ready for re-housing. Applicants must be a former 'Relevant Child' as defined by the Children Leaving Care Act 2000.

These young people in care and ready to move out of care must be referred by Social Services in accordance with the move on protocol will be awarded additional preference.

Referrals will be made where a young person is ready to leave care. The criteria for the award of additional preference are:

- a) The young person is ready to move to independent settled housing and is genuinely prepared for a move to independent living.
- b) They possess the life skills to manage a tenancy including managing a rent account.
- c) The young person is in need of medium or long-term tenancy support.
- d) The support package has been assessed and is in place.
- e) Their needs are such that accommodation in the private rented sector, through its short-term nature, would have a detrimental effect on their move to independent living.

## ***3) Need to move on welfare grounds - Recommended by the Council for fostering or adopting children***

The applicant has been recommended by the Director of Social Services to foster or adopt children and whose current accommodation is not large enough

## ***4) Need to move on welfare grounds - Forced to live apart because current accommodation is unsuitable***

The applicant, their partner or children are forced to live apart because their current accommodation is unsuitable

\*This does not apply to those who are legally separated or are living apart due to relationship breakdown or family dispute

**Reasonable preference group 5: People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).**

## **Circumstances when the Emergency Band for additional preference will be awarded**

There are no additional preference criteria for this category

## **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

The categories of people who will be awarded reasonable preference on the grounds of hardship are:

- a) People who need to move for employment purposes
- b) People who need to move near relatives to give or receive support
- c) People who need to move to access medical treatment/social service facilities

**a) Employment Hardship** - Priority will only be given in exceptional circumstances and customers will need to show that they need to move to take up or continue an employment opportunity not available elsewhere. They will only be considered where they do not live within a reasonable commuting distance and will be required to provide confirmation of employment details from the employer – *Gold Band*

**b) Support Hardship** - Priority will only be given in exceptional circumstances and customers will need to show:

That they require substantial and ongoing support from relatives or friends or it is essential that they give substantial and on-going support to relatives. This will only be considered where there is a severe mental health, medical or welfare issue and there are reasons why this support cannot be achieved or maintained through reliance on public transport or the customer's own transport – *Gold Band*

Example of the information required in order to assess the priority include:

- Confirmation of name and address of the person who is to provide the care and the person who is to receive care
- Evidence of their care requirements (Doctor, Social Services)
- Evidence of links to care groups
- Other forms of support or care available to the applicant or the person to whom it is claimed support is required.
- Benefits relating to any disability or care. Disability living allowance information

### **c) Need to move to access medical treatment/social service facilities**

Priority will only be given in exceptional circumstances and customers will need to show that they have a need to access medical care and/or social services facilities and that cannot be met through reliance on public transport,

the customer's own transport, or through provision put in place by health or social services – *Gold Band*

The Council will apply the following test:

- Confirmation of name and address of the medical or social provision to which they need access
- Evidence of their care requirements (Doctor, Social Services)
- Evidence that no other provision is available and no assistance can be provided to enable them to access the service
- A determination by the Council that the need to access the medical treatment/social services facilities is essential

#### ***d) Reasonable preference for financial hardship***

Cases of financial hardship, following assessment, an applicant living in the private rented sector may be awarded reasonable preference. This is defined in the policy as working families whose income levels and hours worked qualify for Working Families Tax Credits and who are struggling to meet their housing costs in the private rented sector – *Gold Band*

#### **Local priority banding award where an applicant does not meet a reasonable preference criteria**

Where an applicant is an existing social housing tenant who does not qualify for Emergency Band or Gold Band but who wishes to transfer and has no rent arrears and have been a tenant for 12 months or more – *Silver Band*.

#### **When will Reduced Preference be awarded?**

The Council can grant applicants who would be owed either Emergency, Gold or Silver banding no priority or an adjusted priority (commonly referred to as reduced preference) in certain circumstances. The rules relating to when no priority will be awarded for unacceptable behaviour are set out in the eligibility section appendix 2 of the policy.

#### **Reason 1: No Local Connection**

The Council will give reduced preference for applicant's whose housing needs qualify for a reasonable preference award but where they do not having a local connection with Blaenau Gwent.

Applicants without a local connection whose housing needs would qualify for the additional preference band under the scheme will not be awarded additional preference unless the Council considers their circumstances to be absolutely exceptional. This will be decided on a case-by-case basis by the Service Manager responsible for the operation of the scheme.

The factors that will be taken into account in determining whether or not an applicant has a local connection with the County Borough include, but are not



limited to, whether they or a member of their household included in their application:

- 1) Has lived in the County Borough for a certain period of time, the more recent past being most relevant, in particular the last 12 months.
- 2) Has close family living in the County Borough, who have lived here for at least the previous five years
- 3) Has settled employment (paid or unpaid) in the County Borough; or
- 4) Have special circumstances that give rise to a local connection.

**Note:** Living in the County Borough means living in permanent accommodation, and will not include occupation of a mobile caravan, or motor caravan that is not on a residential site, or occupation of a holiday let which includes a hotel, bed and breakfast accommodation, hostel or permanent building whilst on holiday.

Circumstances may arise where it is not appropriate to apply the local connection Policy therefore the Housing Solution Manager discretion will evaluate each case on its own merit:

- MAPPA cases whereby Blaenau Gwent has agreed to assist another Local Authority will not be subject to local connection.
- Applicants who have been placed outside of Blaenau Gwent while being looked after by Children Services
- Homeless Applicants owed a S66, S73 or S75 duty by the County Borough under the Housing Wales Act 2014

MAPPA stands for Multi-Agency Public Protection Arrangements – a set of arrangements established by Police, Probation and the prison services (known as the responsible authorities) to assess and manage the risk posed by sexual and violent offenders. A number of agencies are under a duty to co-operate with the responsible authority, these include: Local Authority Social Services, Primary Care Trusts, Strategic Health Authorities, Youth Offending Team, Local Housing Authorities, Registered Social Landlords, Local Education Authorities, Job Centre Plus, and electronic monitoring providers. The purpose of MAPPA is:

- to ensure more comprehensive risk assessments, taking advantage of co-ordinated information sharing across the agencies; and
- to direct the available resources to best protect the public.

Where an applicant qualifies for an award of reasonable preference but that applicant does not have a local connection with the Council as defined above, they will sit at the bottom of the Silver Band and will only be considered for an offer of accommodation where no other applicants in the priority Bands above them (including silver band) with a local connection have expressed a preference for a vacant property.

**Reason 2: Owed a Homelessness duty under the Housing Wales Act 2014 or by the Housing Act 1996 by another Council in Wales or England**

Applicants owed a S66, or S73, or S75 duty by another Council in Wales or a full homelessness duty by a Council in England or Scotland by another Council and not Blaenau Gwent Council, will be given reduced preference and will sit at the bottom of the Silver Band

### **Reason 3: Refusing accommodation offered**

The scheme allows applicants to specify any areas at street, estate or community level where they do not want to be offered housing. The scheme allows them to be considered only for property types that would suit the size of the household. The intention therefore is to make offers of accommodation that meet the preferences of applicants and provide suitable accommodation. As a result, when applicants refuse three offers of accommodation without offering a valid reason they will be demoted to the next band down. In these circumstances the demotion will be removed 12 months after the last offer unless their circumstances have changed and the Local Housing Panel determines that the change in circumstances is sufficient to allow the reduced preference to be removed.

A reasonable offer of accommodation is one that meets the housing need, size and location. In addition, applicants may request a larger property, and if following a financial assessment this request is granted a property is offered and refused, this will be deemed as an unreasonable refusal.

### **Reason 4: Adequate Financial Resources to resolve their own housing situation**

The Council will take into account a Homeseeker's financial resources in determining where an applicant would qualify for reasonable preference, whether their preference should be reduced. Regard will be given to any resources wherever in the world they are situated. This will apply to households whose total gross income from all sources exceeds £40,200 or whose household's capital assets or savings exceeds £75,000.

Applicants with no reasonable preference, who are adequately housed and/or with the financial resources to meet their needs will be placed in Bronze Band. Applicants with a reasonable preference but have the financial resources to access suitable accommodation will be assessed in accordance with the banding system but will be demoted to the next band down.

This restriction does not prevent applicants being considered for any Low Cost Home Ownership/Shared Equity schemes, and the rules for these schemes are applied on a scheme-by-scheme basis.

If there are significant medical needs that mean the Homeseeker needs a specialised property, this will be given due consideration outside the scope of the Policy. Where a Homeseeker feels that their social or medical circumstances are such that they should be considered, despite their financial resources exceeding the financial thresholds outlined above, they will have

the right to request a review at the Local Housing Panel and Housing Appeals Board if necessary.

#### **Reason 5: No Permanent Address in the UK**

Homeseekers that are not currently living permanently in the UK will be suspended from consideration.

#### **Reason 6: False and withheld Information**

Section 171 (false statements and withholding information) makes it an offence for anyone seeking assistance from a Housing Authority under the 1996 Act, Part 6 to:

- a) Knowingly or recklessly give false information; or
- b) Knowingly withhold information, which the Housing Authority has reasonably required the applicant to give.

This would apply whether the false information was provided at the time of application, at renewal stage when the annual review of circumstances letter has been sent, in change of circumstances or during the proceedings of a review.

Where there is suspicion, or an allegation has been made that a person has either provided false information, or has withheld information, the application will be suspended pending the outcome of the investigation. If an offer of accommodation has been made at this point, the Housing Solutions Manager will hold discussions with the relevant housing association and subsequently the offer could be retracted.

If the outcome of any investigation reveals that they did not provide false information, or the withholding of information was found to be inadvertent, then the application will be reinstated from the date of registration. However, where the investigation shows that false information was provided on the application form, or was deliberately withheld, then the application will be determined to be not eligible and removed from the register and there will be no right to re-register for a period of 12 months.

Ground 5 in Schedule 2 to the Housing Act 1985 (as amended by the 1996 Act, s.146) enables the landlord to seek possession of a property where it has been granted as a result of a false statement by either the tenant or a person acting at the tenant's instigation.

#### **Reason 7: A Homeseeker who deliberately makes their circumstances worse**

If a household deliberately makes their circumstances worse following a voluntary change of address, the application may, for a period of not more than 12 months be awarded reduced preference. Anyone forced to leave their home through violence or a genuine fear of violence will not be considered to have deliberately worsened their circumstances.

**Reason 8: Former or current Social Housing Tenancy related debt, or unacceptable behaviour or non compliance with a former social housing tenancy agreement**

A decision may be made to:

- 1) To reduce an applicants preference, or
- 2) To decide that the applicant is not eligible to join the register, or
- 3) To decide that an applicant may join the register but be given no priority until they have resolve the issue in question to the satisfaction of the Council.

One of these outcomes will apply where the Council and partners are reasonably satisfied that the Homeseeker (or a member of their prospective household) has failed to maintain any previous social rented or private sector rented property within the terms of their tenancy agreement, or has committed acts causing or likely to cause nuisance or annoyance to their neighbours or others in the locality of where they live or where they previously have lived.

Decisions on these cases as to whether one of the 3 outcomes should be applied, are to be taken by the Local Housing Panel. That outcome will then apply until the applicant (or a member of their prospective household) has demonstrated, to the reasonable satisfaction of the Local Housing Panel, the necessary ability to modify their conduct. In some cases this will include co-operating with debt services, support agencies, or other services as directed

At any time during this period a Homeseeker is entitled to request that the Council reconsider their circumstances to determine whether the issues resulting in their being allocated a lower priority have been resolved to their satisfaction.

The Criteria that the Panel will apply to assess former or current Social Housing Tenancy related debt, or unacceptable behaviour, or non-compliance with a former social housing tenancy agreement is detailed in the Eligibility section at appendix 2 of the Policy

## **Appendix 2: Detailed information on the rules that will be applied to deciding whether an applicant is eligible to join the register.**

### **The Eligibility rules set by the policy**

Where a person applies but is deemed to be ineligible due to the application of the Government's rules for allocating housing accommodation to persons from abroad or persons subject to immigration control they will not be able to join the register. The rules are complicated and anyone who is impacted or believes they may be impacted by the rules can approach the Council for advice on the rules.

Section 166(3) of the Housing Act 1996 obligates the Council to consider all applications for social housing that are made in accordance with the procedural requirements of this policy. It must, however, when considering applications, ascertain if an applicant is eligible for accommodation or whether they are excluded from allocation under s.160A of the same Act.

The following is a summary of the main categories of eligible applicants to whom the Council may allocate accommodation under Part VI of the Housing Act 1996, taking account of nationality and immigration status. For the full criteria please refer to Chapter 2 of the Code of Guidance for Local Authorities on the Allocation of Accommodation & Homelessness 2015:

- (i) Existing tenants;
- (ii) A person from abroad other than a person subject to immigration; and
- (iii) Persons subject to immigration control prescribed as eligible.

Welsh Ministers have prescribed classes of person who are to be considered eligible Allocation of Housing & Homelessness (Eligibility) (Wales) Regulations 2014. These are:

- (i) Refugees;
- (ii) Exceptional leave;
- (iii) A person with current leave to enter or remain in the UK with no condition or limitation, and who is habitually resident in the UK, Channel Islands, the Isle of Man and the Republic of Ireland;
- (iv) Persons who have been granted Humanitarian Protection; and
- (v) An Afghan citizen who, as a result of serving in the UK Government, has been granted permission to relocate to the UK, Channel Islands, the Isle of Man and the Republic of Ireland, and who is habitually resident in one of those areas will be eligible.

Applications received from asylum seekers will not be eligible to be admitted onto the common housing register until a positive decision on their claim for asylum is received and they are awarded refugee status, humanitarian protection or discretionary leave to remain.

### **Not eligible due to unacceptable behaviour**

Under s.160A(7) of the Housing Act 1996, the Council, where it is satisfied that, an applicant or a member their prospective household, is guilty of unacceptable behaviour serious enough to make them unsuitable to be a tenant, the council may treat the applicant as ineligible for an allocation and therefore ineligible to join the Council's allocation scheme Housing Register. All decisions will be made by the Letting Assessment and Exclusions Panel.

Section 160A(8) of the Housing Act 1996 provides that the only behaviour which can be regarded as unacceptable for these purposes is behaviour by the applicant or by a member of their household that would, if they had been a secure tenant of the local authority at the time, have entitled the local authority to a possession order under s.84 of the Housing Act 1985 in relation to any of the discretionary grounds in Pt I of Schedule 2, other than Ground 8. These are fault grounds and include behaviour such as:

- (i) non-payment of rent;
- (ii) breach of tenancy conditions;
- (iii) conduct likely to cause nuisance or annoyance; and
- (iv) use of the property for immoral or illegal purposes.

It is not necessary for the applicant to have actually been a tenant of the local authority when the unacceptable behaviour occurred. The test is whether the behaviour would have entitled the local authority to a possession order if, whether actually or notionally, the applicant had been a secure tenant.

Applicants will be notified in writing of the Council's decision that they are ineligible due to:

1. The application of the Welsh Government's rules for allocating housing accommodation to persons from abroad or persons subject to immigration control; Or
2. They are ineligible on the grounds of unacceptable behavior.

Applicants will have the right to request a review of any decision made by the Council regarding their eligibility within 21 calendar days of receiving their notification letter.

**The criteria that will be applied to assess unacceptable behavior.**

**Serious behavior or former rent arrears: the rules that will be applied**

This will apply where an applicant, or any member of their current or prospective household, has a history of serious behavior or former rent arrears, which in the view of the Council makes the applicant unsuitable to be a tenant until the issue has been resolved to the satisfaction of the Council. This includes, but is not limited to:

- The level of former or current rent arrears and/or any recoverable housing related debt. (See below for how these rules will be applied)
- Serious behaviour including non-compliance with a current or former tenancy agreement. (See below for how these rules will be applied).
- Where they, or any member of the household, have assaulted a member of staff and an injunction is being sought or has already been obtained
- Where they, or any member of the household, who have knowingly given false or misleading information, or withheld information, that has been reasonably requested

A decision will be taken by the Council on the facts whether the unacceptable behavior either:

- 1) So serious that the applicant is ineligible to join the register, or
- 2) Is not so serious to make the applicant ineligible to join the register but is serious enough that it comes under the criteria set by the allocation policy whereby an applicant will be granted no priority and will not be made any offer of accommodation until they have addressed the concerns of unacceptable behavior to the satisfaction of the Council, or
- 3) Is not so serious to make the applicant ineligible to join the register or serious enough that it warrants an applicant being granted no priority but does in the view of the assessing officer mean that the person should be granted reduced preference.

The decision to make an applicant not eligible to join the register or eligible but to be awarded no priority, or eligible but to be awarded reduced preference will be taken by the Housing Panel and in full consideration of the test of eligibility set out in Section 160A(8) of the Housing Act 1996. This provides that the only behaviour which can be regarded as unacceptable for these purposes is behaviour by the applicant or by a member of their household that would, if they had been a secure tenant of the local authority at the time, have entitled the local authority to a possession order under s.84 of the Housing Act 1985 in relation to any of the discretionary grounds in Part 1 of Schedule 2, other than Ground 8

Guidance on the criteria that will be applied to decide whether an applicant is ineligible for unacceptable behavior, or that the applicant is eligible but will be granted no priority until the concerns are satisfactory addressed, is set out below.

***1 – The criteria to be applied for considering whether an applicant is not eligible, (or is eligible but will be granted no priority, or is found to be eligible but to be granted reduced preference) for current or former rent arrears or any recoverable housing related debt.***

For the purpose of this policy the Council, when carrying out an assessment, will take into consideration all property related debts that are both recoverable and not statute barred. This includes any:

- (i) Current or former tenancy rent arrears;

- (ii) Outstanding re-chargeable repairs;
- (iii) Current and former housing related service charge arrears;
- (iv) Bed and breakfast or other temporary accommodation charge arrears
- (v) Housing benefit overpayments; and
- (vi) Associated court costs.

Recoverable property related debts apply to both the applicant and any members of their household included in their application for housing.

The following procedure will be applied to applicants whose housing needs mean that they would be awarded a priority band but where there are current or former rent arrears, or any recoverable housing related debt:

- This will be a decision of the Housing Panel
- The Council will consider whether the applicant still owes arrears/debt, and if they do, the extent of the arrears/debt and whether any housing related debt is recoverable.
- Any recoverable arrears/debts of over £500 may result in the applicant being ineligible to join the register depending on the level of arrears. However, wherever possible the applicant will be allowed to join the register but will be awarded no priority until the arrears/debts have been resolved. This will mean that once the arrears have been resolved an applicant who would have been awarded a priority band Emergency, Gold or Silver will join that band with the effective date they joined the register and not the date the arrears/debt had been resolved.
- The Council will also consider whether the claimant has taken debt advice acted on it, and entered into and begun to implement any arrangement to clear the arrears.
- The Council will also consider whether an arrangement has been made, the amount of arrears paid off, any debt outstanding, and the regularity of any payments made.

Where the current or former rent arrears or any recoverable housing related debt is over £500 is in existence an applicant who is found to be ineligible, or an applicant who is eligible but has been awarded no priority, must meet the following criteria before they can be considered to be eligible on a subsequent application or to have the no priority status removed. They will need to have:

- (i) Entered into a repayment plan
- (ii) Made a minimum of 13 consecutive weekly payments, at an agreed level; and
- (iii) Repaid a minimum of 25% of the debt.

This process can only be circumvented where the debt is repaid in full. In order to encourage good financial management and discourage applicants from using doorstep lenders, who may charge excessively high interest rate loans, lump sum payments of above 25% of the total debt owed would not apply unless the 13 - week minimum re-payment period has been satisfied.



Applicants with a housing related debt up to £500 will be allowed to qualify if their housing needs or circumstances qualify but they may be awarded no priority, or reduced preference (i.e. a band lower than the band they would have been awarded for their housing circumstances). This will depend on the level of debt and the actions they have or are committed to take to resolve that debt. Adherence would normally be considered to be adherence with a repayment plan over a 13-week period. This process can only be circumvented where the debt is repaid in full. In order to encourage good financial management and discourage applicants from using doorstep lenders, who may charge excessively high interest rate loans, lump sum payments of above 25% of the total debt owed would not apply unless the 13 - week minimum re-payment period has been satisfied.

***2 - The criteria to be applied for considering whether an applicant is not eligible or is eligible but will be granted no priority for serious behaviour including non-compliance with a current or former tenancy agreement:***

This applies where the Council is satisfied, having considered all available evidence, that an applicant (or a member of their current or prospective household) has:

1. Failed to adhere to the terms of any current or previous social housing or private rented sector tenancy agreement. This includes failing to maintain any previous social rented or private sector rented property within the terms of their tenancy agreement, or committing acts causing or likely to cause nuisance or annoyance to neighbours or others in the locality of where they live or where they previously have lived. It includes serious breaches of a social or private sector tenancy agreement – for example operating a business from the premises, having an unreasonable numbers of pets at the property despite being warned of the breach of tenancy.
2. A decision that the applicant is not eligible to be registered will normally apply until the applicant (or a member of their prospective household) has demonstrated, to the satisfaction of the Council, that circumstances have changed such that the previous conduct is unlikely to reoccur. In many cases this could include demonstrating cooperation with support agencies leading to a substantial improvement in behaviour.
3. Conduct likely to cause nuisance or annoyance – this is conduct or behavior that does not only relate to a previous social housing or private rented sector tenancy agreement. This includes where an applicant or a member of their current or prospective household is the subject of actions being taken by the Council (or some other competent body) on grounds of alleged Anti Social Behaviour (ASB). It includes criminal behaviour that was relevant to the tenancy and/or locality, for example being in possession of illegal drugs, acts of public disorder. This also includes behaving in a threatening, abusive or obstructive manner towards staff of the Council and or their contractors.
4. Where on the facts it is decided that despite the unacceptable behavior an applicant is able to qualify for the register they will normally be granted no priority and will not be made any offer of accommodation until they have addressed the unacceptable behavior to the satisfaction of the Council.

Where the Council is satisfied that some attempts are being made to address their behavior they may make an award of reduced preference resulting in the applicant being granted a band lower than the band they would have been awarded for their housing circumstances

5. Where an applicant is found to be ineligible any new application will normally only result in eligibility where there has been no reasonable cause for complaint or concern against the applicant (or members of their prospective household) for a continuous period of 12 months.

### **Appendix 3: How local lettings policy be applied and reviewed**

Local Letting Initiatives may be applied to meet the particular needs of a local ward or to address sustainability and community issues to ensure that the housing allocation scheme is able to contribute to building sustainable communities.

They will be tailored to fit local situations in well-defined communities (such as a particular block of flats, an individual street, or new housing development. Or may be applied to a parish or a village in a rural area). Each local letting initiative will be based on a detailed analysis of relevant information gathered from a variety of sources and may include for example evidence from internal departments, partner Housing Associations, local Councillors, and the community itself. (Evidence may include information such as tenant profiling, the incidence of anti-social behaviour, and stock turnover in a particular block, street or area, or the need to provide housing for local people in rural villages and parishes).

The following are examples of local letting policies that may be deployed under the Allocation Policy. The list is for illustrative purposes and is not exhaustive.

- Age restrictions.
- Policies that are geared towards encouraging applicants who work or volunteer to live in an area where for example there may be an area, or street with high numbers of working age households not in work.
- Prioritising applicants who are key workers as defined by the Council.
- Restrictions on lettings to vulnerable households where there are already a concentration of supported tenants/residents in a street or block.
- Lettings to childless households where there are high concentrations of children and young people living on a specific estate, street or block.
- Disregarding household type or property matching rules to allow for example under-occupation to reduce child density or to account for future family growth
- Ensuring that there is a balance of working and non-working households allocated to a new build scheme.

How will a local letting policy be assessed and agreed?

The Council and the Housing Association Partner will come to an agreement on the villages, estates, blocks or streets where a local letting policy may be appropriate and why.

There must be a clear evidence base for adopting a local letting policy. Agreement as to whether a local letting policy is appropriate will be based on the following test:

1. That there is a clear definition of the objective to be achieved by that particular local letting policy

2. That there is a clear evidence base to back up the need for a local letting policy.
3. That any potential equality impact has been considered.
4. How long the local lettings policy is intended to operate
5. When the policy should be reviewed

A written record of each policy adopted or rejected should be kept.

It is the intention that local letting policies will be fluid with new policies being added as are required and existing policies being deleted once the objective for that policy has been met.

All current local letting policies will be listed as a link to the online version of the Council's Allocation Policy so the public can see which policies are in operation at any point in time.

#### Appendix 4: The Bedroom Standard for general needs and older person housing

Property size and Type	Household Size
Bedsit	Single person
1 bedroom elderly persons flat or bungalow or 2 bedroom bungalow	Single person or couple (Dependent on age criteria listed below or disabled)
1 bedroom flats	Single person or couple
2 bedroom flats	Family needing 2 bedrooms or 2 adults sharing accommodation
1 bedroom houses and maisonettes	Single person, couple, or single person
2 bedroom houses and maisonettes	Family needing 2 bedrooms or 2 adults sharing accommodation
3 bedroom houses or maisonettes	Family requiring 3 bedrooms or 3 adults sharing accommodation
4 bedroom house	1 or more adult with 4 or more children

The size criterion allows one bedroom for each person or couple living as part of the household with the following exceptions:

- Children under 16 of the same gender are expected to share
- Children under 10 are expected to share regardless of gender
- A disabled tenant or partner who needs a non-resident overnight carer will be allowed an extra room.

This means those tenants whose accommodation is larger than they need may lose part of their Housing Benefit. Those with one spare bedroom will lose 14 per cent of their Housing Benefit and those with two or more spare bedrooms will lose 25 per cent. However, if applicants are in full time

employment and are able to pay the full weekly rent, they will be eligible to apply for any house size they wish.

Age criteria for sheltered accommodation	
Linc Cymru	55 and over
Melin Homes	55 and over
Tai Calon Community Housing	55 and over
United Welsh	55 and over

## **Appendix 5: The Local Housing Panel**

The Local Housing Panel will meet on a monthly basis, or more frequently if required. The role of the Panel will be to consider and make decisions on applications that have been forwarded to it under the criteria set out below.

The Panel will be responsible for making decisions on the following issues. This list is not exhaustive. Written representations can be received from an applicant and their representative and or professional body:

- 1) The award of Emergency Banding due to exceptionally urgent welfare or medical/disability circumstances.
- 2) Needing more settled accommodation in order to deal with child protection issues arising under the Children Act
- 3) The household seeking accommodation has welfare needs so severe that the protection of vulnerable adults or children is only possible if the household were to move to a new home and where the present circumstances could deteriorate to such an extent as to place household members at risk, or in need of residential care unless re-housing is offered.
- 4) Families with a child with behavioural difficulties, which may require an additional bedroom or particular type of accommodation.
- 5) Requiring additional space such as for a carer or to foster.
- 6) The risk level of a potentially vulnerable person continuing to live in his/her current address.
- 7) The need for rehousing due to irreconcilable neighbour difficulties.
- 8) Requests to agree exceptions to certain policies set out in the scheme for example where an award of reduced preference has been given due to former or current rent arrears.
- 9) Requests to agree an applicant for any type of special housing provision, for example, floating support, supported housing because of a learning difficulty, mental health problems, alcohol or drug misuse, sensory difficulties, a need for low-rise or low density accommodation or accommodation in specific areas of the borough to give or receive support etc.
- 10) Requests to transfer following harassment including, verbal abuse, insults, intimidation, damage to property or possessions, threatening or abusive behaviour, racist, homophobic or other abusive graffiti, unprovoked assaults including common assault, actual bodily harm and grievous bodily harm, use of dogs, arson and attempted arson, threatening letters, witnesses of crime, or victims of crime, who would be at risk of intimidation

amounting to violence or threats of violence if they remained in their current homes.

- 11) Requests for a transfer or rehousing where there has been a bereavement or personal tragedy in the property or area.
- 12) May be ineligible due to unacceptable behavior including rent arrears and a recoverable debt;
- 13) May be allowed to qualify but be given no preference due to unacceptable behavior or any other criteria outlined in the policy
- 14) Worsens their own circumstances to increase priority;
- 15) Knowingly or recklessly makes a statement which is false, or knowingly withholds information;
- 16) Requires a risk assessment before being rehoused
- 17) The Panel will also review cases that may be due an offer of accommodation where circumstances exist that may lead to the applicant being bypassed.

### **Composition of the Panel**

- Local Authority Housing Manager with responsibility for Housing;
- Housing Association Manager with responsibility for Housing;
- Supporting People Officer;
- Additional members will be co-opted as and when required.

### **Frequency of Meetings**

The Panel will meet monthly or more frequently as required. The Panel will receive the case and supporting evidence in a standard format. Where a case cannot wait for the monthly panel a decision can be made by circulating evidence relating to the case on a standard form to panel members who will make their recommendation by e-mail.

All decisions and reasons for that decision will be recorded and an applicant informed of the decision. In exceptional cases an applicant may be asked to attend the panel to provide clarification on their case.

The decision reached by the Panel can still be subject to appeal to the Housing Appeals Board

### **Monitoring**

The number of cases dealt with by the Panel, along with the decisions made and reasons will be monitored and reported annually to the Customer Services Scrutiny Committee and boards of relevant Registered Social Landlords.



## **Appendix 6: Housing Appeals Board**

### **Terms of Reference**

#### **1. Composition of the Appeals Board**

The Board will consist of the following Officers and elected members:

- Housing Solutions Manager;
- Council Executive Member with responsibility for Housing;
- Head of Service from the participating Registered Social Landlords.

#### **2. Role of the Appeals Board**

The Appeals Board will:

Consider appeals from homeseekers against any decision made by the Local Housing Panel following a request for a review to the Council for any of the statutory reasons set out in 7.1 of the Policy

The decision reached by the Appeals Board will be final.

#### **3. Frequency of Meetings**

The Board will meet within 28 days of the Council receiving a request in writing to appeal a decision made by the Local Housing Panel following a request for a review of any statutory decision set out in 7.1 of the Policy.

#### **4. Conduct of the Meetings**

The homeseeker will be able to attend the meeting and bring a representative to the meeting to assist them in putting forward their case. The homeseekers' representative can be either a relative or friend or a professional representative such as a Housing Rights Worker or Solicitor.

The Appeals Board will firstly consider the case put forward by the homeseeker and/or their representative.

The homeseeker and/or their representative must set out why the decision made is incorrect and provide evidence to support their case.

Representatives from the Local Housing Panel, to include as a minimum the Council's Housing Solutions Manager and one housing association representative will then be provided with the opportunity to set out the reasons why the original decision was made by the Local Housing Panel, along with the evidence upon which they based their decision.

The Appeals Board will then, meeting in closed session, consider the merits of the arguments put forward by both the applicant and the Local Housing Panel

and reach a final decision. Where the Appeals Board is unable to reach a unanimous decision, a majority decision will suffice.

The homeseeker will be advised of the decision of the Appeals Board in writing. The letter notifying the homeseeker of the decision will state the Appeals Board's reasons for reaching its decision.

## **5. Monitoring and review**

The number of appeals requested, decisions made and reasons will be monitored and reported annually to the Neighbourhood Services Scrutiny Committee and Boards of local Registered Social Landlords.

## **Appendix 7: Sheltered Housing and Extra Care Schemes**

### **Sheltered Housing**

#### Melin Homes

Llys Ebwy, Briery Hill, Ebbw Vale  
Roderick Hill Court, Dukestown, Tredegar  
Ty Pendry Thomas, Briery Hill, Ebbw Vale  
Howell Griffiths Court, Roseheyworth, Abertillery

**Eligibility Criteria** - 55 years old and above or relevant support need

#### Linc Cymru

Bill Harry Court, Dukestown, Tredegar  
Llys-Yr-Efail, Westside/South, Blaina  
Ty Scott, Tredegar Town Centre

**Eligibility Criteria** - 55 years old and above or relevant support need

#### United Welsh Housing Association

Cronin Court, Tredegar Town Centre  
George Parry Court, Ebbw Vale Town Centre  
Gwern Las, Cwmtillery, Abertillery  
Saxon Court, Brynmawr Town Centre  
Wesley House, Brynmawr Town Centre  
William Adams Court, Ebbw Vale Town Centre

**Eligibility Criteria** - 55 years old and above or relevant support need

#### Tai Calon Community Housing

Cwmrhydderch Court, Cwm, Ebbw Vale  
Davey Evans Court, Abertillery Town Centre  
Grace Pope Court, Llanhilleth, Abertillery  
Glanffrwd Court, Glyncoed, Ebbw Vale  
Glanyrafon Court, Glyncoed, Ebbw Vale  
Llandafel Court, Cwm, Ebbw Vale  
Llys Cwm Llwydrhew, Six Bells, Abertillery  
Llys-Y-Capel, Westside/South, Blaina  
Peacehaven Court, Cefn Goula, Tredegar  
Riverside Flats, Westside/South, Blaina

**Eligibility Criteria** - 55 years old and above or relevant support need

### **Extra Care Schemes**

United Welsh – Llys Nant Y Mynydd, Hospital Road, Nantyglo

Linc Cymru – Llys Glyncoed, Off College Road, Ebbw Vale

## Appendix 8: Outline of Financial Assessment Criteria

Rent	Actual
Mortgage	Actual
Life Insurance	Actual
House Insurance	Actual
Water Rates	Actual
Gas	£10.00 a Week
Electricity	£10.00 a Week
Council Tax	Actual
Food Single Person Couple Each additional member of the household	£25.00 A Week £50.00 A Week £10.00 A Week
Cigarettes	Dependant on circumstances and evidence supplied
Television License	Actual
Home Telephone (including mobile)	£10.00 A week
Car Road Tax Insurance Petrol	Actual Actual Up to £15.00 a week dependant on circumstances and evidence supplied
Bus Fares	Up to £15.00 dependant on circumstances and evidence supplied
School Dinner Money	£2.00 per child per day
Clothes	£5.00 per household per week
Childcare charge	Actual
Maintenance Payments	Actual
Loans	Actual
Credit Cards	Minimum Payment
Sky	Lowest Package
Catalogues	Minimum payment
Pet Costs	Dependant on circumstances
Other	Dependant on circumstances

All of the above are guidance for the dedicated officer assessing an individual needs and the final decision will be on dependant on the individual circumstances.

## **Appendix 9 Assessing whether to award a priority banding on the grounds of a medical condition or disability impact**

### **Awarding Medical Priority for a significant medical condition or disability that is being made worst by an applicant's housing conditions or circumstances**

#### **Introduction**

When ill health, disability or old age is aggravated by housing conditions and would improve if other accommodation were offered, the award for medical consideration is either the Emergency Band or Gold Band.

To achieve this consistency in the allocation of banding under this heading a descending schedule is detailed below

#### **Emergency Band: Urgent and immediate medical impact problem**

This top category will be reserved for exceptional cases where an applicant or tenant's health is seriously at risk (including a risk to life) due to the associated medical and housing difficulties that are inherent in the existing accommodation.

#### **Gold Band: Serious medical impact problem**

This again is a high banding category and should only be used to reflect urgent medical difficulties that have a clear relationship to existing housing circumstances.

If the Council decides that the medical condition or disability is not affected by the person's current housing the applicant will not be awarded Emergency or Gold Banding for this criteria.

#### **How will medical cases be assessed?**

The following procedure will be applied:

- 7) Homeseekers who indicate that they or anyone in their household have an illness or disability which is affected by their current home they will be asked to complete questions on their application form relating to their medical condition or disability.
- 8) The Housing Access Team Officers will carry out an initial assessment using the standard assessment criteria set out in this appendix to the policy. An applicant may need to be further interviewed by an Access officer or OTT officer to help make the assessment.
- 9) Where a Homeseeker's health is not so urgent and immediate that they are unable to live in their current home but their medical condition would be significantly improved by alternative housing a Gold Banding will be awarded. This will be determined by the Housing Access Officer and

checked and signed off by the Housing Solutions Manager based on the evidence provided.

- 10) Where the Council believes there may be an urgent and immediate need due to the severity of the impact of their current housing on their medical condition or disability and the applicant may be unable to continue to live at their present home the case will be submitted to the Occupational Therapy Team (OTT) who will determine whether to place the Homeseeker in the Emergency Band. In order to do this the OTT will potentially visit the home of the Homeseeker or tenant household, consider any supporting information that has been requested or provided, and decide whether Emergency Band priority should be awarded, based upon the severity of the case and the urgency of the need for re-housing.
- 11) The OTT will also recommend the type of housing that the homeseeker needs to resolve the urgent circumstances.
- 12) Where priority is awarded on the basis of medical circumstances applicants can only be considered for the type of properties identified by the OTT, so for example, if someone needs to move to a bungalow because they have suddenly become a wheelchair user, they will only be considered for suitable properties within the Gold Band.

The Housing Access Team will not as a matter of course request an applicant or tenant to submit medical certificates or to obtain letters from their GP. The belief is held that this is a purely voluntary matter for the GP and patient. The Council has no wish to create further work for doctors by insisting that medical certificates are produced before any application is considered.

However, there will be occasions when the advice of a doctor or consultant will need to be sought. For example, where an applicant's housing circumstances are affecting their mental health, or where a GP considers a patient requires High Priority as a result of a medical problem.

When determining what banding to award, if any, staff will approach the matter from the standpoint of assessing what degree of need exists and, secondly, what adverse effect this has on the ability of the applicant to manage day to day tasks in their current home.

The following list covers some of the main factors, which can be reflected in a banding award under Medical Considerations:

- An applicant's inability to manage stairs, control temperature, etc
- Where an applicants more or less confined to their existing accommodation
- Where present accommodation is causing an applicant's mental or physical disability.
- An applicant's restricted ability to fend for him/herself

- The need for adapted housing and/or extra facilities
- The need for sheltered or supported housing
- The need for housing as part of a care plan

Medical priority will not normally be awarded in any of the following circumstances:

- Disrepair problems not impacting significantly on the applicants medical condition
- Overcrowding not impacting significantly on the applicants medical condition
- Where the medical condition is as a result of a lifestyle choice (e.g. drug use)

### **The 4 stage assessment process applied by the assessing officer**

When assessing whether to award Emergency or Gold Banding on medical or disability grounds, there is a 4 stage assessment process.

The 4 stage process is:

1. Is the medical condition serious enough for a priority banding to be considered? (This assessment procedure will be used to decide cases more likely to be awarded priority and cases less likely to be awarded priority)
2. If the medical condition is serious enough for a priority banding to be considered the next stage is for the assessment officer to decide if there is a direct link between the identified medical problem and the applicant's current housing accommodation/situation? i.e. Is the applicant's current housing accommodation/circumstances making the medical condition substantially worst or will make it worst.
3. If the assessment officer agrees this to be the cases they will next need to be satisfied that there a realistic expectation that the identified medical condition would improve if alternative, more suitable accommodation was made available.
4. If the answer is it would be improved the final question will be whether to award the Emergency Band or Gold Band depending on the severity of the condition.

### **Guidance for the assessing officer**

The following are a list of the most common significant medical issues in alphabetical order. The section sets out:

- 1) The condition,
- 2) More information on the condition and impact
- 3) Common treatments
- 4) Questions that can be asked of the applicant and their GP/health professional to help determine the condition, management and impact
- 5) Where a person is less likely and more likely to be a priority case due to the seriousness of the condition



Below are the most common significant medical conditions. There are clearly many more medical conditions that a person may have been diagnosed with or claim to have and if the applicant has a condition not listed below the assessing officer will obtain information on what the condition is, its impact, treatment, how to manage the condition and potential long- term prognosis.

### **Arthritis**

Q - Has the applicant received a diagnosis?

Q - If so, is the condition Osteoarthritis or Rheumatoid arthritis, or another rarer or associated form?

#### **Osteoarthritis**

- This is the most common type of arthritis in the UK, affecting around 8 million people.
- Often develops in people who are over 50 years of age. However, it can occur at any age as a result of an injury or another joint-related condition.
- In osteoarthritis, the cartilage between the bones gradually erodes, causing bone in the joints to rub together.

Q Check the joints that are most commonly affected. These are normally the hands, spine, knees and hips.

Q How is it impacting on the applicant?

Q How is the condition (if diagnosed) being treated?

Q For osteoarthritis, painkillers, [non-steroidal anti-inflammatory drugs \(NSAIDs\)](#) and [corticosteroids](#) are often prescribed.

Q Have these been prescribed?

Q How effective are they?

Q Is the applicant taking their medication

Q Has the applicant undergone any of the following surgical procedures?

- arthroplasty (joint replacement)
- arthodesis (joint fusion)
- osteotomy (where a bone is cut and re-aligned)

Q If so how long ago?

Q How successful was surgery?

### **Rheumatoid arthritis**

- Often starts when a person is between 40 and 50 years old. Women are three times more likely to be affected than men.
- Rheumatoid and osteoarthritis are two different conditions. Rheumatoid osteoarthritis occurs when the body's immune system targets affected joints, which leads to pain and swelling.
- In treating rheumatoid arthritis treatment is often to slow down the condition's progress and minimise damage to the joints.

Q Is the applicant being treated and what form of treatment is it? Common treatments include:

Q Is the applicant prescribed medication to relieve symptoms and slow the progress of the condition - analgesics (painkillers); disease modifying anti-rheumatic drugs (DMARDs)

Q Is the applicant receiving supportive treatments, such as [physiotherapy](#) and occupational therapy, and regular exercise to help the person keep mobile

Q Has the applicant undergone or is awaiting surgery to correct any joint problems that develop

***Less likely to be granted a priority banding***

- Completed successful surgery
- On medication and this is controlling the condition and complying with medication and occupational therapy, and regular exercise to help the person keep mobile
- Has undertaken or is undertaking occupational therapy, and regular exercise to help the person keep mobile and has responded well to this treatment
- Medication has reduced the number of incidents of 'flare ups'
- Impact on upper body only and not on lower body needed for mobility

***More likely to be granted priority banding***

- Awaiting joint replacement
- On a major drug e.g. azathioprine, methotrexate, steroids.
- Where treatment has been unsuccessful that the condition is getting worse and impacting significantly on the ability to get out and perform day to day tasks

- Where for Rheumatoid arthritis it has led to secondary complications that may cause additional problems and can sometimes be life-threatening. Possible complications include [carpal tunnel syndrome](#), - inflammation of other areas of the body (such as the lungs, heart and eyes), and an increased risk of [heart attacks](#) and [strokes](#).

## Asthma

- Asthma is a common long-term condition -1 in every 12 adults suffer from it
- Can cause coughing, wheezing, chest tightness and breathlessness.
- The severity of these symptoms varies from person to person.
- Asthma can be controlled well in most people most of the time, although some people may have more persistent problems.
- Occasionally, asthma symptoms can get gradually or suddenly worse. This is known as an "asthma attack", although doctors sometimes use the term "exacerbation".
- While there is no cure for asthma, there are a number of treatments that can help control the condition.

Treatment is based on controlling and relieving symptoms

Q Is the applicant receiving treatment?

Q If so what is it? For most people, this will involve the occasional, or, more commonly, daily use of medications, usually taken using an inhaler. However, identifying and avoiding possible triggers is also important. **Reliever inhalers** are usually blue and are taken to relieve asthma symptoms quickly. **Preventer inhalers** are usually brown, red or orange and reduce the chances of asthma attacks occurring. They must be used regularly (typically twice or occasionally once daily) and indefinitely to keep asthma under control.

Q Is the applicant prescribed oral steroids?

Normally prescribed if severe asthma is not under control. This form of treatment is usually monitored by an asthma specialist and will require further inquiries of that specialist before a granted priority banding decision can be made.

Oral steroids are powerful anti-inflammatory preventers, which are generally used in two ways:

Q Have they been prescribed to regain control of asthma when it is temporarily upset, for example, by a lapse in regular medication or an

unexpected chest infection; in these cases, they are typically given for one or two weeks, then stopped. Or

Q Are they prescribed because long-term control of asthma remains a problem, despite maximum dosages of inhaled and other medication? In these cases, oral steroids may be given for prolonged periods, or even indefinitely, while maintaining maximum treatment with inhalers as this maximises the chance of being able to stop the oral steroids again in the future.

Q Has the applicant been given a personal asthma action plan agreed with their doctor or nurse that includes information about the medicines they need to take; how to recognise when symptoms are getting worse, and what steps to take when they do so.

***Less likely to be granted a priority banding***

- On medication and this is controlling the condition and the applicant is complying with medication
- Asthma is under control through the combined use of preventer inhaler and reliever inhaler.
- Has not had a severe attack requiring hospital admission ever or not for some time and since the attack the condition is under control with inhalers.
- Have been prescribed oral steroids to regain control of asthma when it is temporarily upset, for example, by a lapse in regular medication or an unexpected chest infection.
- Past asthma condition that has been reversed following treatment and no medication now prescribed

***More likely to be granted priority banding***

- Permanent narrowing of their airways and secondary problems
- Histories of severe attacks that may have required hospital admission for treatment, as severity of the attack could be life threatening and attacks have happened despite medication.
- Long-term use of oral steroids to try and control the condition (often long term oral steroids may have side effects – check with asthma consultant and or GP).
- Uses a nebulizer

**Back Pain, Neck Pain, Shoulder Pain**

Backache is most common in the lower back ('lumbago'), although it can be felt anywhere along the spine, the neck down to the hips.

Q - Has the pain been caused by an injury or disease, such as:

- ✓ A slipped disc – when one of the discs in the spine is damaged and presses on the nerves
- ✓ sciatica – irritation or compression of the sciatic nerve, which causes pain, numbness and tingling that travels down one leg
- ✓ Whiplash – neck injury caused by a sudden impact
- ✓ Frozen shoulder – inflammation around the shoulder that causes pain and stiffness
- ✓ Ankylosing spondylitis – a long-term condition that causes pain and stiffness where the spine meets the pelvis

Q - Is the applicant receiving treatment for short-term pain to speed up recovery? Q - If so what is the treatment?

- To remain as active as possible
- To take over-the-counter painkillers such as paracetamol or ibuprofen
- To use hot or cold compression packs

Q – Is the applicant receiving treatment for longer-term pain.

Q - If so what is the treatment?

- Stronger painkillers
- Exercise classes – where they may receive instruction on specific exercises to strengthen muscles and improve posture
- Physiotherapy, osteopathy, chiropractic, acupuncture.
- Support and advice at a specialist pain clinic

***Less likely to be granted a priority banding***

Most conditions can be treated and are likely to improve, or can be managed to the extent where it should not prevent the applicant from managing despite their current housing conditions.

***More likely to be granted priority banding***

A chronic condition where confirmed that the applicant requires surgery and impacts to an extent where they are unable to perform basic day-to-day tasks.

**Cancer**

- Cancer is a condition where cells in a specific part of the body grow and reproduce uncontrollably. The cancerous cells can invade and destroy surrounding healthy tissue, including organs.
- Cancer sometimes begins in one part of the body before spreading to other areas. This process is known as metastasis.

- There are over 200 different types of cancer, each with its own methods of diagnosis and treatment. Surgery is the primary treatment option for most types of cancer, because solid tumors can usually be surgically removed.
- Two other commonly used treatment methods are chemotherapy (powerful cancer-killing medication) and radiotherapy (the controlled use of high-energy X-rays).
- Given the number of types of cancer and treatments and prognosis for cure or remission it is not possible to give any guidelines. You should as with all other serious medical conditions talk to the applicants GP or health professional. Where a person is undergoing or has undergone recent surgery, chemotherapy or radiotherapy they will inevitably be in granted priority banding. Where a particular form of cancer has been cured or is in long-term remission and there are no secondary complications or conditions then they are Less likely to be granted a priority banding.

### **Depression, Bipolar and Mental Illness**

Depression affects people in different ways and can cause a wide variety of symptoms. They range from lasting feelings of sadness and hopelessness, to losing interest in the things a person used to enjoy and feeling very tearful. Many people with depression also have symptoms of anxiety.

There can be physical symptoms too, such as feeling constantly tired, sleeping badly, having no appetite, and complaining of various aches and pains.

The severity of the symptoms can vary. At its mildest, a person may simply feel persistently low in spirit, while at it's most severe depression can make a person feel suicidal and that life is no longer worth living.

***Treatment for depression usually involves a combination of medicines, talking therapies and self-help.***

The kind of treatment that a doctor recommends will be based on the type of depression a person has. Below is a short description of the types of treatment a doctor may recommend.

#### ***Mild depression***

**Wait and see** - If a person is diagnosed with mild depression, it may improve by itself. In this case, the GP may recommend a person should simply be seen again one off or regularly to monitor progress. This is known as watchful waiting.

**Exercise** – there is evidence that exercise may help depression and it is one of the main treatments if a person has mild depression.

**Self-help groups** – talking through feelings can be helpful. A person may be recommended by their GP to talk either to a friend or relative, or be referred to a local self-help group. The GP may also recommend self-help books and online cognitive behavioural therapy (CBT).

#### ***Moderate depression***

**Talking therapy** – if the person has mild depression that isn't improving, or moderate depression, the GP may recommend a talking treatment (a type of psychotherapy). There are different types of talking therapy for depression, including cognitive behavioural therapy (CBT) and counseling.

#### ***Moderate to severe depression***

**Antidepressants** – tablets that treat the symptoms of depression. There are almost 30 different kinds of antidepressant. They have to be prescribed by a doctor, usually for depression that is moderate or severe.

The GP may recommend that a person take a course of antidepressants plus talking therapy, particularly if the depression is quite severe. A combination of an antidepressant and CBT usually works better than having just one of these treatments.

**Mental health teams** – if a person has severe depression, they may be referred to a mental health team made up of psychologists, psychiatrists, specialist nurses and occupational therapists. These teams often provide intensive specialist talking treatments as well as prescribed medication.

#### ***Bipolar disorder***

Formerly known as manic depression, is a condition that affects a person's moods, which can swing from one extreme to another.

If a person has bipolar disorder, they will have periods or episodes of depression – where they will feel very low and lethargic and mania – where they will feel very high and overactive. Symptoms will depend on which mood the person is experiencing. Unlike simple mood swings, each extreme episode of bipolar disorder can last for several weeks (or even longer), and some people may not experience a "normal" mood very often. During an episode of depression, you may have overwhelming feelings of worthlessness, which can potentially lead to thoughts of suicide.

Several medications are available to help stabilise mood swings. These are commonly referred to as mood stabilisers and include:

- lithium carbonate
- anticonvulsant medicines
- Antipsychotic medicines

#### ***Types of anti depressants***

**Selective serotonin reuptake inhibitor (SSRI)** - Normally a person will be prescribed a modern type called a selective serotonin reuptake inhibitor (SSRI) to treat mild or moderate depression. Examples of commonly used SSRI antidepressants are Seroxat (paroxetine), Prozac (fluoxetine) and Cipramil (citalopram).

They help increase the level of a natural chemical in the brain called serotonin, which is thought to be a "good mood" chemical.

**Tricyclic antidepressants (TCAs)** - This group of antidepressants is used to treat moderate to severe depression.

TCAs, which include Imipramil (imipramine) and amitriptyline, have been around for longer than SSRIs.

**SNRIs (serotonin-noradrenaline reuptake inhibitors)** - New antidepressants, such as Efexor (venlafaxine), Cymbalta or Yentreve (duloxetine) and Zispin Soltab (mirtazapine), work in a slightly different way

from SSRIs and TCAs. Venlafaxine and duloxetine are known as SNRIs (serotonin-noradrenaline reuptake inhibitors). Like TCAs, they change the levels of serotonin and noradrenaline in the brain. Studies have shown that an SNRI can be more effective than an SSRI, though they're not routinely prescribed as they can lead to a rise in blood pressure.

Q Has the applicant been diagnosed with depression?

Q If so has any treatment been prescribed?

Q What is that treatment – e.g. antidepressant medication, CBT, exercise, talking therapy?

Q If antidepressants what type (see above) and at what level dosage?

Q Has the depression required a referral to a mental health team?

Q What are their symptoms both physical and psychological? (Establish the impact ranging from feelings of sadness, anxiety and hopelessness, feeling constantly tired, sleeping badly, having no appetite, and complaining of various aches and pains).

Q What is the severity of the symptoms? (At its mildest, a person may simply feel persistently low in spirit, while at its most severe depression can make a person feel suicidal and that life is no longer worth living).

Q Have these symptoms been reduced by the treatment recommended? If not is further treatment recommended from the person's GP?

Q Has the person been diagnosed as suffering from Bipolar disorder? If so what medication are they on? Are they receiving psychiatric services?

***Less likely to be granted a priority banding***

- Mild to moderate depression treated through antidepressants or other non-medication therapy where the applicant is responding to treatment and is able to undertake day to day tasks
- Feeling of low mood and anxiety but no feelings of self harm or attempts at self harm
- Drug induced illnesses with no secondary complications

***More likely to be granted a priority banding***

- Severe depression being treated by a mental health service where professional opinion to the severity and impact is that their current housing circumstances are or will have a significant effect on the applicant.
- Recent Psychiatric hospital admission
- Verified history of self harm from GP or other health professional



- On anti psychotic medication
- Evidence of current psychosis
- On injected depot therapy
- Diagnosed condition of bipolar

## **Diabetes**

**Type 1 Diabetes** – commonly known as insulin-dependent diabetes.

- The body's immune system attacks and destroys the cells that produce insulin. As no insulin is produced, glucose levels increase, which can seriously damage the body's organs. It affects about 10% of all adults with diabetes.
- A person will need insulin injections for the rest of their life.

Q - Consider if there are secondary complications. These could be:

- ✓ Heart disease and stroke
- ✓ Atherosclerosis that is the furring and narrowing of blood vessels.
- ✓ Angina
- ✓ Nerve damage
- ✓ Retinopathy where the retina at the back of the eye is damaged.
- ✓ Kidney disease and in rare cases kidney failure
- ✓ Foot problems - Damage to the nerves of the foot can lead to a foot ulcer and can cause serious infection.
- ✓ Circulation problems – which, in the most serious of cases, can lead to gangrene

## **Type 2 Diabetes**

Q – Have they been referred to a diabetes care team for specialist treatment, or are they receiving treatment from their GP surgery

Q – What treatment has been prescribed?

Making changes to lifestyle such as eating a healthy diet and taking regular exercise, stopping smoking, reducing alcohol intake, losing weight

Q - Have they been prescribed medication to keep their blood glucose at normal levels? This will normally be a tablet.

Q – Is the applicant cooperating with treatment to keep their blood glucose levels as normal as possible, which will control symptoms and minimise the risk of health problems developing later on.

***Less likely to be granted a priority banding***

- On oral medication only
- Not on medication and advised to change lifestyle by doctor or health professional
- Pre type 2 condition with raised glucose levels only

***More likely to be granted priority banding***

- Insulin dependent type 1 condition with secondary complications or medical opinion that developing or strong risk of developing secondary complications
- Originally diagnosed type 2 conditions rapidly changing to type 1 condition with complications.

**Epilepsy**

- Epilepsy is a condition that affects the brain and can cause a one off or repeated seizures referred to as "fits".
- Epilepsy is estimated to affect more than 500,000 people in the UK; one in every 100 people has the condition.
- The severity of seizures can differ from person to person. Some people simply experience an odd feeling with no loss of awareness, or may have a "trance-like" state for a few seconds or minutes, while others lose consciousness and have convulsions (uncontrollable shaking of the body).
- Some people may only have a single seizure at some point during their life. If they do not have a high risk of having further seizures, they may have been medically diagnosed as not being regarded as having epilepsy.
- Treatment for epilepsy is used to control seizures, although not everyone with the condition will need to be treated.
- It may sometimes be possible to control epilepsy solely by avoiding things that trigger seizures, such as sleep deprivation and alcohol.
- While some people may need to be treated for the rest of their lives, this is not always the case. Sometimes people have epileptic seizures at one stage in their life, only for them to become less frequent or disappear, as they get older. This is more common if seizures first occur in childhood or early adulthood.

Q Has the applicant been diagnosed with epilepsy?

Q When was the diagnosis – as a child or more recently?

Q When did they last have a seizure and what form did it take e.g. an odd feeling with no loss of awareness, or a "trance-like" state for a few seconds or minutes, or the loss of consciousness and convulsions.

Q If recent was this reported to their GP?

Q Is the applicant under medication for their epileptic condition?

Q Are they on Anti-epileptic drugs (AEDs) to control their seizures?

Q Since being treated with AEDs have they had a seizure?

Q If so did it result in their level of AED being increased and if so have they had a seizure since?

***Less likely to be granted a priority banding***

- No recent seizures and not on AED medication
- On AED medication and since taking medication has not had any seizures and complies with taking medication
- One off seizure in childhood and no seizures since

***More likely to be granted a priority banding***

- Uncontrolled recurrent seizures leading to loss of consciousness and convulsions and medication is not able to stop seizures.
- Seizures would be controlled but applicant is not complying or not able to comply with medication leading to recurrent fits.

**Heart Problems**

There are many conditions that come under the title heart problems so it is important that you are able to assess what the problem is and how it is being treated in order to further assess the question of a priority banding for any impact of their current housing conditions. If the applicant has a heart condition contact with their GP will be essential

Q Have they undergone coronary angioplasty (stenting) to widen blocked or narrowed coronary arteries and was it successful.

Q Have they had or are awaiting bypass surgery?

Q Have they been diagnosed with Coronary Heart Disease? This is the term that describes what happens when the heart's blood supply is blocked or interrupted by a build-up of fatty substances in the coronary arteries.

Q Have they had a heart attack? When and have they had more than one? What is the medical prognosis re the amount of damage this has caused the heart muscle

- Q Have they been diagnosed with a Heart block (where the transmission of the pulse between the nodes and the ventricles is interrupted)?
- Q If so has treatment been thought to be required such as a permanent pacemaker?
- Q Have they been diagnosed with heart palpitations? (Heart palpitations are heartbeats that suddenly become more noticeable where the heart may feel like it's pounding, fluttering or beating irregularly, often for just a few seconds or minutes)
- Q Do they have congenital heart disease (this is a general term for a range of birth defects that affect the normal workings of the heart - people with congenital heart disease often need treatment throughout their life and may require ongoing monitoring during adulthood. People with complex heart problems can develop further problems with their heart rhythm or valves over time).

***Less likely to be granted a priority banding***

N.B. - Clearly you will need to carefully consider evidence from the applicant's GP or health consultant.

- Medication consists of statins alone
- Has completed successful bypass surgery or stenting and treated by statins since
- Has been diagnosed with heart palpitations with no secondary complications or other heart condition.
- Has been diagnosed with a Heart block condition where no treatment was considered necessary or has been treated by inserting a pacemaker
- Has described their condition as having suffered a heart attack but this is not the conclusion of the GP or medical professional

***More likely to be granted a priority banding***

- There will be a number of heart conditions where the severity will mean the person is likely to be in granted priority banding. Discussions with their GP or health consultant will enable you to consider the condition, severity and impact on the applicant.
- Awaiting bypass surgery
- On multiple medications or medication for heart failure
- Many congenital heart conditions that the health professional believes have or will result in further heart problems that need or cannot be treated

## **Hepatitis**

- Hepatitis is a term used to describe inflammation (swelling) of the liver. It can occur as the result of a viral infection or because the liver is exposed to harmful substances such as alcohol.
- Some types of hepatitis will pass without causing permanent damage to the liver.
- Other types can persist for many years and cause scarring of the liver (cirrhosis). In the most serious cases, it may lead to loss of liver function (liver failure) or liver cancer. These types of long-lasting hepatitis are known as chronic hepatitis.

**Hepatitis A** is the most common type of viral hepatitis. It occurs in the UK, but is more common in countries where sanitation and sewage disposal are poor. Around 350 cases are reported each year in England, with most cases occurring in people who have travelled abroad.

It is usually a short-term infection and symptoms will pass within three months. There is no specific treatment for hepatitis A other than to relieve symptoms.

**Hepatitis B** virus. This is found in blood and body fluids, such as semen and vaginal fluids and can be spread during unprotected sex, by sharing needles to inject drugs, and from pregnant women to their babies.

Hepatitis B is uncommon in England and cases are largely confined to certain groups, such as drug users. It is much more common in other parts of the world, particularly East Asia and sub-Saharan Africa.

Most people infected with hepatitis B are able to fight off the virus and fully recover from the infection within a couple of months. However, a small minority of people develops a long-term infection. This is known as chronic hepatitis B. In some people, chronic hepatitis B can cause cirrhosis and liver cancer.

Chronic hepatitis B is treatable with antiviral medication.

The majority of people infected with hepatitis B are able to fight off the virus and fully recover from the infection within a couple of months and most people with hepatitis B have very little liver damage. A small minority of people go on to develop cirrhosis of the liver and, in some cases, liver cancer.

**Hepatitis C** is the most common type of viral hepatitis in England. It is estimated that around 215,000 people in the UK have chronic hepatitis C. The hepatitis C virus can be found in the blood and, to a much lesser extent, the saliva and semen or vaginal fluid of an infected person. It is particularly concentrated in the blood, so it is usually transmitted through blood-to-blood contact. In England, it's most commonly spread through sharing needles to inject drugs, which account for 9 out of 10 cases.

Around one in four people will fight off the infection and will be free of the virus. In the remaining three out of four people, the virus will stay in their body for many years. This is known as chronic hepatitis C. In some people, chronic hepatitis C can cause cirrhosis and liver failure. Chronic hepatitis C can be

treated by taking antiviral medications, although there can be unpleasant side effects.

Hepatitis C can be treated with antiviral medicines designed to stop the virus from multiplying inside the body and prevents liver damage.

Two widely used antiviral medications are interferon and ribavirin.

There are six different strains of hepatitis C, known as genotypes, and some genotypes respond better to treatment than others.

The most common genotypes of hepatitis C in England are genotypes 1 and 3.

With treatment, around half of people with genotype 1 will be cured, and around eight out of 10 people with genotype 3 will be cured. Depending on other risk factors, such as alcohol use, between 10% and 40% of people with untreated chronic hepatitis C will go on to develop scarring of the liver (cirrhosis), often more than twenty years after first catching the virus.

Q Has the applicant been diagnosed with Hepatitis and if so what form?

Q For Hepatitis B and C has their health professional concluded that they have made a full recovery?

Q Are they are receiving antiviral medication?

Q Have they developed a chronic condition with evidence of a diseased or failing liver or liver cancer?

***Less likely to be granted a priority banding***

- Hepatitis A with full recovery
- Hepatitis B or C where medical view is the applicant has fully recovered or where the condition is under control with or without anti viral medication.
- Hepatitis B carrier status only

***More likely to be granted a priority banding***

- Chronic condition B or C with medical evidence of diseased or failing liver.

**HIV/AIDS**

- HIV is a virus, which attacks the immune system, and weakens a person's ability to fight infections and disease. There is no cure for HIV, but there are treatments to enable most people with the virus to live a long and healthy life.
- AIDS is the final stage of HIV infection, when a person's body can no longer fight life-threatening infections. With early diagnosis and effective treatment, most people with HIV will not go on to develop AIDS. Although there is no cure for HIV, treatments are now very effective, enabling people with HIV to live long and healthy lives.

***Treatment***

Medication, known as antiretroviral, work by stopping the virus replicating in the body, allowing the immune system to repair itself and preventing further damage. These medicines come in the form of tablets, which need to be taken every day.

HIV is able to develop resistance to a single HIV drug very easily, but taking a combination of different drugs makes this much less likely. Most people with HIV take a combination of three antiretroviral and it is vital that the medications are taken every day as recommended by their doctor.

They will be encouraged to take regular exercise, eat a healthy diet, stop smoking and have yearly flu jabs and five-yearly pneumococcal vaccinations to minimise the risk of getting serious illnesses.

Without treatment, the immune system will become severely damaged and life-threatening illnesses such as cancer and severe infections can occur. This is known as late-stage HIV infection or AIDS.

If a person is diagnosed with HIV, they will have regular blood tests to monitor the progress of the HIV infection before starting treatment. This involves monitoring the amount of virus in blood (viral blood test) and the effect HIV is having on the immune system. This is determined by measuring the levels of CD4+ve lymphocyte cells in the blood. These cells are important for fighting infection. Treatment is usually recommended to begin when the CD4 cell count falls towards 350 or below, whether or not the person has any symptoms. In some people with other medical conditions, treatment may be started at higher CD4 cell counts. When to start treatment will be decided by the person's health professional. The aim of the treatment is to reduce the level of HIV in the blood, allow the immune system to repair itself and prevent any HIV-related illnesses.

HIV is treated with antiretroviral (ARVs), these work by stopping the virus replicating in the body, allowing the immune system to repair itself and preventing further damage. A combination of ARVs is used because HIV can quickly adapt and become resistant to one single ARV. Patients tend to take three or more types of ARV medication. This is known as combination therapy or antiretroviral therapy (ART).

Some antiretroviral drugs have been combined into one pill, known as a "fixed dose combination". This means that the most common treatments for people just diagnosed with HIV involve taking just one or two pills a day. Different combinations of ARVs work for different people so the medicine a person will take will be individual to them.

Once HIV treatment is started, they will probably need to take the medication for the rest of their life. For the treatment to be continuously effective, it will need to be taken regularly every day. Not taking ARVs regularly may cause the treatment to fail.

Q Has the applicant received a diagnosis of HIV or AIDS?

Q If HIV how is it being treated? E.g. monitored with blood tests only at this stage or treatment with anti viral drugs?

Q If anti viral drugs is this a combined one pill known as a "fixed dose combination"?

***Less likely to be granted a priority banding***

- HIV condition controlled by anti viral drugs on a single one pill fixed dose combination and where there are no secondary conditions or lifestyle problems such as drink or drugs and where the person is fully complying and able to comply with taking medication daily without evidence of lapses.
- Not on medication and the CD4 count is significantly higher than 350

***More likely to be granted a priority banding***

- Diagnosed with AIDS
- CD4 count lower than 350 and just beginning anti viral medication
- On anti viral medication and secondary complications or multiple symptoms or lifestyle issues such as substance misuse
- Risk of not complying with taking anti viral medication due to poor self-medication regime.
- Multiple drug regimes.

**Hypertension (High Blood Pressure)**

High blood pressure (hypertension) means that the person's blood pressure is continually higher than the recommended level. It rarely has noticeable symptoms. Around 30% of people in England have high blood pressure and if left untreated, high blood pressure increases the risk of a heart attack or stroke.

Q – Do you have high blood pressure and how is it been treated?

Q – Are there any secondary complications such as affecting the kidneys or has it led to a stroke or heart attack?

***Less likely to be granted a priority banding***

- The majority of cases unless combined with other problems
- Being treated with lifestyle advice and or blood pressure medication

***More likely to be granted a priority banding***

- Where it has resulted in secondary serious complications re heart, Kidneys, stroke.

**Kidney Disease**

- A diagnosed condition of kidney disease is termed chronic kidney disease (CKD). Although there is no cure for kidney disease, treatment can help relieve symptoms, slow or prevent progression of the condition, and reduce the risk of developing related problems.
- The treatment will depend on the stage of chronic kidney disease (CKD).



- Stages one, two and three CKD can usually be treated by the applicant's GP. Treatment involves making changes to lifestyle and, in some cases, taking medication to control blood pressure and lower blood cholesterol levels. This should help prevent further damage to the kidneys and circulation.
- Many people with kidney failure can continue with treatment using medicines and will have good-functioning kidneys for the rest of their lives.
- If the applicant has stage four or stage five CKD, they will have usually been referred to a specialist. In addition to the treatments above, they may also have been given several medications to control or prevent the symptoms of CKD.
- Kidney failure, also called established renal failure or ERF, occurs when the person has lost nearly all kidney function and the condition has become life threatening. About 1% of people with stage three CKD develop ERF at some point.
- If the person has kidney failure the choice will be whether to have treatment with dialysis (a means of artificially replacing some functions of the kidney), a kidney transplant.

Q Has the applicant been diagnosed with kidney disease?

Q If so what stage are they at? Stages 1-3 normally treated by their GP or stages 4 or 5 normally through a Kidney Specialist.

Q What treatment are they on? Lifestyle changes only? Medication to control blood pressure and lower blood cholesterol levels? Is this keeping the condition under control?

Q Is the applicant diagnosed as suffering from Kidney Failure (ERF) that does or will require dialysis or a transplant?

Q Has the applicant received a kidney transplant?

***Less likely to be granted a priority banding***

- Applicants diagnosed as stages 1-3 being treated by their GP. Treatment involves making changes to lifestyle and, in some cases, taking medication to control blood pressure and lower blood cholesterol levels and the treatment is working with the condition under control

***More likely to be granted a priority banding***

Stages 4 or 5 where there is a diagnosis of kidney failure which is likely to require dialysis, transplant or medication significantly more than that for lowering blood pressure or cholesterol.

- Applicants who have had a kidney transplant

**Minor medical conditions**

*Where the person is suffering from a minor medical condition such as*

- ✓ Migraine
- ✓ Hay fever
- ✓ Skin condition or allergies
- ✓ Urinary infection
- ✓ Haemorrhoids
- ✓ Irritable bowel syndrome
- ✓ Fungal infections

None of these are likely to indicate any condition of any severity or relevance to housing as none of the above medical issues, either singly or as a whole, impede a person's reasonable function unless there are secondary conditions or complications.

**Stroke**

- A stroke is a serious, life-threatening medical condition that occurs when the blood supply to part of the brain is cut off. This can lead to brain injury, disability and possibly death.
- There are two main causes of strokes:
  - Ischemic – where the blood supply is stopped due to a blood clot (this accounts for 85% of all cases)
  - Hemorrhagic – where a weakened blood vessel supplying the brain bursts
- There is also a related condition known as a transient ischemic attack (TIA), where the supply of blood to the brain is temporarily interrupted, causing a 'mini-stroke' often lasting between 30 minutes and several hours. TIAs should be treated seriously as they are often a warning sign that the person is at risk of having a full stroke in the near future.
- Treatment depends on the type of stroke the person has had including, which part of the brain was affected and what caused it. Most often, strokes are treated with medication. This generally includes medicines to prevent and remove blood clots, reduce blood pressure and reduce cholesterol levels. In some cases, surgery may be required to treat brain swelling and reduce the risk of further bleeding in cases of hemorrhagic strokes.

- Around one in every four people who has a stroke will die, and those who do survive are often left with long-term problems resulting from the injury to their brain. Some people need to have a long period of rehabilitation before they can recover their former independence, while many will never fully recover and will need support adjusting to living with the effects of their stroke.

Q Has the applicant had a stroke?

Q If so what type ischemic or hemorrhagic or transient ischemic attack (TIA or mini stroke).

Q What are the long-term problems caused by the stroke?

***Less likely to be granted a priority banding***

- Where the applicant claims they have suffered a stroke in the past but there is no diagnosis to that effect.
- Mini Stroke where the professional medical opinion is that the applicant has made a full recovery

***More likely to be granted a priority banding***

- The vast majority of cases where there has been a professional diagnosis.

**Tuberculosis (TB)**

Tuberculosis is a bacterial infection spread through inhaling tiny droplets from the coughs or sneezes of an infected person.

It is a serious condition, but can be cured with proper treatment.

TB mainly affects the lungs. However, it can affect any part of the body, including the glands, bones, and nervous system.

Typical symptoms of TB include:

- A persistent cough that lasts more than three weeks and usually brings up phlegm, which may be bloody
- Weight loss
- Night sweats
- High temperature (fever)
- Tiredness and fatigue
- Loss of appetite

Treatment for tuberculosis (TB) will usually involve a long course of antibiotics lasting several months.

While TB is a serious condition that can be fatal if left untreated, deaths are rare if treatment is completed.

For most people, a hospital admission during treatment is not necessary.

### **Pulmonary TB**

If the applicant has been diagnosed with active pulmonary TB (TB that affects the lungs and causes symptoms), they will normally be given a six-month course of a combination of antibiotics. The usual course of treatment is:

- Two antibiotics (isoniazid and rifampicin) every day for six months
- Two additional antibiotics (pyrazinamide and ethambutol) every day for the first two months

It may be several weeks or months before they start to feel better. It is important that they continue taking their medicine exactly as prescribed and to complete the whole course of antibiotics, as this is the most effective method of ensuring the TB bacteria are killed. If they have stopped taking their antibiotics before completing the course, or take it intermittently the TB infection may become resistant to the antibiotics. This is potentially serious as it can be difficult to treat and will require a longer course of treatment.

If treatment is completed correctly, an applicant does not normally need any further checks by a TB specialist afterwards and it is rare for the illness to return.

### **Extra pulmonary TB**

Extra pulmonary TB (TB that occurs outside the lungs) can be treated using the same combination of antibiotics as those used to treat pulmonary TB. However, medication needs to be taken over 12 months. If the applicant has TB in areas such as their brain, they may also be prescribed a corticosteroid such as prednisolone for several weeks to take at the same time as the antibiotics. This will help reduce any swelling in the affected areas.

As with pulmonary TB, it's important that they take their medicines exactly as prescribed and to finish the whole course.

Q Has the applicant been diagnosed with TB?

Q If so what form Pulmonary TB or Extra pulmonary TB?

Q Has the applicant undertaken the required course of antibiotic treatment and have they received a medical opinion that this has been successful and no further treatment is required?

Q If the applicant is in treatment at the point they apply do they find it difficult to take their medication every day?

Q If so have they worked with their treatment team to find a solution to take it at the treatment clinic, or somewhere else more convenient?

Q Is there a medical opinion that the TB has been treated late with significant damage to the lungs as a result?

***Less likely to be granted a priority banding***

- The applicant has undertaken the required course of antibiotic treatment and have they received a medical opinion that this has been successful and no further treatment is required

***More likely to be granted a priority banding***

- Newly diagnosed and undergoing antibiotic course
- Recently completed treatment and still unwell
- Recently hospitalized as a result of TB
- Recent TB outside of the lungs that is affected the spine, kidneys or brain
- Where the applicant has been treated late with significant damage to the lungs as a result.





## **APPENDIX 2**

**Blaenau Gwent Common Housing Register**

**Common Housing Allocation Policy**

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## Section 1: Introduction and Background

## 1.1 The Purpose of the Allocation Scheme

Blaenau Gwent Common Housing Register is a service that enables people seeking social rented housing to exercise choice where they will be offered a tenancy, and has been developed in the context of:

- Relevant legislation and guidance;
- Our Local Housing Strategy;
- The current need for, and demands on, social housing within Blaenau Gwent; and
- Equality of opportunity legislation and guidance.

The administration of this scheme is the responsibility of Blaenau Gwent County Borough Council. All homeseekers within Blaenau Gwent will be assessed on the same basis and will be considered for vacancies across the County Borough.

The following are members of the “Blaenau Gwent Partnership”.

- Blaenau Gwent County Borough Council
- Tai Calon Community Housing
- Linc Cymru
- Melin Homes
- United Welsh

Each of these housing associations have voluntarily signed up to this common policy to ensure that all applicants applying for social housing in the County Borough are provided with a single route of access and assessed using a single policy.

Blaenau Gwent County Borough Council no longer owns a housing stock. The scheme formally applies to all properties to which Blaenau Gwent Council have rights of nomination (whether by way of a legally enforceable arrangement or not) of the tenant; except those, which are reserved for special housing needs groups. Properties to which the County Borough Council have rights of nomination of the tenant include properties owned by Tai Calon, Linc Cymru, Melin Homes and United Welsh.

Although the Council has nomination rights to a percentage of vacant properties held by the Registered Housing Association Providers each association has agreed to join the Common Housing Register Partnership and allocate their vacant accommodation through one housing register and to an agreed set of rules.

To improve the service to applicants and to avoid duplication an applicant must be registered by the Housing Access Team at Blaenau Gwent County Borough Council and will receive a mandatory **Housing Solutions Interview**.

When a person seeking a home (called a ‘Homeseeker’ in the policy) applies to join the register an assessment will be made of both their eligibility to join the register as defined in Section 5, and their priority under the scheme.

Reasonable preference will be given to Homeseekers that satisfy the categories identified in the Housing Act 1996.

### ***Making changes to the Policy***

The policy cannot be amended until a copy of the proposed amendments has been sent to every housing association in the County. They must be given a reasonable opportunity to comment on the proposals.

All major changes will be approved by a meeting of the Full Council and will be subject to consultation with all Registered Social Housing Providers in the County Borough, regardless of whether a provider is a member of the Common Housing Register Partnership.

For minor changes to the policy decisions will be delegated to the member responsible for the housing portfolio in the County Borough. For minor changes to procedure decisions will be delegated to the Team Manager responsible for Housing in the Blaenau Gwent Council who will consult on any minor changes to procedure with the Chief Executives of the Registered Housing Associations or their delegated officer.

The relevant decision-making bodies of Registered Social Landlords, namely their Boards, will also need to consider and endorse any major changes that the Council or a member of the Partnership wish to see. For more minor changes this may be delegated to the Chief Executive of a partner housing association depending on the governance rules that operate for that association.

The Council will notify in writing, and within a reasonable period, any major changes in policy to those it may affect. Any major changes will require a full and detailed consultation process for those potentially affected by the changes. The Council will fully consider the Housing Act 1996 Part 6 and the relevant sections of the Code of Guidance 2015 relating to amending an Allocations Policy when deciding the appropriate level and method of consultation for any changes.

## **1.2 Principles and scope of the allocations scheme**

This allocation scheme is based on a number of principles:

- To give reasonable preference to the groups set out in the Housing Act 1996
- Customer choice and empowerment
- Making effective use of the housing stock
- An understandable and transparent system for users
- The provision of accurate and up to date information to homeseekers on their housing options, enabling them to exercise informed choice
- Contributing to the creation of sustainable communities
- Improved quality and delivery of service
- Ensuring the extension of choice to vulnerable customers
- Equality of opportunity

### 1.3 Legal Background

In developing this policy the Council has followed and fully considered the following housing legislation and guidance:

The allocation scheme has been designed to ensure that reasonable preference is given to all of the following categories of people, as set out in s.167(2ZA) of the Housing Act 1996. These are:

- (i) People who are homeless (within the meaning of Part 2 of the Housing (Wales) Act 2014;
- (ii) People who are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014;
- (iii) People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions;
- (iv) People who need to move on medical or welfare grounds including grounds relating to disability.
- (v) People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).

The detailed criteria the Council will use to assess whether an applicant should be awarded one of these 5 reasonable preference categories is set out in appendix 2.

For people unlikely to be offered accommodation through the scheme there will be advice about alternative housing options. There will be information on the Common Housing Register website and available in written material covering options such as; mutual exchanges, the private rented sector, low cost home ownership and the availability of shared ownership properties.

The Council acknowledges that some customers applying to the scheme will not be eligible to register under the qualification rules due to unacceptable behaviour, such as anti-social behaviour, or significant rent arrears. The policy aims to be open and transparent as to the reasons for any exclusion and gives advice on the possible actions a customer can take to gain acceptance onto the register.

Although the Council has nomination rights to a percentage of vacant properties held by the Registered Housing Association Providers each association has agreed to join the '*Common Housing Register Partnership*' and allocate accommodation through one housing register and to an agreed set of rules.

As part of the operational implementation of the new scheme the Council and Housing Association Partners will seek to agree an *Exclusions Policy* so that as far as possible there are also agreed criteria and a process for allocating accommodation when an applicant's circumstances require further

consideration. This would be for example circumstances where an applicant may be excluded from being considered for an offer of social housing until they have addressed any concerns to the satisfaction of the Council and, or, a Housing Association Partner.

Housing Association Partners will follow a process for exclusion/referring into the system if/when tenants are found or suspected to be guilty of behaviour that would have resulted in an outright possession. A Test of unacceptable behaviour proforma will need to be completed by the referring organisation ensuring that all evidence is available for consideration (Appendix 1.)

Please refer to 1 – The criteria to be applied for considering whether an applicant is not eligible, (or is eligible but will be granted no priority, or is found to be eligible but to be granted reduced preference) for current or former rent arrears or any recoverable housing related debt).

#### **1.4 The Council and Partners' Agreed Policy Statement on Choice for Applicants**

*The housing pressures faced limit the degree of choice that the Council is able to offer along with the responsibility the Council has to some groups in urgent housing need and to reduce the financial impact of temporary accommodation on the Council.*

*For all applicants eligible and registered under the scheme the Council believes that any applicant should be able to express a preference over the area in which they would like to live and the type of property they would ideally like but should be fully aware that the Council's ability to satisfy their preference might be severely limited. Expressing a preference over where an applicant would prefer to live does not mean that the Council will be able to meet that preference, or that the Council will not offer suitable accommodation outside of a preferred area in order to meet the duty owed to any applicant under the sections 66, 73 and 75 of the Housing Wales Act 2104.*

*The Council may choose to restrict the time an applicant owed the section 66 Prevention duty is able to bid for accommodation in an area where they would prefer to live. The offer of accommodation would be in any area of the district that the Council has assessed is suitable and safe for the applicant to live in and could be made within the 13 week period allowed for in the Policy.*

*Where an applicant is homeless and in temporary accommodation and owed a section 73 duty or section 75 main duty and the Council decides it needs to move applicants out of temporary accommodation to manage the budgetary impact on the Council, the Council may make a direct offer of suitable accommodation at any time to reduce the financial burden on the Council of the cost of temporary accommodation.*

*A decision to make a direct offer of accommodation outside of the band and date order system could therefore be:*

*a) Where an applicant is not being realistic in the areas they are bidding for accommodation and as a result they may be occupying a temporary*

*accommodation unit that may be needed for another newly presenting homeless applicant.*

*b) To reduce the financial burden on the Council of the cost of temporary accommodation*

The Housing (Wales) Act 2014 provides specific homelessness duties for local authorities. In this instance choice needs to be set against the need for the local authority to resolve the applicants housing situation.

S.66 The Prevention Duty. Where a S.66 duty is owed by Blaenau Gwent C.B.C. applicants will be placed in Silver band and can exercise choice of area for 13 weeks. After this time the local authority will open up the areas it deems suitable to end the duty in accordance to S.67 and S.79 Housing (Wales) Act 2014.

S.73 The Relief Duty. Where a S.73 duty is owed by Blaenau Gwent C.B.C. applicants will be placed in Gold band. The local authority will open up all areas of choice it deems to be suitable to end the duty in accordance with S.74 and S.79 Housing (Wales) Act 2014.

S.75 The final Duty. Where a S.75 duty is owed by Blaenau Gwent C.B.C. applicants will be placed in Gold band. The local authority will open up all areas of choice it deems to be suitable to end the duty in accordance with S.76 and S.79 Housing (Wales) Act 2014.

In accordance with the Housing (Wales) Act 2014, any increase in banding will be removed with one refusal of a property the local authority deems suitable. Applicants will then return to their substantive banding (effective date as band change).

Those households 1) owed a homelessness duty under sections 66, or, s73, or s75 of the Housing Wales Act 2014 or applicant's who are considered homeless within the meaning of Part 2 of the Housing Wales Act 2014, will lose their priority status banding if the duty owed to them is ended due to the refusal of a suitable offer of accommodation. Thereafter, unless they have another reason to awarded one of the priority bands they will be able to be registered in the Bronze non reasonable preference priority band. The offer of suitable accommodation made will also bring to an end any statutory homeless duty owed under s66, s73, or s75 and they will be warned in writing of this consequence at the point a property is formally offered to them.

If the refusing applicant is statutorily homeless the RSL should wherever possible allow the applicant 3 days in which to reconsider. The Housing Access/Housing Options Team will liaise with the applicant, and RSL. During this time the property must be held open and not offered to any other applicant until a decision has been made.

With the exception of the rules that apply to applicants owed one of the relevant homelessness duties listed above under the Housing Wales Act 2014 all other applicants on the Housing Register are able to specify any areas at street, estate or community level where they do not want to be offered housing. The scheme allows them to be considered only for property types

that would suit the size of the household. The intention therefore is to make offers of accommodation that meet the preferences of applicants and provide suitable accommodation. As a result, when applicants refuse three offers of accommodation without offering a valid reason they will be demoted to the next band down. In these circumstances the demotion will be removed 12 months after the last offer unless their circumstances have changed and the Local Housing Panel determines that the change in circumstances is sufficient to allow the reduced preference to be removed.

### **1.5 How the scheme aims to offer fair and equal treatment to all types of applicant**

This scheme has been designed to ensure fairness and consistency in allocating housing, through setting a fair and transparent framework for assessing housing need.

The Council's aim is to deliver services that recognise the needs of different groups within the County Borough. To meet this aim the Blaenau Gwent Partnership will ensure that no applicant is treated less favourably on the grounds of age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion, sex, sexual orientation. The eligibility criteria, set out in appendix 3, will be used to make decisions on allocations under this scheme.

Applicants will be able to apply for housing in the County Borough using a range of methods. In addition to this interpretation services such as language line, availability of large print information or Braille and printed information in ethnic minority languages will be available when requested.

The scheme will be widely promoted to ensure that no individual or group is excluded from accessing services due to a lack of information. To ensure that the services offer equality of opportunity, service provision and satisfaction they will be monitored by age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

Under the Equality Act 2010 and in particular section 149 of the Public Sector Equality Duty, Local Authorities are required to give due regard to eliminate discrimination, advance equality of opportunity and foster good relations between those who share a protected characteristic and those who do not, in their exercise of a public function.

The protected characteristics are: age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The Council will ensure that its policy complies with the current equality legislation and with the relevant statutory codes and guidance. The new policy will be subject to an Equality Impact Assessment before it is adopted and this will be regularly reviewed.

### **1.6 Properties not included in the scheme**



The following properties **will not be** included as part of the banding scheme:

1. Properties required for decants (such as for regeneration schemes or emergency essential repair works, which would in any case be outside of the allocations scheme);
2. Properties required for emergency re-housing e.g. witness protection, urgent management transfers.
3. Any other exceptional circumstances identified by the Council's Team Manager – Housing Solutions and Compliance and relevant Senior Manager from the Housing Association.

## **Section 2: Who can apply to the Housing Register and the criteria for assessing qualification**

### **2.1 Those who are eligible to join the housing register**

Any Homeseeker over the age of 16 can apply to join the register. However if applicant is under 18 years of age their ability to manage a tenancy will need to be assessed. This is to make sure that they can cope with being a tenant at a young age and that they do not lose any tenancy offered through a lack of support. This may include a referral to Social Services or other support services to ensure the appropriate support is in place. If a person is 16 and if they are given a tenancy, this will be held in trust until they reach 18. This means that another suitable person (such as a parent, legal guardian, social worker or relative) will be responsible for the property.

All individuals or households owed any homelessness duty by the local authority under sections 66, or, s73, or s75 of the Housing Wales Act 2014 will automatically be registered with the scheme, with a registration date when they presented as homeless to the Council. The details of which band they will be placed in is set out in the Banding section (Appendix 3).

Married, civil partners and co-habiting couples, same sex couples, family members, friends and brothers and sisters, who wish to live together, can make joint applications. In such cases, it is usual for a joint tenancy to be granted in the event of an offer of accommodation being made.

### **2.2 Those who are not eligible to join the housing register**

Everyone can apply to join the register but there are some groups of people who by law cannot join the register regardless of their housing need or circumstances. These are people who:

- Come under various immigration rules and cannot claim housing help;
- Do not live permanently in the Common Travel Area (UK, Channel Islands, the Isle of Man or the Republic of Ireland) for tax purposes;
- Who do not have the right to live in the UK;

- Plus other categories of people who the Secretary of State or the Welsh Government has, or may in the future, decide are not eligible for housing.

The Council will also choose not to register applicants where it is satisfied that a person meets the criteria set down in the policy for unacceptable behaviour.

See appendix 3 for more details on the rules the Council will apply to determine whether a person is eligible to join the register due to the Welsh Government's rules for deciding if a person is able to join the register

### **2.3 Unacceptable behaviour**

Most applicants for social housing will not be persons from abroad, and will have been resident in the UK (or elsewhere in the CTA) for 2 years prior to their application. Such applicants, together with eligible applicants from abroad may, at the discretion of the Authority, be treated as ineligible by the Housing Authority on the basis of unacceptable behaviour. There is no obligation on Local Authorities to implement these provisions and where they do robust procedures are needed to ensure compliance with the law, this Code and the fair and consistent treatment of applicants.

The Council has adopted the power to make ineligible applicants to the register where it is determined there is unacceptable behavior. The rules adopted concerning eligibility to join the register, or to receive an offer of accommodation, where there is unacceptable behavior are set out in appendix 3 and follow the guidance set down in the Allocations Code of Guidance issued by the Welsh Government in 2015.

Any cases that may be considered as ineligible for accommodation due to their unacceptable behaviour will be discussed at the monthly Local Housing Panel and all partners will need to agree to either the applicant being made ineligible to join the register, or/and existing applicant on the register having their application suspended or an applicant being allowed to join the register but then being suspended or given reduced preference for their unacceptable behaviour. All applicants have the right to request a review of any decisions as to eligibility and a right to be informed of the decision of the Local Housing Panel and the grounds on which that decision was made.

<b>Section 3: The Banding system and how Homeseekers who are eligible to join the Common Housing Register will be assessed.</b>
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### **3.1 The Banding System**

A banding system will be used to decide when to make an offer of accommodation and to whom.

All social housing in Blaenau Gwent will be allocated using a banding system (there may be some exceptions see below and see the information on the Quota system). The bands distinguish between the different needs of applicants and they will usually only be re-housed when they are at the top of their band to qualify for an offer in one of their chosen areas. Note the Council may occasionally make a decision to make a direct offer of accommodation outside of the band and date order system for example where there is a need to reduce the financial burden on the Council of the cost of temporary accommodation, or where a sensitive letting is required.

Whilst it is expected that the vast majority of adapted properties will be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a property to an applicant (e.g. when they have a tenant whose current accommodation cannot be adapted). This will be done in exceptional circumstances and in consultation with the Council.

The bands are arranged to reflect housing priority, with the highest band including those applicants who have the highest priority for housing. The banding system is designed so that each band includes applicants who have a similar level of housing need.

All Homeseekers will be placed into an appropriate band utilising the information that they have provided. Applicants will be prioritised within their band based on the date that their application was received for housing. When a property becomes available the Common Housing Register partner will go to the relevant band and, in most cases, select the applicant with the longest waiting time suitable for that property.

The scheme uses a quota banding system to prioritise applicants. This means that each band will be allocated a target indicating the percentage of allocations to be shared between the different bands. The Common Housing Register partners will then use these targets when making their allocations. The indicative number of lets that go to each band are outlined in the Annual Lettings Plan set out in section 6.2.

The table below outlines the banding system and the circumstances used to allocate an applicant the appropriate band. The principle of this scheme is that an applicant's priority for housing should reflect both their level of housing need and length of time spent in need. Therefore priority will increase with time and a new applicant should not normally overtake an existing applicant within the same band. The circumstances where an allocation can be made to an applicant who is not at the top of the band will be monitored by the Council.

Applicants with no local connection and no additional preference will be placed in the Bronze band.

Applicants with an additional preference of high priority and very urgent priority will be demoted to the next band down.

There are four bands: Emergency, Gold, Silver, and Bronze. Properties will be normally be allocated in the following order:

1. The Emergency band – Very urgent priority: These are applicants that are owed a statutory award of reasonable preference but whom the Council believes should also be awarded additional preference based on their urgent housing needs.
2. The Gold band – High priority: These are applicants that are owed a statutory award of reasonable preference under the policy.
3. The Silver band – Medium priority: These are also applicants that are owed a statutory award of reasonable preference under the policy.
4. The Bronze band – Low Priority: These are applicants who have not been assessed as being in the additional preference group or the reasonable preference group.

### 3.2 Banding Summary Table

Note the descriptions used below are a summary of the criteria for qualification under each Band. This is not a substitute for the full detailed policy on how an applicant's housing needs will be assessed to decide what Banding will be awarded. This is fully set out in appendix 2 of the Policy.

#### Blaenau Gwent Partnership Banding Scheme Summary Table

**Emergency Band. This Band covers the circumstances where an applicant has been granted a statutory Reasonable Preference plus an emergency Additional Preference because of the emergency need to be housed**

**These are time limited cases to be reviewed at 3 months and then, if the award continues, every 3 months**

**Local Connection Criteria will apply except for MAPPA and Homelessness Cases**

##### **Homeless due to fire, flood or disaster**

- The applicant has suddenly lost their existing home as a result of disaster and requires accommodation in a short period of time.

##### **Armed forces applicants leaving or bereaved spouses or partners**

- To redress the disadvantage people who have served in the British Armed Forces often face when accessing social housing, the effective date of registration may be reset set to the date they joined the British Armed Forces in the following instances:
- where an existing member of the British Armed Forces is likely to be homeless upon discharge; Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military

accommodation and Bereaved spouses or civil partners of those serving in the regular forces

- where a British Armed Forces veteran applies for rehousing within 3 months of discharge and may be homeless or threatened with homelessness within 56 days of submitting their application; or
- in extenuating circumstances, and at the discretion of the Council, where a British Armed Forces veteran applies for rehousing beyond 3 months and up to 2 years from their date of discharge and are able to demonstrate that during this time they have been unable to establish stable accommodation.

#### **Impact of property condition**

- The applicant currently occupies a property that is in such poor condition as to impose extreme or imminent risk to the health and safety of its occupants
- The applicant currently occupies a property where there is a statutory requirement due to prohibition order / demolition order / compulsory purchase
- The applicant is a currently under occupying social housing by two or more bedrooms and needs to transfer to a smaller property. Applicants must be in receipt of discretionary housing payment and struggling to meet their housing costs in the social rented sector of one of Blaenau Gwent's partnering organisations.

#### **Need to move on medical or disability grounds**

An applicant who has an extremely urgent and immediate need to move for medical reasons or due to a disability, which is being exacerbated by their current housing situation including:

- The applicant's health condition is terminal and re-housing is required to provide a basis for the provision of suitable care
- The applicant's health condition is so severely affected by the accommodation that it is likely to become life threatening
- Where overcrowding in the property leaves the applicant at risk of infection, for example, where an applicant is suffering from late-stage or advanced HIV infection
- Disabled people whose current accommodation completely restricts them from carrying out day-to-day activities both internally and outside the home and they require urgent re-housing into a property that is suitable to their needs
- Applicants who have nowhere to live when they are discharged from hospital where their current property is no longer suitable for their needs and cannot be made suitable and all other housing options have been explored

## **Local Priorities**

### **Need to move on urgent welfare grounds**

- The applicant is a Housing Association tenant and is a high risk management transfer, who is receiving support from a professional agency or who is subject to a MARAC (Multi Agency Risk Assessment Conference) that is making a recommendation of housing need. The transfer will enable the applicant's existing property to become available for an allocation to another applicant on the waiting list.
- The occupant of the property no longer requires the adaptations and will therefore be releasing an adapted property by moving and there is a suitable applicant on the waiting list for the adapted property which will be released through the move.
- There is a likelihood of a child being accommodated by the Local Authority if re-housing is not made.
- The applicant's circumstances are not dealt with under any of the other circumstances in Emergency Band, however their housing need has been assessed by the Local Housing Panel as urgent and they require immediate re-housing.

**Gold Band: This Band covers the Circumstances where an applicant has been granted reasonable preference and a high priority**

### **Applicants owed certain homelessness duties under the Housing Wales Act 2014**

- Applicants owed a S75 duty because they have been assessed as eligible, homeless, in priority need and not intentionally homeless
- Applicants owed a S66 threatened with homelessness duty, or S73 homeless duty but only for as long as that duty is owed to the applicant

### **Need to move due to impact of overcrowding**

- The applicant is occupying accommodation which is short by 2 or more bedrooms suitable to their needs.

### **Housing is unsuitable for medical reasons, or due to a disability**

Applicants who are not housebound, or whose health, or safety is not at such a risk level to require urgent housing including where the applicant's current accommodation is unsuitable and is having a serious detrimental impact on their own/household member's existing health condition and the ability to live independently, which will deteriorate in the long term if more suitable accommodation is not offered.

Examples of when Gold Banding will be awarded are:

- a) The applicant currently occupies a property that is in such poor condition as to impose significant risk to the health and safety of its occupants

- b) The applicant's current accommodation is in such a condition that it contributes to causing serious ill health
- c) Disabled people whose current accommodation presents some barriers, such as steps, stairs or situated on a hill, to carrying out day-to-day activities and they require re-housing into a property that is suitable to their needs
- d) The applicant has an urgent need to move on welfare grounds due to any of the following:
- e) The applicant is at risk of being admitted to residential care or hospital if re-housing is not made.
- f) The applicant needs to move due to relationship breakdown and a need has been identified to safeguard and promote the welfare of the child.

**Care Leavers** - The applicant is a care leaver, vulnerable and has a high housing need that is best met by the provision of long term settled housing and the applicant has been assessed and approved by the Move On Panel as ready for re-housing. Applicants must be a former 'Relevant Child' as defined by the Children Leaving Care Act 2000.

**Leaving Supported Housing** - The applicant is in supported accommodation in Blaenau Gwent and also the Amber House Project and is ready for independent living.

**Support Hardship** - The applicant is giving or receiving essential support, which can only be delivered if they live in a specific locality within the area.

**Employment Hardship** - The applicant has permanent employment that cannot continue unless they live in a specific locality within the area and are otherwise adequately housed.

**Financial Hardship** – Alternative accommodation is required due to exceptional financial hardship relating to the accommodation the applicant currently occupies.

### **Local Priorities**

**Need to foster or adopt** - The applicant has been recommended by the Director of Social Services to foster or adopt children and whose current accommodation is not large enough

**Forced to live apart** - The applicant, their partner or children are forced to live apart because their current accommodation is unsuitable

\*This does not apply to those who are legally separated or are living apart due to relationship breakdown or family dispute

**Silver Band:** This Band covers the circumstances where an applicant has been granted reasonable preference with a medium priority to be housed.

### **Applicants owed certain homelessness duties under the Housing Wales Act 2014**

- The applicant has been assessed as homeless and not in priority need
- The applicant has been assessed as intentionally homeless and in Priority Need

### **Unfit or overcrowded accommodation**

- The applicant is occupying insanitary or unfit accommodation and living in unsatisfactory housing conditions that present some risk to the health and safety of the occupants.
- The applicant is living in accommodation which is not suitable to their needs because it is short by one bedroom which is suitable to their needs
- The applicant's current accommodation is unsuitable and exacerbates the applicant's or other household members' health condition and more suitable alternative accommodation is required to improve the health condition□

### **Reduced preference Silver band award**

Applicants whose housing circumstances have been assessed as meeting the criteria to be awarded silver band reduced preference. Applicants awarded reduced preference will sit at the bottom of the Silver Band; This includes applicants who exceed the financial limits (savings/assets).

### **Local Priorities**

#### **Tenants wishing to move with a good rent record**

The applicant is an existing social housing tenant who does not qualify for Emergency Band or Gold Band, who wishes to transfer and has no rent arrears and have been a tenant for 12 months.

### **Bronze Band**

**All other Applicants who have not been awarded reasonable preference**

**Plus**

**Applicants awarded Reasonable Preference for a Silver Band category but have no local connection with the Council. (Except cases owed any homelessness duty by the Council under the Housing Wales Act 2014)**

**Plus those applicant's owed a Reasonable Preference but have had that preference reduced (adjusted preference) due to the**



### circumstances set out in the Policy

- The applicant is an owner occupier and does not qualify for Emergency Band, Gold Band or Silver Band
- The applicant has the financial resources to meet their own needs
- All other applicants not dealt with in Emergency Band, Gold Band or Silver Band
- Applicants whose housing circumstances have been assessed as meeting the criteria to be awarded Bronze band reduced preference

Priority within a Band will be determined by the length of time the application has been placed in that Band and most allocations will be made by band and the time they have waited within that Band. In some circumstances an offer will be made to an applicant outside of the priority band and 'time registered' procedure. This is where there is an urgent need for an individual household to be housed or where there is an operational or financial need to house certain categories of applicants.

For example, where an applicant has been given additional preference due to an exceptionally urgent need for housing they may be placed at the front of the priority band and made an offer of housing as soon as possible. A decision that a person's need to be housed is exceptionally urgent would be made by the Local Housing Panel or can in urgent cases be made by the Chief Officer responsible for the Council's allocation policy.

In addition there may be other circumstances where there are urgent operational or financial reasons to make a direct offer of housing outside of the band and date order criteria. The qualifying criteria for awarding additional preference are set out in appendix 2. Specifically, examples of the circumstances where there may be urgent operational or financial reasons to allocate outside of the band and date order criteria are:

- People that need to move due to a fire or flood, or severe storm damage to their home.
- People who are at imminent risk of violence and are to be housed through a witness protection programme.
- People who it has been agreed must be housed urgently as part of a for example – a multi-agency protocol such as a MAPPA, MARRAC case or a protocol between the Housing and Social Care Departments of the Council where there is a need agreed between housing and social services to provide a specific property for a vulnerable individual.
- Where an applicant is homeless and in temporary accommodation that would not be suitable for more than a short period of time or where the Council needs to move applicants out of temporary accommodation to manage the budgetary impact on the Council as a whole.

- Where a vacant adapted property or a property designed to disability standards becomes available it may be offered to those customers with a need for this property type regardless of the date they were registered.
- Bungalows will be allocated according to the Level they have been assessed as (A,B,C,D,E,F) and will be allocated based on this and as per either adapted or general needs short-listing.

### **3.3 Local lettings policies**

The legislation enables Housing Authorities to allocate accommodation to people of a particular description who may not be at the top of their Band.

From time to time new Local Lettings Policies may be agreed with a Housing Association, to deal with specific local issues at a street, estate or community level. The details of these will be made public alongside the scheme. The policy for local lettings is set out in appendix 5.

These plans will be developed and approved in accordance with an agreed procedure, which considers the specific aims and justification of the plans. The plans will be reviewed on an annual basis. All local lettings policies are evidence based with the aim of achieving community sustainability and ensure that they fit with and inform strategic priorities. We will ensure local lettings policies do not discriminate and that they are monitored and evaluated to assess their impact.

Ongoing monitoring of the outcomes of lettings against lettings Policy targets will be undertaken and progress in achieving the lettings Policy targets will be closely monitored by the Council.

### **3.4 New developments**

When registered social landlords are in the process of or have completed new developments, the initial lettings can be made via a Local Lettings Policy, created in partnership with the Council. This is to ensure balanced and sustainable communities are created.

### **3.5 Size of accommodation**

Applicants can apply for any area and type of property that is suitable to their needs. However, the size of accommodation that can be allocated to an applicant will be calculated according to the standard set out in the following sub section and Appendix 6 – Bedroom Standard. There may be circumstances where a larger size property may be allocated than what is needed. In such cases applicants will be made fully aware of the financial implications of having a property, which may be larger than their household size. However, landlords have discretion to allocate their properties to maximize occupancy and a financial assessment of affordability will be made by an association willing to let an applicant occupy accommodation that is larger than their requirements.

In addition, some types of accommodation will only be allocated to certain groups of applicants.

The following criteria will be used to determine the size of accommodation to be allocated to the applicant:

Household Size	Accommodation Type
<b>Single Person</b>	1 Bedroom
<b>Couple</b>	1 Bedroom
<b>Joint tenant (non partners)</b>	2 Bedroom
<b>Single parent or couple with one child</b>	2 Bedroom
<b>Single parent or couple with two children of the same sex</b>	
- both aged under 16	2 Bedroom
- *one or both aged over 16	3 Bedroom
<b>Single parent or couple with two children of opposite sex</b>	
- both aged under 10	2 Bedroom
- one or both aged 10 or over	3 Bedroom
<b>Single parent or couple with three children</b>	
- if two are able to share a room (under 10)	3 Bedroom
- none are able to share a room	4 Bedroom/3 Bedroom with 1 spare living/dining room
<b>Single parent or couple with four children</b>	
- If all children are under 10	3 Bedroom
- all other	4 Bedroom/3 Bedroom with 1 spare living/dining room

Household members who are temporarily living away from home, for example in further education or the armed forces, will be included as part of the household. The individual currently living away will need to confirm in writing that they wish to be considered as part of the household and until the letter is provided the application will be considered as the individual not being part of the household.

Each application is assessed on the individual needs of the applicant in recognition that individual needs vary. There may be circumstances where a larger house size than which an applicant is entitled to may be awarded as outlined below:

- Expectant mothers must provide a copy of their Maternity Certificate (MAT B1) so that a property can be allocated according to their current needs plus an additional bedroom for the unborn child/children.
- Due to limited stock, where a home seeker is separated or divorced but has regular access to children as determined by a Court Order or Solicitor's letter, they will only be able to apply for a house suitable to the needs of the applicant(s), but requests can be made for the case to be heard by the Local Housing Panel if special circumstances apply.
- Where the applicant has a disability and a non resident-carer, the applicant will be entitled to extra funding for an extra bedroom (Welsh Government changes to Housing Benefit).

- Where there is a medical reason for requiring a separate or additional bedroom – this will be considered on an individual basis.
- Where there is no stock of a suitable size – in some areas, where landlords do not own properties of a smaller size, smaller households may be entitled to be considered for the next suitable size property.
- Where there is no stock of a suitable size – in some areas, where landlords do not own properties of a larger size, larger households may be entitled to be considered for the next suitable size property with a spare room (living room/dining room).

In some cases applicants may be offered a larger property if, for example, a property becomes available in a low demand area and there are no suitable applicants for that size property. In such cases that a larger property is provided to an applicant which is larger than they are entitled to, the applicant will be made fully aware of any implications in relation to Housing Benefit.

### **3.6 Type of Accommodation**

Applicants can usually apply for any type or size of accommodation that is suitable to their needs. However, some types of properties may only be designated to certain client groups, for example bungalows and level access accommodation will usually be offered to applicants requiring an adapted property in the first instance and sheltered/extra care or older persons accommodation will usually be offered to people over 55. The Llŷs Glyncoed Extra Care Scheme differs and will usually be offered to people 65 and over.

### **3.7 Areas with greater availability of housing**

In some areas of Blaenau Gwent there are areas of housing that have very short waiting lists or even no waiting list at all. In these circumstances the Council may agree with the landlord a Local Lettings Policy (refer to 3.2 Local Lettings Policies) that does not rely on the Housing Allocation Scheme but allows instant access without the need for any assessment beyond eligibility.

The aim of such Local Lettings policies will be to establish a viable community in the location. If demand increases then lettings may be brought back within the scheme.

Properties will be advertised in a variety of methods in order to find a Homeseeker.

According to the applicants housing need they may be entitled to a property with one bedroom more than the permanent family's needs dependent on a financial assessment being carried out by the Local Authority/Registered Social Landlord.

### **3.8 Access to Children**

The primary carer will be classified as the parent who receives Child Benefit, Child Tax Credit or Working Family Tax Credit in respect of the child / children.

Applicants who are separated or divorced, have joint custody, and can evidence via a Court Order or legal agreement that they provide essential support to the primary carer may be considered for a property based on the family size. Applicants who do qualify for this reason for a larger property will be awarded reasonable preference and placed in the Gold Band. Applicants will need to provide evidence to support their application and each application will be assessed individually. An applicant would still have to pass the test of being able to afford the rent for any larger accommodation.

Access cases, where there is no essential support being provided, will be assessed by the Local Housing Panel according to the applicants housing need and may be entitled to a property with one bedroom more than the permanent family's needs.

The changes in Housing Benefit have implications on the size of a property that is offered to applicants. Applicants need to be fully aware of these changes and the cost implications of having a larger property as Housing Benefit for a larger property will only be paid to the primary carer.

### **3.9 How will medical or disability cases be assessed to see if they qualify for an Emergency or Gold Banding award**

The following procedure will be applied. Full details of the assessment procedure and guidelines for officers are set out in appendix 12.

- 1) Homeseekers who indicate that they or anyone in their household have an illness or disability which is affected by their current home they will be asked to complete questions on their application form relating to their medical condition or disability.
- 2) The Housing Access Team Officers will carry out an initial assessment using the standard assessment criteria set out in appendix 6 to the policy. An applicant may need to be further interviewed by an Access officer or OTT officer to help make the assessment.
- 3) Where a Homeseeker's health is not so urgent and immediate that they are unable to live in their current home but their medical condition would be significantly improved by alternative housing a Gold Banding will be awarded. This will be determined by the Housing Access Officer and checked and signed off by the Team Manager based on the evidence provided.
- 4) Where the Council believes there may be an urgent and immediate need due to the severity of the impact of their current housing on their medical condition or disability and the applicant may be unable to continue to live at their present home the case will be submitted to the Occupational Therapy Team (OTT) who will determine whether to place the Homeseeker in the Emergency Band. In order to do this the OTT will

potentially visit the home of the Homeseeker or tenant household, consider any supporting information that has been requested or provided, and decide whether Emergency Band priority should be awarded, based upon the severity of the case and the urgency of the need for re-housing.

- 5) The OTT will also recommend the type of housing that the homeseeker needs to resolve the urgent circumstances.

If an appropriate type of property is refused then the Housing Access Manager will \*determine demotion of band out of the Emergency Band subject to the Applicant(s) urgency for re-housing.

The Appeals Board will:

Consider appeals from homeseekers against any decision made by OTT following a request for a review to the Council for any of the statutory reasons set out in 7.1 of the Policy

The decision reached by the Appeals Board will be final.

- 6) Where priority is awarded on the basis of medical circumstances Homeseekers can only be considered for the type of properties identified by the OTT, so for example, if someone needs to move to a bungalow because they have suddenly become a wheelchair user, they will only be considered for suitable properties within the Gold Band.

#### **Section 4: The Process for offering Accommodation**

##### **4.1: How accommodation will be offered**

Officers from the Housing Association partners use Blaenau Gwent's database to prepare a 'short list' of applications when a property is ready to let. If a Housing Association makes an offer of accommodation to an applicant, a formal offer of housing will be made. This may be in the form of a telephone call (if we have been provided with phone number) or a written offer may be sent in the post or by email where an email address exists for the applicant. Where this is an offer to an applicant owed a S66, S73 or S75 duty under the Housing Wales Act 2014 the offer will always be confirmed in writing via a letter or email.

The correspondence from the Housing Association will set out clear steps to enable the applicant to arrange a viewing of the property when it is available. Additional information will also be given, such as: -

- the address of the property;
- the number of bedrooms it has;
- what type of property it is (such as a flat or a house);
- how much rent you will have to pay if you accept the offer;
- the date the property is expected to become available; and
- the last date you must contact the Housing Association by if you want to view the property.

The applicant must inform the Housing Association within three working days of receipt of the offer, if he/she would like to view the property. If following confirmation of an offer of accommodation the applicant does not respond, this will be treated as a refusal of the offer.

Applicants will get up to three offers of accommodation that the council is satisfied were reasonable and if 3 offers are refused, the application will receive reduced preference and be demoted to the next band down.

Formal offers of accommodation are subject to the Housing Associations carrying out pre tenancy checks as circumstances can often change from the time of completion of the application to a prospective offer of accommodation. See section 1.4 for how offers to applicants owed any homelessness duty under the Housing Wales Act 2014 will be considered if an offer is refused

#### **4.2 How Lettings to Councillors and Council Staff and Housing Association Board Members and Staff are made**

Blaenau Gwent County Borough Council and its partners are committed to providing a fair and equitable housing allocation scheme to all its applicants. We do not exclude any employee, Council Member, Board Member, close relatives of employees, Council Members or Board Members, or former staff from applying for a tenancy.

All persons eligible are entitled to apply to the scheme and all applicants will undergo the same assessment procedure.

Staff, Board Members and Council Members and their relatives will be treated the same as any other applicant and must not be seen as receiving any preferential treatment.

However, the following steps will be followed when a member of Staff, Board Member or Council Member submits an application:

- The applicant will have no involvement in any decisions or the inputting of their application;
- The applicant will have no involvement in the awarding of priority or band;
- Applications should be clearly marked that the application is that of a staff member, Board Member, Council Member or a relative; and
- At the point of offer the relevant form must be completed and signed off before the offer is complete.

Guidance on permitted payments and benefits to staff and board members of Housing Associations in Wales states that when an offer is made there is an exemption to permit the grant of a tenancy or the disposal of a house to an officer or to a close relative of an officer provided that the written consent of the Welsh Government has been obtained.

#### **4.3 How Lettings to Applicants Requiring Adapted Properties are Made**

Applicants requiring adapted properties will apply for housing in the same way as applicants requiring general needs properties. Applications will be awarded priority in accordance with the banding system as set out in Section 3. However, at the point of application, such applicants will be required to complete a medical self-assessment form. The purpose of the medical self-assessment form is to identify the appropriate classification of the disability needs of the applicant which will identify the type of property required.

When an adapted property becomes available for allocation the relevant housing officer from the Housing Association will identify applicants requiring an adapted property, which are at the top of the relevant band. The housing officer will follow the process used for selecting an applicant for a general needs property i.e. identifying an applicant from Emergency Band first and if there is no suitable applicant in this band, moving to Gold Band and so on (dependant on the current quota system in place).

When a general needs property becomes available for allocation this will be allocated to the person at the top of the relevant band in accordance with Section 3. Some applicants requiring adaptations may not necessarily need a bungalow, level access or a fully adapted property and a general needs property, which can be adapted, may be suitable. If the applicant at the top of the band requires an adapted property, the housing officer will assess the suitability of the property to identify if the property can be adapted to meet the needs of the disabled applicant who is at the top of the list. Where the Housing Officer assesses the property as being suitable, an Occupational Therapist will be required to undertake a verification visit. The Housing Officer and the Occupational Therapist must consider the, suitability, practicality and the cost effectiveness of adapting the available property.

#### **4.4 How Lettings to Applicants Requiring Older Persons, Sheltered Housing and Extra Care accommodation are made**

Sheltered/Extra Care Housing offers of accommodation, often within complexes, specifically designed with the needs of older people in mind.

It aims to provide a safe environment where people can make friends and enjoy a range of social activities while living independently. In some cases, a warden service is supplied for greater reassurance and better security.

To be eligible for Sheltered Housing applicants must be:

- aged 55 or over or have a relevant support need, depending on the accommodation and Housing Association
- eligible for housing as detailed in the Scheme and appear in an appropriate band as set out in the Scheme
- be made aware of the cost of moving into a Scheme before a letting is carried out

A list of these schemes can be seen in Appendix 10.

To be eligible for Extra Care applicants must be:



- Llys Nant y Mynydd Scheme 55 years of age with any partner aged 50, Llys Glyncoed 65+. Age is the initial qualifying criteria, however, in exceptional circumstances flexibility will be considered for designated units subject to the Registered Social Landlords individual policies.
- eligible for housing as detailed in the Scheme and appear in an appropriate band as set out in the Scheme.
- Complete a self-assessment application form which will enable a Community Care Assessment to be undertaken (which will identify both support and care needs).

A list of these schemes can be seen in Appendix 10.

#### **4.5 Extra Care Refusals**

If an offer of accommodation is refused the vacancy will be offered to the next eligible Homeseeker(s) on the reserve list agreed by the Extra Care Allocations Panel.

#### **4.6 How Lettings to the Council's Gypsy Traveller Caravan Site are made**

Lettings to the Council's Gypsy Traveller Caravan Site will be made in accordance with this Allocation Policy. An applicant will be able to indicate whether they only want to be considered for a letting on the Council's Gypsy and Traveller Site or whether they want to be considered for both the site and any other form of housing.

Where an applicant is owed a homelessness duty under the Housing Wales Act 2014 they will not be able to only elect to be considered for the Council's Gypsy and Travellers Site.

#### **4.7 Direct Match / Sensitive Lettings**

##### **Direct Match Lettings**

Whilst it is expected that the vast majority of general needs properties will be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a hard to let property to an applicant (e.g. Low demand area and short list has been exhausted). This will be done in exceptional circumstances and in consultation with the Council.

##### **Sensitive Lettings**

Sensitive Lets are short-term solutions to a particular issue with a property or previous tenant's behaviour. They should not be confused with the local lettings policies, which are a medium to long term solution to address imbalances in the community. When undertaking a sensitive let the landlord should notify the council by submitting a relevant report. This report should also be attached to the application as an attachment (Appendix 3)

#### **4.8 Management Discretion Lets**

In certain urgent or sensitive circumstances properties will be allocated outside the banding framework set in the Scheme. Such 'Management Discretion Lets' are made where there is a need to address an immediate priority and are authorised by the Housing Manager and the relevant Partnering Housing Association's Senior Officer with responsibility for Housing Management.

Situations in which Management Lets are made include:

- Where rehousing is necessary on grounds of public safety.
- To assist with the Council's statutory obligations under Multi Agency Public Protection Arrangements (MAPPA).
- To support a recommendation from a Multi-Agency Risk Assessment Conference (MARAC).
- To 'decant' existing Partner tenants as a result of a crisis e.g. due to fire or flood, or where major repairs to social rented properties are required.
- To rehouse applicants who occupy a private property which is subject to an Emergency Prohibition Order and recovery of the premises is required in order to comply with the Order as defined by Section 33 of the Housing Act 2004.
- Where a request is received from the Council's Children's Services or Adult Services to assist with an exceptional accommodation need of their client/s under the Children Act 1989 s27; the Children Act 2004 s10, or the National Health Service and Community Care Act 1990.
- To assist approved foster carers who need larger accommodation to foster more children who are the responsibility of the Council's Children's Services – subject to confirmation from Children's Services.
- To move vulnerable witnesses/victims in anti-social behaviour / hate crime cases.
- In anti-social behaviour cases involving two tenants and it has not been possible to determine who the victim is.
- Where it is in the landlord's interests to move a tenant e.g. where the property is to be sold or used for another purpose.
- Where a joint tenancy is terminated one party may be allocated the property if it is suitable for their housing needs.
- Where the tenant has died and the occupant/s do not have a right to succeed but there is an obligation to rehouse, or where there is a right to succeed but to a smaller property.
- Applicants unable to remain in their current accommodation due to violence, abuse, harassment, serious nuisance or trauma.
- Applicants who are involved in a neighbour dispute and it is unlikely that enforcement action is feasible / will be successful and a move to alternative accommodation is the most appropriate solution.

This list is not exhaustive.

The use of Management Lets is monitored by the Senior Housing Access Officer & Local Housing Panel on a yearly basis. A monitoring report including details of:

- The number of Management Lets made (by organisation).
- The reason for each Management Let.

This monitoring will help to ensure that Management Lets do not exceed 10% of lets made by any Partner in the Housing Waiting List, are being used appropriately within the criteria set in 4.2 above, and that there is no pattern of bypassing particular groups.

## **Section 5: How to apply to join the Common Housing Register**

### **5.1 How to Make an Application**

Applicants need to complete an online housing application form by registering at [www.blaenau-gwenthomes.org.uk](http://www.blaenau-gwenthomes.org.uk). However, the supply of vacant social housing for letting varies greatly across the County Borough and in some areas, or for some types of property, the waiting times are long. For this reason applicants will be given housing advice to help them make informed choices about their area preferences, explore other options for accessing housing within the County Borough, and carry out verification checks (all mandatory documents should be submitted to the Housing Department within 28 days):

- identity – child benefit, and pregnancy notes are required for verification - staff will accept child benefit letter, post office statements or bank statement;
- household details including income (last 3 month bank statements) are required for verification of affordability (financial assessments);
- details of current address and circumstances are required for verification of criminal convictions;
- The effective date of the Applicants housing application will be awarded on the date that mandatory documentation is received.
- Housing circumstances are required for verification of any change of circumstances.
- Tenancy references are required for applicants who are past or current tenants of a Social / Council landlord – Tenancy references will be requested by staff – if the tenancy reference is not returned with 28 days the housing application can be made active in the Silver band for Blaenau Gwent Registered Social Housing Tenants and Bronze band for out of area Council/Registered Social Housing Tenants subject to receipt of information. Blaenau Gwent Partnering RSLs to request this information on any pre offer of accommodation.
- The Applicants effective date will be awarded duplicate to their registration date on receipt of the tenancy reference (as long as no other mandatory documentation is outstanding).
- For those applicants for whom the Housing Access Team are awaiting mandatory documents (pregnancy note/child benefit/criminal convictions) applicant's effective date will be awarded subject to the date of receipt of the mandatory document. i.e. Registration date 01/01/2018; effective date 13/01/2018 received mandatory document.

Applicants will need to make their application on-line. Where this is not possible or not appropriate they will be able to receive help in completing an on-line application from the Housing Solutions Office, 20 Church Street Ebbw Vale NP23 6BG by appointment or by telephone (01495 354600) by appointment. In exceptional some applicants may require an officer to visit them at home. This can be arranged by telephoning the Housing Access Team on (01495 354600).

Applicants are encouraged to complete the online housing application form themselves. However, if completed at the Housing Office, staff will be available to go through the form with the applicant to ensure that the required information is collected. Staff are also available to give support in completing the online applications if requested by telephone and translation services are available at the Housing Office via language line, where needed.

As part of assessing eligibility to join the Common Housing Register applicants are initially asked the four following questions:

1. Have you returned to the UK in the last 2 years?
  - a. If 'yes' are you British?
  - b. If 'no' what is your nationality?
2. Are you subject to immigration control?
3. Have you committed an act of unacceptable behaviour?
4. Do you have an unspent criminal conviction?

The purpose of the questions is to fully assess the applicant's eligibility and provide advice and assistance on their eligibility.

Applicants with an unspent criminal conviction or pending court case will be referred to the Local Housing Panel. See 5.8 below for how applicants with unspent criminal convictions or pending court cases will be dealt with under the policy. The Local Housing Panel is responsible for confirming eligibility based on the following tests of unacceptable behaviour:

- Has the applicant been evicted for neighbour nuisance / and or anti social behaviour?; or
- Has the applicant been convicted of specified conduct or in view of the panel have been guilty of such conduct?; or
- Has the applicant made a clear attempt to obtain accommodation by deception?

Where the Local Housing Panel assesses the applicant or member of their household as having unacceptable behaviour, where the behaviour is serious enough to make them (the applicant) unsuitable to be a tenant and where the behaviour is still deemed to be unacceptable at the point of application, the applicant may be determined to be ineligible to join the scheme.

Where the Local Housing Panel has assessed the application as ineligible, the Senior Housing Access Officer will write to the applicant to advise them of

this, providing full reasons for the decision and advising of their right to ask for a review of this decision.

Where an applicant is deemed eligible the Housing Access Team will continue the application process and inform the applicant of this decision. Applicants who are required to provide any supporting evidence are advised of this in writing once their application has been assessed and need to present this evidence to the Housing Access Team. Upon receipt of all supporting evidence the application is completed and a band is awarded. The decision is confirmed in writing to the applicant and includes information on the applicant's right to appeal.

All applicants are encouraged to undertake the enhanced Housing Options module online where the applicant's housing needs and housing options are presented in detail. The purpose of the Enhanced Housing Options module is to determine whether or not applying to the Common Housing Register is the most appropriate housing option for the applicant.

Following verification of an application form, the Housing Access Team will confirm the band that has been awarded in writing, along with advising the applicant on their right to appeal.

## **5.2 Making an Application by Telephone**

All applicants are encouraged to complete the Enhanced Housing Options module online. . The purpose of the Enhanced Housing Options is to understand the applicant's housing need and to offer advice on alternative housing solutions. The Enhanced Housing Options will also determine whether applying to the Common Housing Register is the most appropriate housing solution for the applicant.

However, on occasions there may be certain circumstances, such as medical circumstances or living outside of the County Borough, that mean the applicant cannot attend the interview. Therefore, the housing solutions interview will be undertaken over the telephone by contacting the Housing Access Team on 01495 354600.

Where supporting evidence is required applicants will be advised of this and asked to present the evidence to the Housing Access Team and the band will not be confirmed until the evidence has been assessed.

Upon completion of the above, if the housing option is to join the Common Housing Register the applicants will be advised of their band and the Housing Access Team will confirm this in writing.

## **5.3 Making an Application In Person**

Applications can be made by visiting the Housing Access Team based at the Housing Solutions Service, 20 Church Street Ebbw Vale NP23 6BG. As previously mentioned all applicants are asked the four eligibility questions upon which the Housing Access Assistant filters the applicant to the most appropriate officer.

Applicants threatened with homelessness or have not resided in the UK for the past 5 years are required to make an appointment with a Housing Options Officer. Applicants who have an unspent criminal conviction or have committed an act of unacceptable behaviour are required to undertake a Housing Solutions Interview.

All applicants making an application in person are also required to undertake a Housing Solutions Interview, and depending on the outcome of the consultation are filtered to the most appropriate housing option. If the housing option is to join the Common Housing Register the application is completed and a band assigned.

Where supporting evidence is required applicants are advised of this and asked to present the evidence to the Housing Access Team, where upon receipt the Band will be confirmed.

#### **5.4 Home Visits**

Some applicants require a home visit and therefore at the point of contact to the Housing Solutions Team, the team will:

- Undertake a brief telephone assessment to assess eligibility and identify any supporting evidence that may be required, and can be presented at the home visit;
- Once eligibility has been confirmed, undertake the home visit and verify supporting evidence;
- Complete the application process;
- Following completion of this process the housing access team will confirm the band in writing and advises of the applicant's right to appeal.

#### **5.5 Housing Solutions Interview**

Every applicant, except in exceptional circumstances, is required to undertake a Housing Solutions Interview. The Housing Solutions Interview is an informal discussion and its purpose is to understand the applicant's housing need and to offer advice on alternative housing solutions. The Housing Solutions Interview will also determine whether applying to the Common Housing Register is the most appropriate housing solution for the applicant.

Where this is not the case the applicant is advised of other housing options such as low cost home ownership or private rented accommodation.

During the Housing Solutions Interview applicants are provided with very detailed information regarding social housing within the County Borough. Applicants are advised of high and low demand areas and the average waiting times that applicants have to wait before being housed in certain

areas. As a result of this, applicants may find that their prospects of being housed through the Scheme are better in some areas than others.

All applicants are signposted to the Housing Solutions website ([www.blaenaugwenhousing.co.uk](http://www.blaenaugwenhousing.co.uk)) and may be provided with hardcopies of information, where required, which includes information on a range housing options, including those mentioned above.

All interviews and home visits will also be used to check and enquire about current or previous rent arrears or other breaches of tenancy, current or previous damage to property and harassment/anti-social behaviour or relevant criminal activity. Contact will also be made with current and previous landlords in relation to these issues.

## **5.6 The Provision of Advice and Information**

The Housing Access Team aims to provide advice and assistance to enable applicants to make informed choices and to ensure that no applicant is disadvantaged.

Applicants can also meet with an independent housing advisor at either Shelter Cymru or Citizens Advice Bureau. The advice that the Council will provide includes:

- How to apply to join the housing register and the sorts of supporting information that will be required;
- Assistance to complete the application form if required;
- Explanation of the priority bands;
- How to exercise choice of area;
- Options advice to consider other forms of housing such as private renting or low cost home ownership;
- Information regarding the implications of over-occupation and the bedroom tax.

If there is a difficulty in understanding any aspect of our Allocation Policy, the Council will arrange for a member of housing staff to provide advice and guidance on the Policy, and answer any questions applicants may have. There is also access to 'Language Line' for those individuals whose first language is not English.

## **5.7: The Homeseekers responsibility to provide information**

The Housing Access team responsible for assessing new applications will assess whether the person qualifies for the Housing Register and, if they do, will use the policy to assess the person's housing needs and will award any priority to that persons' application if they meet the criteria set out.

Applicants will be required to sign a declaration to:

- Confirm that the information given is correct and that they will notify the Council of any change in their circumstances.

- Give consent to allow enquiries to be made concerning their eligibility for housing and level of priority.
- Give consent to allow information to be provided to another partner organisation in the scheme.

It is the responsibility of the applicant to provide the Council with all the information and to provide any supporting information or documents as requested. Incomplete applications will not be made active until such time as the Council is satisfied that it has in its possession all of the information it requires to complete its assessment. All incomplete, renewal applications and applications to which mandatory information has not been returned to the Housing Department will be cancelled after a period of 28 days of inactivity from the applicant from the date information has been requested and not been provided.

This cancellation does not prevent the applicant making a subsequent application at a later date, although all applicants should note that in such cases the effective date of registration would not be backdated to the earlier application date.

All applicants must provide the information that is requested so that the Housing Access Team can confirm their details and award the appropriate Band. If supporting evidence is not provided then this will delay the application process and therefore hold up any potential allocation.

Applicants will be provided with information regarding their own applications as follows:

- Unique Reference Number;
- What band they have been awarded;
- What information they need to supply for verification purposes;
- If the applicant has been awarded reduced priority or are found to be ineligible what actions, if any, need to be undertake to resolve this;
- Registration date (the registration date of all 'trade-down'<sup>1</sup> tenants who will be placed in the Emergency Band) will be the date that their tenancy commenced with the participating registered social landlord.

## **5.8 Checks into any court cases or unspent criminal convictions**

In the interests of assessing an applicant's eligibility to join the register all applicants and members of their prospective household will be requested but not required to disclose any pending court cases or unspent criminal convictions.

The Council may use any information disclosed, or any other information obtained during the assessment or following registration, to ascertain whether

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<sup>1</sup> Someone who moves to a smaller property e.g. moves from a 3 bedroom house to a 2 bedroom house.



the applicant should be disqualified from joining or remaining on the register due to serious unacceptable behaviour and/or because depending on the facts they may pose a serious risk to a community where they might be housed. Where a criminal conviction is spent the Council will not take into account that an applicant had received a criminal conviction is assessing that person's eligibility to join the register. The assessment will reflect whether there is evidence of any current serious unacceptable behavior regardless of whether a person has been convicted in the past for that behavior.

If the Council decide on the information obtained during the assessment process that there is a real pressing need for a police check an approach may be made to the Disclosure Scotland agency for information but only to establish whether the person has been involved in a serious crime(s) that may come under the unacceptable behaviour non-qualification criteria.

Information gained will not automatically exclude an applicant from the register. It may also be used to make informed decisions about any nomination for vacant property.

All assessments will be carried out in accordance with the data protection and information sharing policies and legal requirements.

## **5.9 Notification of Registration**

When an application has been fully assessed the person who applied will be notified in writing and this notification will include the following information:

- Whether they qualify for the register and if not why not
- If they do qualify they will be informed in the notification of their registration date and their registration reference number and
- The Band they have been placed into according to their housing need, and the type of property for which they may bid for

## **5.10 The requirement to inform the Council of any change of circumstances**

It is the responsibility of the applicant to inform the Council of a change of circumstances and, where requested, provide proof of the change. Notification of a change in circumstances should be done without undue delay and within 2 weeks of the change. Examples of a change in circumstances include but are not limited to:

- (i) A change of address or contact details, for either themselves or members of their prospective household;
- (ii) A change in their medical condition or disability (either existing or newly acquired);
- (iii) Additional family members or other people they wish to add to their application (It will be for the Council to decide whether they will allow additional people to join the application);

(iv) Any family member or any other person on the application who has left the accommodation; and

(v) Any significant changes in income, savings or assets, which is likely to have a material effect on the application.

It does not follow that every change in circumstance will result in a change in priority. However, a change may mean an applicant qualifies for additional preference or may mean that an applicant no longer qualifies for the priority band. The Council will verify and assess the extent of the change to ascertain whether this will result in a change in priority. Applicants will not actively be considered for housing whilst the change of circumstance is being verified and the Council will endeavor to assess the change as quickly as possible.

### **5.11 Giving False Information**

Under section 171 of the 1996 Housing Act it is a criminal offence for anyone applying for housing from a housing authority to knowingly give false information or to withhold information relevant to their application. An offence is also committed if a person allows a third party to provide false information on their behalf.

Customers who are found to have withheld or given false information may be removed from the register and will not be able to reapply for a period of 12 months. Decisions to remove the person from the register will be made based on the seriousness of the false information given and an assessment of why the information was withheld.

### **5.12 Deliberate Worsening of Circumstances**

Where there is evidence that a customer has deliberately made their housing situation worse in order to gain a higher priority on the register, they will be assessed based on the circumstances before their situation changed.

Examples of this are:

- Customers who have allowed family members or others to move into their property, who previously had suitable accommodation or the financial means to secure their own accommodation, and this has resulted in the property being overcrowded.
- Customers who have moved from previously suitable or more suitable accommodation which it were reasonable for them to continue to occupy, into a less suitable property.

- Homeowners who have transferred their property to another family member within the last 5 years from the date they make their application to the Register.
- Giving up affordable and suitable private rented accommodation which they are able to maintain, to move in with other relatives or friends, creating a situation of overcrowding and/or sharing of bathroom/kitchen and/or a split household;
- Requesting or colluding with a landlord or family member to issue them with a Notice to Quit;

## **Section 6: Service Standards, Annual Letting Plans and Reviewing the Common Register**

### **6.1 The Service Standards applied to the Scheme**

The Council is no longer a social landlord and does not own any domestic properties. In December 2010, the Council transferred all of its housing stock to Tai Calon Community Housing, which has been set up to specifically manage all of the Council's transferred housing stock.

If you were a Council tenant in Blaenau Gwent at the time of transfer, you automatically became a Tai Calon tenant.

The Council still has responsibility to ensure the needs of residents are met through housing services provided locally and has a duty to help people who are homeless or threatened with homelessness.

The Council as administrators of the Common Housing Register will consider every application received and will:

- Provide free advice and information about the right to apply for accommodation;
- Provide detailed advice on eligibility to join the Common Housing Register;
- Provide free assistance to applicants who may have difficulty in making an application;
- Ensure that all information is easy to understand and is readily accessible
- Outline how choice is offered and the ability of applicants to indicate their preferences;
- Provide detailed advice on the types of accommodation that is available throughout the County Borough;
- Provide detailed information on the length of time applicants are likely to have to wait to be re-housed in their area of choice, and also information on the length of waiting time for any other area;
- Provide a full copy or summary of this Scheme to all households that request them and always provide a summary of the Scheme to people accepted as being owed a full duty as statutorily homeless;
- Treat applicants equally in accordance with their housing need and where possible their choices, regardless of race, religion or creed, ethnic or national origin, disability, gender, sexual orientation or marital status or age;
- Regularly monitor all nine equality strands of applicants;
- Ensure that all information provided by applicants will be treated with strict confidentiality.

### **6.2 How the Annual Lettings Plan Works**

The Scheme will use a targeted banding system to prioritise applicants. This means that each band will be allocated a target (or a set of lettings indicators) indicating the number of allocations to be shared between the different bands.

The Common Housing Register Partners will then use this plan when making their allocations to ensure that the Scheme meets its legislative and contractual requirements by housing those in greatest housing need and helps to build sustainable communities.

This Scheme is substantially different to the one previously in operation due to the change from a points based system to a banding system. In order to determine the targets for each band, the Council has undertaken an analysis of the points of the applicants who were allocated a property over the last two years under the previous scheme and matched these points broadly to the new banding system. This has allowed the Council to identify which band these applicants would have been awarded under the banding system. Using trends in this way has allowed the Council to set appropriate targets for each band and will ensure that the Council will continue to meet its statutory obligations towards homeless households whilst also assisting other reasonable preference applicants, local strategic priority applicants and will contribute to achieving sustainability.

The targets for this period have been set as follows:

- Emergency Band 25%
- Gold Band 60%
- Silver Band 10%
- Bronze Band 5%

The targets will be reviewed after the first six months of operation of the Scheme and annually thereafter to ensure that the targets for each band have been set appropriately.

### **6.3 How Future Lettings Plans will be developed**

After the first year of operating the revised Policy, accurate data will be available on the allocations made under each band and this will inform any future amendments to targets if necessary. This data will also allow for considering other monitoring options such as:

- Current applicants on the Common Housing Register split by band;
- The split of need within band i.e. how many applicants have Reasonable Preference and for which reasons;
- The percentage of a particular Reasonable Preference group that has been re-housed within the year; and
- The percentage of allocations made under each Band broken down by area

### **6.4 Reviewing who is on the Common Housing Register**

Each customer on the Housing Register will have their application reviewed annually. This may be based on an annual review date or a rolling review 12 months from the applicant's date of registration or can be carried out more frequently if the Council wish to do so for administrative purposes. A letter will be sent to all customers requesting confirmation of their current circumstances and that they wish to remain on the register. Applicants will be

sent a reminder after 28 days. If they fail to respond within a further 28 days, this will result in the applicant being deleted from the scheme.

All deleted applicants will be notified in writing. If the applicant can provide a good reason, such as being on holiday, for not responding to the review request in time then the application may be reinstated with their original application date.

## **6.5 The Procedure for Dealing with Changes in Circumstances**

All homeseekers must keep the Housing Access Team at the Council informed of any changes in their circumstances. Amongst the more detailed information described below, this also includes details such as contact telephone numbers.

Where a change of circumstances leads to a change in band, the homeseeker will be advised in writing of the new band, the reason for it and the applicable priority date. As noted previously in this Policy, homeseekers have a right to request a review of this decision.

Where a change of circumstances leads to a homeseeker being moved into a higher priority band, the registration date will become the day the Council received notification of the homeseekers change in circumstances.

If a homeseeker moves into a lower band as a result of a change in circumstances, then the original registration date will be used as the priority date.

Applicants must immediately notify the Housing Access Team of any changes in their circumstances and provide evidence to support these changes as a change in circumstances could alter an applicant's position on the Housing Register.

Any applicants that do not respond within the timescale for providing additional information may be removed from the Scheme. Applicants will not be considered for an offer of accommodation whilst the Housing Access Team is awaiting the required information. Applicants who have been removed from the register have the right to a review of the decision within 21 days.

If a household deliberately worsens their circumstances (see the relevant section in this policy) following a voluntary change of address, the application may, for a period of not more than 12 months, be awarded reduced preference. Anyone forced to leave their home through violence or a genuine fear of violence will not be considered to have deliberately worsened their circumstances.

## **6.6 Cancelling Applications**

An application will be cancelled from the housing register in the following circumstances:

- a) At the customers request.

- b) If the customer becomes ineligible for one of the qualification grounds.
- c) When the customer has been housed.
- d) On failure to reply to a review letter or requests for further information within a given time period.
- e) An applicant has been housed in a secure or assured tenancy by another Local Authority or other Housing Association not part of the Common Housing Register;
- f) Where an applicant leaves temporary accommodation without a forwarding address;
- g) When the applicant moves to a new address and does not complete a new application form.
- h) Where it is discovered that the customer has given false or misleading information

## **Section 7: Reviews and Appeals**

### **7.1 Reviews and Appeals**

As noted throughout this Policy, homeseekers have the right to request a review of decisions made about their eligibility, their priority and their removal of priority. Any homeseeker that wishes to ask for a review of a decision must make this request in writing to the Team Manager. Reviews will be heard by the Local Housing Panel the terms of reference and scope are set out at Appendix 8.

There is a legal right to a review of a decision in the following circumstances:

- a) The customer disagrees with a decision not to place them in a band or disagrees with the band they have been placed in. This includes where an applicant has been awarded adjusted preference meaning a reduced preference award.
- b) The customer considers that a decision has been reached based on incorrect information.
- c) The customer has been treated as ineligible on the basis of their immigration status.
- d) They have been treated as ineligible to join the register due to serious unacceptable behaviour.
- e) Their priority on the register has been given no priority status due to unacceptable behaviour.

### **7.2 The review procedure**

1. Customers will be notified in writing of decisions made in respect of the review.
2. Customers must request a review of a decision within 21 days of being notified in writing, unless there are exceptional circumstances that they did not request a review within that timescale. They must give reasons why they wish to have the decision reviewed including where they believe an incorrect decision has been made on the facts.

3. A senior officer in Blaenau Gwent Housing Service who was not involved in assessing the case will informally consider the case and may provide an informal view to the applicant. Where the applicant wishes to proceed with a review this will be considered by the Local Housing Panel.
4. The review will be considered on the basis of the authority's allocation scheme, any legal requirements and all relevant information. This will include information provided by the applicant on any relevant developments since the original decision was made.
5. The Council will aim to complete and inform the applicant in writing of the decision within 21 working days depending on the frequency of the Local Panel meetings, after taking into account any additional information that has been provided by the customer. Alternatively, the customer will be advised of any time extension required to make the decision. There is a further right of appeal to the Housing Appeals Panel.

### **7.3 Extra Care Appeals and Complaints**

Homeseekers have a right to appeal to the Extra Care Appeals Board if they are dissatisfied with an allocation decision. The decision of the Extra Care Appeals Board will be final.

The Housing Solutions Manager will inform the Appeals Board of any appeal received.

Should an applicant be dissatisfied with the outcome of an appeal they may invoke the Registered Social Landlords Complaints Procedure.

Customers who want to review a decision made in relation to their application to join the register and certain subsequent decisions have a right to ask for a formal review of the decision.



## Appendix 1: Test of Unacceptable Behaviour - Referral to Panel

Test of Unacceptable behaviour – to be completed by the referring organisation. **Please ensure all evidence is available for consideration**

Outcomes to the Panel's decision are:-

- 1 – The behaviour meets the threshold and the applicant is ineligible to join the Register
- 2 – The behaviour meets the threshold but the decision is to allow them to join the Register but leave them suspended until the behaviour is resolved by the applicant to the satisfaction of the Council
- 3 - The behaviour meets the threshold but the decision is to allow them to join the Register but to grant them reduced preference banding for that behaviour

Primary/Joint Applicants:	Other Household Members:
Is the applicant homeless?	Has the Council accepted a prevention, relief or full duty?
Address:	
Organisation referring applicant:  Lead Officer: Title:  Contact number:	
Reason(s) for referral:	

Applying the 3 stage test	
<p>1. Where there is evidence of unacceptable behaviour; was it serious enough to have entitled you to obtain an outright possession order if the applicant had been a tenant?</p> <p>Grounds for Possession: State</p> <p>Reasonableness: How reasonable is the action?</p>	
<p>2. Was the behaviour serious enough to render the applicant or a household member unsuitable to be a tenant?</p>	
<p>3. Is the behaviour unacceptable at the time of application? When did it happen? What is the frequency?</p>	
<p>Panel Considerations:</p>	
<p>Panel decision:</p>	
<p>Applicant temporary suspended:</p>	<p>Yes/No</p> <p>If Yes, Suspended until:</p>

<p>Panel Members</p> <p>Chair:</p>	<p>Signed:</p>

## **Appendix 2: Assessment criteria for when an applicant's housing needs be awarded the Emergency Priority Band for additional preference, or the Gold or Silver reasonable preference bands.**

The allocation scheme has been designed to ensure that reasonable preference is given to all of the following categories of people, as set out in s.167(2ZA) of the Housing Act 1996:

- (i) People who are homeless (within the meaning of Part 2 of the Housing (Wales) Act 2014;
- (ii) People who are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014;
- (iii) People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions;
- (iv) People who need to move on medical or welfare grounds including grounds relating to disability.
- (v) People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).

These reasonable preference groups have been reflected in the Allocation Policy through a Banding system. Anyone with an award of reasonable preference plus additional preference to reflect their very urgent housing needs will be placed in the Emergency Band. All other applicant's owed a statutory reasonable preference will be awarded either Gold, or Silver depending on the Council's assessment of their relative housing need. Homeseekers not assessed as being owed a reasonable preference or have been assessed as being owed a reduced preference will be granted Bronze Banding. The summary table set out in the main body of the Policy records when Emergency, Gold, Silver or Bronze banding will be awarded.

Below are the detailed criteria that will be used to assess whether an applicant should be awarded one of the statutory reasonable preference categories and what Band will be awarded to reflect this. Note once an applicant is awarded reasonable preference they will receive no more priority if they would qualify for more than one priority category unless their housing circumstances are so urgent that they qualify for an award of additional preference – the Emergency Priority Band. The Emergency band is time limited and cases will be assessed after 3 months and if allowed to remain in the band their case will be reassessed every 3 months to determine whether the award of Emergency band status should still apply.

### **Reasonable Preference Group 1 - People who are homeless within the meaning of Part 2 of the Housing Wales Act 2014**

#### **Circumstances when the Emergency Band (additional preference) will be awarded**

Homeseekers who have become homeless due to a fire, flood, or disaster and require accommodation within a short time period.

**Circumstances when Gold, or Silver Banding to reflect a reasonable preference will be awarded**

Customers assessed, as meeting the criteria for this reasonable preference category will be.

- a) People who are homeless and have been assessed as not being owed a s75 duty because they are not to be in priority need – **Silver band**
- b) People where it has been verified by the authority that they are rough sleeping in Blaenau Gwent County Borough and owed a section 73 duty – **Gold Band**
- c) Applicants who live in accommodation that is a moveable structure, like a caravan, but there is no lawful place they can lawfully place it and live in it – **Gold Band**
- d) Applicants who have made a homelessness application and have been determined by any Council to be homeless but not owed a s75 duty because they have been found to be intentionally homeless – **Silver or Bronze banding or no priority depending on the circumstances of their case.**

**Note:** those found intentionally homeless may be granted reduced preference or even not eligible or eligible but granted no priority by virtue of any unacceptable behaviour that led to the intentional homeless decision. This will be decided by applying the unacceptable behaviour criteria set out in the policy. They will remain under that reduced preference or no priority award until the matters that have led to that decision have been successfully resolved by that applicant to the satisfaction of the Council.

**Reasonable Preference Group 2 - People who are homeless and are owed a duty by the local authority under section 66, 73 or 75 of the Housing (Wales) Act 2014**

**Note:** The reasonable preference award will only apply whilst any of the duties listed are still owed. Once an applicant is no longer owed a duty, (say for example where an applicant who was owed a s73 duty because they are homeless but where that duty has come to an end for any of the rules set out in the legislation including where a period of 56 days have elapsed, no duty will then be owed and the applicant will no longer be entitled to an award of reasonable preference for being owed a s73 duty to relieve homelessness).

**Circumstances when the Emergency Band for additional preference will be awarded**

There are no additional preference criteria for this category

**Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Applicants who will be given this preference are:

- a) Applicants owed a S75 duty because they have been assessed as eligible, homeless, in priority need and not intentionally homeless – *Gold banding*
- b) Applicants owed a S66 threatened with homelessness duty, or S73 homeless duty but only for as long as that duty is owed to the applicant – *Gold banding*
- c) Applicants owed a S66, or S73, or S75 duty by another Council not Blaenau Gwent Council will be given reduced preference and will sit at the bottom of the Silver band and will only be considered for an offer of accommodation in the circumstances where no other applicants in priority bands emergency, Gold or Silver with a local connection have expressed a preference for a vacant property. They do, however, remain able to be considered for any property where no one in the Emergency, Gold or Silver bands have expressed a preference for and will be considered for any such property before applicants in the Bronze band.

**Note:** Proof of other Local Authority homelessness duty owed will be required before the application is processed and further proof will be requested to continually monitor that the correct band has been awarded. If the information requested is not supplied, then application will be put on hold until the circumstances can be determined.

### **Reasonable preference group 3: People occupying insanitary or overcrowded housing or otherwise living in unsatisfactory housing conditions**

#### **Circumstances when the Emergency Band for additional preference will be awarded**

Customers will be awarded additional preference in the following circumstances:

- a) Households living in conditions which give rise to an imminent risk of serious harm and where the Local Authority has served a Prohibition Order under Part 1 of the 2004 Housing Act.
- b) Demolition or Compulsory Purchase Order (CPO). Where the applicant's property is subject to demolition or subject to a Compulsory Purchase Order for redevelopment.

Note 1: For tenants of a Council or another Registered Social Housing Provider in another local authority council accommodation the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will additional preference be awarded.

Note 2: Applicants guilty of deliberately worsening their circumstances will not be given additional preference.

Note 3: Children will only be included in the overcrowding calculation at their main place of residence.

Note 4: The assessment applied by the Council will be to consider the facts and information received from the applicant and from any other Council department (such as the private sector housing team) or from any other organisation and the officer assessing the impact will apply the test set out below.

**Assessment check 1:** The officer will decide on the facts known what the seriousness of the impact is on the applicant and, or, any member of the household – the typically impact could be on the applicant's health for example.

**Assessment check 2:** Then the officer will consider what can be done to 1) immediately lessen or remove the impact and 2) in the near future to lessen or remove the impact e.g. action that can be taken by a landlord or the team responsible for private sector enforcement work in the council.

**Assessment check 3:** The officer will assess the new likely impact following any actions that can be carried out immediately and in the near future to lessen or remove the impact and will decide if there is still an imminent risk of serious harm.

### **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Applicants will be awarded reasonable preference in the following circumstances:

a) Applicants without access at all to any of the following facilities. No access to:

- a. A bathroom or kitchen
- b. An inside WC
- c. Hot or cold water supplies, electricity, gas or adequate heating.

Applicants who have access to shared facilities in shared accommodation will not qualify under these criteria – **Gold band**

b) Where an officer from the Council has determined that a private sector property (tenanted) contains one or more serious Category 1 hazards as defined by the Housing Health & Safety Rating System that are having a severe impact on the household. The awarded is made in respect of a significant risk to the health and well being of the occupant(s), and where there is no prospect of the issues being remedied in a period of time that the Council considers reasonable – **Gold Band**

c) The applicant is occupying insanitary or unfit accommodation and living in unsatisfactory housing conditions that present some risk to the health and safety of the occupants or where partner RSLs have carried out a stock appraisal and are needing to dispose of a property as beyond economic repair – **Silver Band**

d) Overcrowding of 2 bedrooms or more measured by the bedroom standard i.e. Where a Local Authority officer has made an assessment using the Housing Health and Safety Rating system and concluded there is a serious high risk of harm due the impact of severe overcrowding – **Gold Band**

e) The applicant is living in accommodation that is not suitable to their needs because it is short by one bedroom which is suitable to their needs – **Silver Band**

The standard used to assess overcrowding is as follows:

A separate bedroom should be allocated to the following persons:

- ✓ A person living together with another as husband and wife (whether that other person is of the same sex or the opposite sex).
- ✓ A person aged 16 years or more.
- ✓ Two persons of the same sex aged less than 16 years.
- ✓ Two persons (whether of the same sex or not) aged less than 10 years.
- ✓ Any person aged less than 16 years in any case where he or she cannot be paired with another occupier.

Note 1: For tenants of a Council or another Registered Social Housing Provider in another local authority area who apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.

Note 2: Applicants guilty of deliberately worsening their circumstances will not be given reasonable preference.

Note 3: Children will only be included in the overcrowding calculation at their main place of residence.

Note 4: The assessment applied by the Council will be to consider the facts and information received from the applicant and from any other Council department (such as the private sector housing team) or from any other organisation and the officer assessing the impact will apply the test set out below.

**Assessment check 1:** The officer will decide on the facts known what the seriousness of the impact is on the applicant and, or, any member of the household – the typically impact could be on the applicant's health for example.

**Assessment check 2:** Then the officer will consider what can be done to 1) immediately lessen or remove the impact and 2) in the near future to lessen or remove the impact e.g. action that can be taken by a landlord or the team responsible for private sector enforcement work in the council.

**Assessment check 3:** The officer will assess the new likely impact following any actions that can be carried out immediately and in the near future to lessen or remove the impact and will decide if there is still an imminent risk of serious harm.

**Reasonable preference group 4: People who need to move on medical or welfare grounds including grounds relating to disability.**

**Circumstances when the Emergency Band for additional preference will be awarded on medical or disability grounds**

A customer who has an extremely urgent and immediate need to move for medical reasons or due to a disability, which is being exacerbated by their current housing situation will be awarded additional preference and placed at the top of the list.



An officer of the Council in the Housing Access Team will make the initial assessment of whether the applicant's medical circumstances qualify for an award of additional preference or reasonable preference or do not meet the criteria to be awarded any priority. All officers will have received training on assessing such cases. They will assess cases according to clear criteria. The assessment will not be of the customer's health but how their accommodation affects their health. The criteria to be used to assess cases is attached at appendix 6.

If the medical impact lies outside of the criteria set out in the policy the assessing officer may take further advice from the Council's Occupational Therapist Service. Where it is felt necessary further information may be required from the applicant's Doctor or Health Specialist.

The following are examples of cases that may qualify for additional preference Emergency Band priority. It may apply to the applicant or a member of their household:

- 1) An immediate life threatening condition which is seriously affected by the current housing and where re-housing would make it significantly easier to manage
- 2) A serious illness, is currently receiving palliative care and urgently requires rehousing to facilitate the on-going provision of this care;
- 3) A life limiting condition and their current accommodation is affecting their ability to retain independence or enable adequate care;
- 4) The applicant's health is so severely affected by the accommodation that it is likely to become life threatening, e.g. applicant has severe mental health problems that are significantly exacerbated by their accommodation;
- 5) A new and life-changing condition that severely impairs their mobility, meaning they are unable to carry out day-to-day activities or have difficulty accessing facilities inside and outside of their accommodation and requires rehousing into accommodation suitable for their use;
- 6) Where their current property leaves a person at risk of infection, e.g. where an applicant is suffering from late-stage or advanced HIV infection
- 7) A member of the household cannot be discharged from hospital until a suitable adapted property is provided.
- 8) Due to limited mobility a person is unable to access essential parts of the property e.g. bathroom/toilet and requires re-housing into accommodation suitable for their use.
- 9) A member of the household is elderly or disabled or has a progressive illness and is likely to require admission to hospital or residential/nursing care in the immediate future and re-housing would enable the person to remain at home.
- 10) Armed forces personnel who need to move to suitable adapted accommodation because of a serious injury, medical condition or disability he or she, or a member of their household, has sustained as a result of service.
- 11) Veterans who have seen active service within the armed forces and are suffering from post traumatic stress disorder or serious illness directly related to service in the Forces

- 12) People who have a severe mental health or learning disability which significantly affects their ability to lead a normal life and which puts them at risk of admission to hospital or residential care.
- 13) People living in a mobile home, caravan or converted vehicle which, due to medical conditions, does not meet their needs
- 14) The applicant or household member requires significant disabled adaptations to meet their needs and this is not possible in their current accommodation or would not be cost effective.

*All of the above examples would be granted Emergency Band Priority*

### **Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

Customers whose housing is unsuitable for medical reasons, or due to a disability, but who are not housebound, or whose health, or safety is not at such a risk level to require urgent housing will be awarded Gold band reasonable preference priority if they meet the criteria set.

The following are examples that may qualify for the Gold reasonable preference priority band:

- 1) Children with severe conditions such as autism, or cerebral palsy where their long term needs cannot be met without settled accommodation.
- 2) A person whose disability means that re-housing would enable them to overcome physical barriers created by their current accommodation e.g. stairs and steps.
- 3) A person with a severe disability requiring substantial adaptations to a property not provided for in their current accommodation.
- 4) A person with a terminal illness or long term medical debilitating condition whose current accommodation is not having a significant impact on their condition but where their quality of life would be significantly improved by moving to alternative settled accommodation which may or may not be closer to support.

The applicant's current accommodation is unsuitable and exacerbates the applicant's or other household members' health condition and more suitable alternative accommodation is required to improve the health condition - **Silver Band**

### **Circumstances when the Emergency Band for additional preference will be awarded on welfare grounds.**

#### ***1) Need to move on welfare grounds - Domestic abuse, extreme violence or extreme harassment.***

Homeseekers who need to move immediately due to domestic abuse, extreme violence or extreme harassment will be awarded additional preference. This includes hate crime, disability, racial, homophobic or transgender harassment and will be awarded for exceptional circumstances where there is a high risk to the tenant or their family's safety if they remain in the property/area and they therefore require an immediate move.

The assessment will be made by a senior officer within the Council and will be based on evidence provided by the customer and other appropriate statutory and voluntary agencies. This would include, the Police, Social Services, referrals by MARAC, Domestic Violence organisations and Lesbian and Gay support groups.

For tenants of a Council or another Registered Social Housing Provider in another local authority area that apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.

Where the Homeseeker's social circumstances affect their housing conditions (examples being serious neighbour nuisance, threat of violence from inside or outside of the home) the case will be passed to the Local Housing Panel. The Panel consists of a nominated representative from all partners with the delegated power to make a decision

The Local Housing Panel will consider each case with any supporting information provided by the relevant agencies to ensure that the threat of domestic abuse, violence or harassment would be significantly reduced via rehousing. The Panel will decide whether priority should be awarded based upon the severity of the case and the urgency of the need for rehousing.

The Panel will also recommend where the Homeseeker should be rehoused in order to resolve the urgent circumstances. The Registered Social Landlords reserve the right to refuse to make an offer of accommodation. Such cases are expected to be rare, and as such senior officers from the relevant partners are likely to be involved in such decisions. Such cases will be referred to the Local Housing Panel for in-depth discussion with the aim of reaching a consensus view.

The Panel can either award Emergency Band and a direct offer of housing will be made or Gold Band where Homeseekers will only be entitled to suitable properties within an area recommended by the Panel.

In exceptional cases, such as where the safety of the household would be seriously compromised if they were to remain in occupation of their current home, the Housing Solutions Manager can award Emergency band outside of the Local Housing Panel.

Where a direct offer of accommodation has been refused the Local Housing Panel will decide whether Emergency band or Gold band will be kept.

## ***2) Need to move on welfare grounds – high risk management transfer***

The applicant is a Housing Association tenant and is a high-risk management transfer, who is receiving support from a professional agency or who is subject to a MARAC (Multi Agency Risk Assessment Conference) that is

making a recommendation of housing need. The transfer will enable the applicant's existing property to become available for an allocation to another applicant on the waiting list.

***3) Need to move on welfare grounds – a social housing tenant wishing to move where that move would release an adapted property needed by another applicant***

The occupant of a social housing property within the County Borough no longer requires the adaptations and will therefore be releasing an adapted property by moving and there is a suitable applicant on the waiting list for the adapted property that will be released through the move

***4) Need to move on welfare grounds – risk of a child having to be taken into care***

There is a likelihood of a child being accommodated by the Local Authority if re-housing is not made

The applicant's circumstances are not dealt with under any of the other circumstances in Emergency Band, however their housing need has been assessed by the Local Housing Panel as urgent and they require immediate re-housing.

***5) Need to move on welfare grounds - Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military accommodation and Bereaved spouses or civil partners of those serving in the regular forces***

Where an applicant requires accommodation as a result of leaving the Armed Forces and the loss of military accommodation and this has not been as a result of a dishonourable discharge or where their spouse or partner's death is attributable (wholly or partly) to their service and the bereaved spouse or civil partner's entitlement to reside in Ministry of Defence accommodation then ceases.

An applicant leaving the Armed Forces and the loss of military accommodation will be entitled to an effective date dating back to the start of the military career within that post.

***Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded***

***1) Need to move on welfare grounds - Move on from supported housing projects – Gold Banding***

Applicants who are moving on from a specified list of supported housing projects in Blaenau Gwent and have made progress for independent living will be awarded additional preference if they meet the following criteria:

They must have vulnerability and urgent housing need that is best met through the provision of long-term settled housing.

We expect the applicant to accept the support services made available to them in order to ensure that the necessary standard of independence is achieved and the tenancy is sustainable.

We expect the applicant to accept the support services made available to them in order to ensure that the necessary standard of independence is achieved and the tenancy is sustainable.

To qualify for this additional preference the support provider will provide a completed Move On from Supported Accommodation Request Form which provides evidence that the Council will use to assess whether the applicant:

- a) Has successfully completed a minimum of 6 months in the supported housing scheme (except in proven exceptional circumstances when a lesser period may be considered).
- b) Is ready to move to independent settled accommodation and is required to move on by the project to free up the unit for another individual in need.
- c) Specifically requires social housing to move onto because their circumstances make a move on option of private rented housing unsuitable
- d) Has a support package that has been assessed and is in place.

Homeseekers can register when they move into supported accommodation and if they qualify for the priority band criteria they will be awarded the priority band when there is evidence that the person has made progress to move on and can sustain a tenancy. Where the assessment is that the person is not ready to move on and is unlikely to sustain a tenancy they will be granted no priority until the time when they are assessed as ready to move on and can sustain a tenancy.

## ***2) Need to move on welfare grounds - Move on from Care for a young person – Gold Banding***

The applicant is a care leaver, vulnerable and has a high housing need that is best met by the provision of long term settled housing and the applicant has been assessed and approved by the Move On Panel as ready for re-housing. Applicants must be a former 'Relevant Child' as defined by the Children Leaving Care Act 2000.

These young people in care and ready to move out of care must be referred by Social Services in accordance with the move on protocol will be awarded additional preference.

Referrals will be made where a young person is ready to leave care. The criteria for the award of additional preference are:

- a) The young person is ready to move to independent settled housing and is genuinely prepared for a move to independent living.
- b) They possess the life skills to manage a tenancy including managing a rent account.
- c) The young person is in need of medium or long-term tenancy support.

- d) The support package has been assessed and is in place.
- e) Their needs are such that accommodation in the private rented sector, through its short-term nature, would have a detrimental effect on their move to independent living.

**3) Need to move on welfare grounds - Recommended by the Council for fostering or adopting children**

The applicant has been recommended by the Director of Social Services to foster or adopt children and whose current accommodation is not large enough

**4) Need to move on welfare grounds - Forced to live apart because current accommodation is unsuitable**

The applicant, their partner or children are forced to live apart because their current accommodation is unsuitable

\*This does not apply to those who are legally separated or are living apart due to relationship breakdown or family dispute

**Reasonable preference group 5: People who need to move to a particular locality in the district of the Council, where failure to meet that need would cause hardship (to themselves or to others).**

**Circumstances when the Emergency Band for additional preference will be awarded**

There are no additional preference criteria for this category

**Circumstances when Gold or Silver Banding to reflect a reasonable preference will be awarded**

The categories of people who will be awarded reasonable preference on the grounds of hardship are:

- a) People who need to move for employment purposes
- b) People who need to move near relatives to give or receive support
- c) People who need to move to access medical treatment/social service facilities

**a) Employment Hardship** - Priority will only be given in exceptional circumstances and customers will need to show that they need to move to take up or continue an employment opportunity not available elsewhere. They will only be considered where they do not live within a reasonable commuting distance and will be required to provide confirmation of employment details from the employer – *Gold Band*

**b) Support Hardship** - Priority will only be given in exceptional circumstances and customers will need to show:

That they require substantial and ongoing support from relatives or friends or it is essential that they give substantial and on-going support to relatives. This will only be considered where there is a severe mental health, medical or

welfare issue and there are reasons why this support cannot be achieved or maintained through reliance on public transport or the customer's own transport – *Gold Band*

Example of the information required in order to assess the priority include:

- Confirmation of name and address of the person who is to provide the care and the person who is to receive care
- Evidence of their care requirements (Doctor, Social Services)
- Evidence of links to care groups
- Other forms of support or care available to the applicant or the person to whom it is claimed support is required.
- Benefits relating to any disability or care. Disability living allowance information

***c) Need to move to access medical treatment/social service facilities***

Priority will only be given in exceptional circumstances and customers will need to show that they have a need to access medical care and/or social services facilities and that cannot be met through reliance on public transport, the customer's own transport, or through provision put in place by health or social services – *Gold Band*

The Council will apply the following test:

- Confirmation of name and address of the medical or social provision to which they need access
- Evidence of their care requirements (Doctor, Social Services)
- Evidence that no other provision is available and no assistance can be provided to enable them to access the service
- A determination by the Council that the need to access the medical treatment/social services facilities is essential

***d) Reasonable preference for financial hardship***

Cases of financial hardship, following assessment, an applicant living in the private rented sector may be awarded reasonable preference. This is defined in the policy as working families whose income levels and hours worked qualify for Working Families Tax Credits and who are struggling to meet their housing costs in the private rented sector – *Gold Band*

The applicant is a currently under occupying social housing by one bedroom and needs to transfer to a smaller property. Applicants must be in receipt of discretionary housing payment and struggling to meet their housing costs in the social rented sector of one of Blaenau Gwent's partnering organisations – *Gold Band*

**Local priority banding award where an applicant does not meet a reasonable preference criteria**

Where an Homeseeker is an existing social housing tenant who does not qualify for Emergency Band or Gold Band but who wishes to transfer and has no rent arrears and have been a tenant for 12 months or more – *Silver Band*.

Where a Homeseeker is an existing social housing tenant who does not qualify for an additional preference Emergency Band but who wishes to transfer and has no rent arrears and has not been a tenant for 12 months – Bronze Band.

### **When will Reduced Preference be awarded?**

The Council can grant applicants who would be owed Emergency, Gold or Silver banding no priority or an adjusted priority (commonly referred to as reduced preference) in certain circumstances. The rules relating to when no priority will be awarded for unacceptable behaviour are set out in the eligibility section appendix 3 of the policy.

### **Reason 1: No Local Connection**

The Council will give reduced preference for applicant's whose housing needs qualify for a reasonable preference award but where they do not having a local connection with Blaenau Gwent.

Applicants without a local connection whose housing needs would qualify for the additional preference band under the scheme will not be awarded additional preference unless the Council considers their circumstances to be absolutely exceptional. This will be decided on a case-by-case basis by the Service Manager responsible for the operation of the scheme.

The factors that will be taken into account in determining whether or not an applicant has a local connection with the County Borough include, but are not limited to, whether they or a member of their household included in their application:

- 1) Has lived in the County Borough for a certain period of time, the more recent past being most relevant, in particular having lived in the Blaenau Gwent area for 3 years out of the past 5 years or continuously for the last 12 months.
- 2) Has close family living in the County Borough, who have lived here for at least the previous five years
- 3) Has settled employment (paid or unpaid) in the County Borough Including where an applicant has been volunteering in the Blaenau Gwent area and has done so for the last 6 months; or
- 4) Have special circumstances that give rise to a local connection.

**Note:** Living in the County Borough means living in permanent accommodation, and will not include occupation of a mobile caravan, or motor caravan that is not on a residential site, or occupation of a holiday let which includes a hotel, bed and breakfast accommodation, hostel or permanent building whilst on holiday.

Circumstances may arise where it is not appropriate to apply the local connection Policy therefore the Team Manager discretion will evaluate each case on its own merit:



- MAPPA cases whereby Blaenau Gwent has agreed to assist another Local Authority will not be subject to local connection.
- Applicants who have been placed outside of Blaenau Gwent while being looked after by Children Services
- Homeless Applicants owed a S66, S73 or S75 duty by the County Borough under the Housing Wales Act 2014

MAPPA stands for Multi-Agency Public Protection Arrangements – a set of arrangements established by Police, Probation and the prison services (known as the responsible authorities) to assess and manage the risk posed by sexual and violent offenders. A number of agencies are under a duty to co-operate with the responsible authority, these include: Local Authority Social Services, Primary Care Trusts, Strategic Health Authorities, Youth Offending Team, Local Housing Authorities, Registered Social Landlords, Local Education Authorities, Job Centre Plus, and electronic monitoring providers. The purpose of MAPPA is:

- to ensure more comprehensive risk assessments, taking advantage of co-ordinated information sharing across the agencies; and
- to direct the available resources to best protect the public.

Where an applicant qualifies for an award of reasonable preference but that applicant does not have a local connection with the Council as defined above, they will sit at the bottom of the Silver Band and will only be considered for an offer of accommodation where no other applicants in the priority Bands above them (including silver band) with a local connection have expressed a preference for a vacant property.

A high risk applicant assessment form will need to be completed and attached to the housing application by the Registered Social Landlords on all match/offer rejections for bypass reasons (appendix 4).

## **Reason 2: Owed a Homelessness duty under the Housing Wales Act 2014 or by the Housing Act 1996 by another Council in Wales or England**

Applicants owed a S66, or S73, or S75 duty by another Council in Wales or a Section 195, 189B or 193 homelessness duty by a Council in England or a main homelessness duty by a Council in Scotland who apply to join the Housing Register and qualify to join, will be given reduced preference and will sit at the bottom of the Silver Band

## **Reason 3: Refusing accommodation offered**

The scheme allows applicants to specify any areas at street, estate or community level where they do not want to be offered housing. The scheme allows them to be considered only for property types that would suit the size of the household. The intention therefore is to make offers of accommodation that meet the preferences of applicants and provide suitable accommodation. As a result, when applicants refuse three offers of accommodation without offering a valid reason they will be demoted to the next band down. In these circumstances the demotion will be removed 12 months after the last offer unless their circumstances have changed and the Local Housing Panel determines that the change in circumstances is sufficient to allow the reduced preference to be removed.

A reasonable offer of accommodation is one that meets the housing need, size and location. In addition, applicants may request a larger property, and if following a financial assessment this request is granted a property is offered and refused, this will be deemed as an unreasonable refusal.

The 3 reasonable offers policy is not to be confused with the policy that applies to applicants owed a section 66, section 73 or section 75 duty by the Council. Households 1) owed a homelessness duty under section 66, or, s73, or s75 of the Housing Wales Act 2014 or applicant's who are considered homeless within the meaning of Part 2 of the Housing Wales Act 2014, will lose their priority status banding if the duty owed to them is ended due to the refusal of one suitable offer of accommodation and they will be demoted to the next band down. They may still receive up to 2 more reasonable offers but the priority owed to them for being owed a statutory duty under the Housing Wales Act 2014 will be removed; any duty owed to them will be brought to an end including any temporary accommodation duty. The one offer of suitable accommodation made will therefore bring to an end any statutory homeless duty owed under s66, s73, or s75 and they will be warned in writing of this consequence at the point a property is formally offered to them.

## **Reason 4: Adequate Financial Resources to resolve their own housing situation**

The Council will take into account a Homeseeker's financial resources in determining where an applicant who would qualify for reasonable preference, should have their banding reduced. Regard will be given to any resources wherever in the world they are situated. This will apply to households whose total gross income from all sources exceeds £40,200 or whose household's capital assets or savings exceeds £75,000.

Applicants with no reasonable preference, who are adequately housed and/or with the financial resources to meet their needs will be placed in Bronze Band. Applicants with a reasonable preference but have the financial resources to access suitable accommodation themselves will be assessed in accordance with the banding system but will be demoted to the next band down.

Applicants with a reasonable preference due to medical but have the financial resources to access suitable accommodation will be assessed in accordance with Occupational Therapy Report. Applicants in Silver band due to medical need will be assessed to the bottom of the silver band subject to the effective date awarded by the Occupational Therapy Department. Whilst those awarded Gold band for medical will remain in the Gold band due to high medical need subject to the effective date awarded by the Occupational Therapy Department

This restriction does not prevent applicants being considered for any Low Cost Home Ownership/Shared Equity schemes, and the rules for these schemes are applied on a scheme-by-scheme basis.

If there are significant medical needs that mean the Homeseeker needs a specialised property, this will be given due consideration outside the scope of the Policy. Where a Homeseeker feels that their social or medical circumstances are such that they should be considered, despite their financial resources exceeding the financial thresholds outlined above, they will have the right to request a review at the Local Housing Panel and Housing Appeals Board if necessary.

### **Reason 5: No Permanent Address in the UK**

Homeseekers that are not currently living permanently in the UK will be suspended from consideration.

### **Reason 6: False and withheld Information**

Section 171 (false statements and withholding information) makes it an offence for anyone seeking assistance from a Housing Authority under the 1996 Act, Part 6 to:

- a) Knowingly or recklessly give false information; or
- b) Knowingly withhold information, which the Housing Authority has reasonably required the applicant to give.

This would apply whether the false information was provided at the time of application, at renewal stage when the annual review of circumstances letter has been sent, in change of circumstances or during the proceedings of a review.

Where there is suspicion, or an allegation has been made that a person has either provided false information, or has withheld information, the application will be suspended pending the outcome of the investigation. If an offer of accommodation has been made at this point, the Team Manager will hold

discussions with the relevant housing association and subsequently the offer could be retracted.

If the outcome of any investigation reveals that they did not provide false information, or the withholding of information was found to be inadvertent, then the application will be reinstated from the date of registration. However, where the investigation shows that false information was provided on the application form, or was deliberately withheld, then the application will be determined to be not eligible for serious unacceptable behaviour and will be removed from the register and there will be no right to re-register for a period of 12 months.

Ground 5 in Schedule 2 to the Housing Act 1985 (as amended by the 1996 Act, s.146) enables the landlord to seek possession of a property where it has been granted as a result of a false statement by either the tenant or a person acting at the tenant's instigation.

**Reason 7: A Homeseeker who deliberately makes their circumstances worse**

If a household deliberately makes their circumstances worse following a voluntary change of address, the application may, for a period of not more than 12 months be awarded reduced preference. Anyone forced to leave their home through experiencing domestic or other violence or a genuine fear of violence will not be considered to have deliberately worsened their circumstances.

**Reason 8: Former or current Social Housing Tenancy related debt, or unacceptable behaviour (regardless of former or current tenure) or non compliance with a former social housing tenancy agreement**

A decision may be made to:

- 1) To reduce an applicants preference, or
- 2) To decide that the applicant is not eligible to join the register, or
- 3) To decide that an applicant may join the register but be given no priority until they have resolve the issue in question to the satisfaction of the Council.

One of these outcomes will apply where the Council and partners are reasonably satisfied that the Homeseeker (or a member of their prospective household) has failed to maintain any previous social rented or private sector rented property within the terms of their tenancy agreement, or has committed acts causing or likely to cause nuisance or annoyance to their neighbours or others in the locality of where they live or where they previously have lived.

Decisions on these cases as to whether one of the 3 outcomes should be applied, are to be taken by the Local Housing Panel. That outcome will then apply until the applicant (or a member of their prospective household) has demonstrated, to the reasonable satisfaction of the Local Housing Panel, the necessary ability to modify their conduct. In some cases this will include co-operating with debt services, support agencies, or other services as directed

At any time during this period a Homeseeker is entitled to request that the Council reconsider their circumstances to determine whether the issues

resulting in their being allocated a lower priority have been resolved to their satisfaction.

The Criteria that the Panel will apply to assess former or current Social Housing Tenancy related debt, or unacceptable behaviour, or non-compliance with a former social housing tenancy agreement is detailed in the Eligibility section (appendix 2) of the Policy

### **Appendix 3: Detailed information on the rules that will be applied to deciding whether an applicant is eligible to join the register.**

#### **The Eligibility rules set by the policy**

Where a person applies but is deemed to be ineligible due to the application of the Government's rules for allocating housing accommodation to persons from abroad or persons subject to immigration control they will not be able to join the register. The rules are complicated and anyone who is impacted or believes they may be impacted by the rules can approach the Council for advice on the rules.

Section 166(3) of the Housing Act 1996 obligates the Council to consider all applications for social housing that are made in accordance with the procedural requirements of this policy. It must, however, when considering applications, ascertain if an applicant is eligible for accommodation or whether they are excluded from allocation under s.160A of the same Act.

There are two categories of persons from abroad that under the housing eligibility laws are not eligible for help.

Firstly, persons who are subject to immigration control will not be eligible for help unless they come within a class of persons prescribed in regulations made by the Welsh Government. The term 'person subject to immigration control' means a person who requires leave to enter or remain in the United Kingdom, whether or not such leave has been given (section 13(2) of the Asylum and Immigration Act 1996).

Secondly, there are regulations that set out groups that are not subject to immigration control but are nevertheless not eligible for housing help. These are persons who come within a class prescribed as ineligible because of regulations made by the Welsh Government.

These rules concern:

- The eligibility of British nationals returning from abroad, or
- British nationals coming to the United Kingdom for the first time, or
- European Economic Area nationals who may have a right to reside in the United Kingdom but who are not eligible if their circumstances mean they come under one of the groups prescribed by the Government as not eligible.

The following is a summary of the main categories of eligible applicants to whom the Council may allocate accommodation under Part VI of the Housing Act 1996, taking account of nationality and immigration status. For the full criteria please refer to Chapter 2 of the Code of Guidance for Local Authorities on the Allocation of Accommodation & Homelessness 2015:

- (i) Existing tenants;
- (ii) A person from abroad other than a person subject to immigration; and

(iii) Persons subject to immigration control prescribed as eligible.

Welsh Ministers have prescribed classes of person who are to be considered eligible Allocation of Housing & Homelessness (Eligibility) (Wales) Regulations 2014 as amended. These regulations may from time to time be updated by the Welsh Government and where changes have been made the Council will apply the revised regulations to assess eligibility. A copy of the current regulations can be requested from the local authority or the Welsh Government.

The prescribed classes of person who are to be considered eligible Allocation of Housing & Homelessness (Eligibility) (Wales) Regulations 2014 as amended are:

- (i) Refugees;
- (ii) Exceptional leave;
- (iii) A person with current leave to enter or remain in the UK with no condition or limitation, and who is habitually resident in the UK, Channel Islands, the Isle of Man and the Republic of Ireland;
- (iv) Persons who have been granted Humanitarian Protection; and
- (v) An Afghan citizen who, as a result of serving in the UK Government, has been granted permission to relocate to the UK, Channel Islands, the Isle of Man and the Republic of Ireland, and who is habitually resident in one of those areas will be eligible.

Applications received from asylum seekers will not be eligible to be admitted onto the common housing register until a positive decision on their claim for asylum is received and they are awarded refugee status, humanitarian protection or discretionary leave to remain.

### **Not eligible due to unacceptable behaviour**

Under s.160A(7) of the Housing Act 1996, the Council, where it is satisfied that, an applicant or a member their prospective household, is guilty of unacceptable behaviour serious enough to make them unsuitable to be a tenant, the council may treat the applicant as ineligible for an allocation and therefore ineligible to join the Council's allocation scheme Housing Register. All decisions will be made by the Letting Assessment and Exclusions Panel.

Section 160A(8) of the Housing Act 1996 provides that the only behaviour which can be regarded as unacceptable for these purposes is behaviour by the applicant or by a member of their household that would, if they had been a secure tenant of the local authority at the time, have entitled the local authority to a possession order under s.84 of the Housing Act 1985 in relation to any of the discretionary grounds in Pt I of Schedule 2, other than Ground 8. These are fault grounds and include behaviour such as:

- (i) non-payment of rent;
- (ii) breach of tenancy conditions;
- (iii) conduct likely to cause nuisance or annoyance; and

(iv) use of the property for immoral or illegal purposes.

It is not necessary for the applicant to have actually been a tenant of the local authority when the unacceptable behaviour occurred. The test is whether the behaviour would have entitled the local authority to a possession order if, whether actually or notionally, the applicant had been a secure tenant.

Applicants will be notified in writing of the Council's decision that they are ineligible due to:

1. The application of the Welsh Government's rules for allocating housing accommodation to persons from abroad or persons subject to immigration control; Or
2. They are ineligible on the grounds of unacceptable behavior.

Applicants will have the right to request a review of any decision made by the Council regarding their eligibility within 21 calendar days of receiving their notification letter.

**The criteria that will be applied to assess unacceptable behavior.**

**Serious behavior or former rent arrears: the rules that will be applied**

This will apply where an applicant, or any member of their current or prospective household, has a history of serious behavior or former rent arrears, which in the view of the Council makes the applicant unsuitable to be a tenant until the issue has been resolved to the satisfaction of the Council. This includes, but is not limited to:

- The level of former or current rent arrears and/or any recoverable housing related debt. (See below for how these rules will be applied)
- Serious behaviour including non-compliance with a current or former tenancy agreement. (See below for how these rules will be applied).
- Where they, or any member of the household, have assaulted a member of staff and an injunction is being sought or has already been obtained
- Where they, or any member of the household, who have knowingly given false or misleading information, or withheld information, that has been reasonably requested

A decision will be taken by the Council on the facts whether the unacceptable behavior either:

- 1) So serious that the applicant is ineligible to join the register, or
- 2) Is not so serious to make the applicant ineligible to join the register but is serious enough that it comes under the criteria set by the allocation policy whereby an applicant will be granted no priority and will not be made any offer of accommodation until they have addressed the concerns of unacceptable behavior to the satisfaction of the Council, or
- 3) Is not so serious to make the applicant ineligible to join the register or serious enough that it warrants an applicant being granted no priority but does in the view of the assessing officer mean that the person should be granted reduced preference.



The decision to make an applicant not eligible to join the register or eligible but to be awarded no priority, or eligible but to be awarded reduced preference will be taken by the Housing Panel and in full consideration of the test of eligibility set out in Section 160A(8) of the Housing Act 1996. This provides that the only behaviour which can be regarded as unacceptable for these purposes is behaviour by the applicant or by a member of their household that would, if they had been a secure tenant of the local authority at the time, have entitled the local authority to a possession order under s.84 of the Housing Act 1985 in relation to any of the discretionary grounds in Part 1 of Schedule 2, other than Ground 8

Guidance on the criteria that will be applied to decide whether an applicant is ineligible for unacceptable behavior, or that the applicant is eligible but will be granted no priority until the concerns are satisfactory addressed, is set out below.

***1 – The criteria to be applied for considering whether an applicant is not eligible, (or is eligible but will be granted no priority, or is found to be eligible but to be granted reduced preference) for current or former rent arrears or any recoverable housing related debt.***

For the purpose of this policy the Council, when carrying out an assessment, will take into consideration all property related debts that are both recoverable and not statute barred. This includes any:

- (i) Current or former tenancy rent arrears;
- (ii) Outstanding re-chargeable repairs;
- (iii) Current and former housing related service charge arrears;
- (iv) Bed and breakfast or other temporary accommodation charge arrears
- (v) Housing benefit overpayments; and
- (vi) Associated court/legal costs.

Recoverable property related debts apply to both the applicant and any members of their household included in their application for housing.

The following procedure will be applied to applicants whose housing needs mean that they would be awarded a priority band but where there are current or former rent arrears, or any recoverable housing related debt:

- This will be a decision of the Housing Panel
- The Council will consider whether the applicant still owes arrears/debt, and if they do, the extent of the arrears/debt and whether any housing related debt is recoverable.
- Where information on former tenant arrears only emerges after an offer is made, that offer may be withdrawn by that housing association and the policy for arrears applied. This would be where the arrears are above 19 weeks' net rent or £500 (A payment plan would also need to be in place and paid for at least 13 weeks.)
- Applicants with a housing related debt up to £500 or 19 weeks net rent (whichever is lower), could be considered for housing, providing that they had agreed to a repayment plan and there is evidence that they are

actively following the plan. Adherence with the plan would be checked prior to an offer of accommodation being made by the landlord concerned and would be at their discretion.

- Applicants must demonstrate a continued commitment to a repayment agreement and addressing their debt.
- Where information of pending or outstanding charges only emerges after an offer has been made, that offer may be withdrawn by that housing association. This would apply to charges for outstanding debt with a total value of over £500 and the case would be referred to the Exclusion Panel for their consideration.
- The Council will also consider whether the claimant has taken debt advice acted on it, and entered into and begun to implement any arrangement to clear the arrears.
- The Council will also consider whether an arrangement has been made, the amount of arrears paid off, any debt outstanding, and the regularity of any payments made.

***2 - The criteria to be applied for considering whether an applicant is not eligible or is eligible but will be granted no priority for serious behaviour including non-compliance with a current or former tenancy agreement:***

This applies where the Council is satisfied, having considered all available evidence that an applicant (or a member of their current or prospective household) has:

1. Failed to adhere to the terms of any current or previous social housing or private rented sector tenancy agreement. This includes failing to maintain any previous social rented or private sector rented property within the terms of their tenancy agreement, or committing acts causing or likely to cause nuisance or annoyance to neighbours or others in the locality of where they live or where they previously have lived. It includes serious breaches of a social or private sector tenancy agreement – for example operating a business from the premises, having an unreasonable numbers of pets at the property despite being warned of the breach of tenancy.
2. A decision that the applicant is not eligible to be registered will normally apply until the applicant (or a member of their prospective household) has demonstrated, to the satisfaction of the Council, that circumstances have changed such that the previous conduct is unlikely to reoccur. In many cases this could include demonstrating cooperation with support agencies leading to a substantial improvement in behaviour.
3. Conduct likely to cause nuisance or annoyance – this is conduct or behavior that does not only relate to a previous social housing or private rented sector tenancy agreement. This includes where an applicant or a member of their current or prospective household is the subject of actions being taken by the Council (or some other competent body) on grounds of alleged Anti Social Behaviour (ASB). It includes criminal behaviour that was relevant to the tenancy and/or locality, for example being in possession of illegal drugs, acts of public disorder. This also includes behaving in a threatening, abusive or obstructive manner towards staff of the Council and or their contractors.
4. Where on the facts it is decided that despite the unacceptable behavior an applicant is able to qualify for the register they will normally be granted no

priority and will not be made any offer of accommodation until they have addressed the unacceptable behavior to the satisfaction of the Council. Where the Council is satisfied that some attempts are being made to address their behavior they may make an award of reduced preference resulting in the applicant being granted a band lower than the band they would have been awarded for their housing circumstances

5. Where an applicant is found to be ineligible any new application will normally only result in eligibility where there has been no reasonable cause for complaint or concern against the applicant (or members of their prospective household) for a continuous period of 12 months.

### **Bypassing Policy and Procedure Policy Principles:**

- Blanket bans will not be used.
- A decision to bypass an applicant will only be taken according to the criteria set out in this document.
- Any local letting agreement must be agreed by all partners and adhere to this document.
- If a Blaenau Gwent Registered Social Landlord partner wishes to retain bypassing rules outside of the Common Allocation Policy and Procedures e.g. rules relating to the size of the accommodation to be offered, or offers where there are rent arrears or unresolved unacceptable behaviour – these rules will be recorded as an appendix to the Common Allocation Policy and Procedures. They will be transparent, so it is clear when a partner will bypass an applicant and why
- All parties have agreed, as far as possible, to set a common set of rules and to keep exceptions to a minimum. All Blaenau Gwent Registered Social Landlord partner also recognise the need for each body to manage their dwellings appropriately.

It is not possible to describe every situation where an applicant may be bypassed. The following checklist describes the most common:

<b>Applicant not suitable for social housing allocation with communal entrances/facilities</b>	Applicants who are vulnerable or pose a risk to themselves or others can be bypassed by partners.
<b>Applicants who are vulnerable and have high support needs or applicants who require a sensitive letting such as MAPPA cases</b>	<p>This may be where:</p> <ul style="list-style-type: none"> <li>• An applicant may be too vulnerable/lack capacity and considered not able to sustain a tenancy.</li> <li>• There may be a risk to the applicant or others, where for example, a MAPPA case needs to be housed.</li> </ul>
<b>Current arrears/former tenancy related debt</b>	Where information on former tenant arrears only emerges after an offer is made, that offer may be withdrawn by that housing association and the policy for arrears applied. This would be where the arrears are above 19 weeks' net rent or £500 (A payment plan would also need to be in place and paid for at least 13 weeks.)

	<p>Applicants with a housing related debt up to £500 or 19 weeks net rent (whichever is lower), could be considered for housing, providing that they had agreed to a repayment plan and there is evidence that they are actively following the plan. Adherence with the plan would be checked prior to an offer of accommodation being made by the landlord concerned and would be at their discretion.</p> <p>Applicants must demonstrate a continued commitment to a repayment agreement and addressing their debt.</p> <p>Where information of pending or outstanding charges only emerges after an offer has been made, that offer may be withdrawn by that housing association. This would apply to charges for outstanding debt with a total value of over £500 and the case would be referred to the Exclusion Panel for their consideration.</p>
<b>The property is not suitable for a disabled applicant's long term needs</b>	When considering an offer of accommodation to applicants with a disability, their current and long term needs will be assessed with regards to the suitability of the property.
<b>Medical needs</b>	Where the property does not meet the medical needs of the applicant.
<b>Pending information</b>	Where an applicant will not provide relevant information.
<b>Wrong banding</b>	Where the applicant's circumstances may have changed, which alters their band and means that they no longer qualify for the offer.
<b>Pre void inspection</b>	Where a pre-void inspection is carried out and a property has been damaged/neglected.
<b>Affordability</b>	Where it cannot be proved that welfare benefits will be paid to an applicant to sustain a tenancy or a person hasn't been employed for a minimum of three months (with an income sufficient to sustain a tenancy) or does not have sufficient enough savings to sustain a tenancy for at least 12 months, an applicant will be bypassed.
<b>Senior Officer Discretion</b>	Exceptional circumstances not listed in this table.

Individual cases that fall outside the above criteria will need to be discussed by the Exclusion Panel; discussions may have to be conducted via email as the panel only meets once a month. The application of senior officer discretion will need to be approved by all partners (via email).

However, it is important that such applicants do not end up being bypassed because of a lack of pre-offer planning, resulting in an offer not being made because of a lack of information, or an appropriate support package.

Where there are applicants who are extremely vulnerable or considered to pose a risk to themselves or to others, the case should be submitted to the monthly Exclusion Panel (or discussions conducted via email) to decide whether they are ready to sustain a tenancy and what support package is required, so that an offer can be made.

The type of information to be considered by the panel may include:

- Details of any long-term illness, health problems or disability that requires specific or specialist housing, care or support.
- Details of other care and support needs, for example because of vulnerability due to learning difficulties.
- Details of proposed, existing and previous packages of care provision provided by statutory or other bodies where known.
- Relevant and reasonable information with regard to previous history of anti-social behaviour that might impact on the safety of the community.
- Type of accommodation moving from and any additional available accommodation history.

### Appendix 3

## Blaenau Gwent Common Housing Register Sensitive Lettings Pro-Forma

<b>Address:</b>	N°:		
	Road:		
	Town:		
	Postcode:		
<b>Property:</b>	Type:		
	Size:		
	Void date:		
<b>Reason for requesting a sensitive letting:</b>			
<b>Details of how the community has been affected:</b>			
<b>Restrictions to be placed on letting:</b>			
<b>Details of any community consultation:</b>			
<b>Requesting officer:</b>	<b>Name:</b>		
	<b>Position:</b>		
	<b>Organisation:</b>		
<b>Dated:</b>	<b>DD/MM/YYYY</b>		
<b>Request permitted:</b>	<b>Yes</b>	<b>No</b>	
<b>Details:</b>			
<b>Authorising officer:</b>	<b>Name:</b>		
	<b>Position:</b>		
	<b>Organisation:</b>	Blaenau Gwent CBC	
<b>Dated:</b>	<b>DD/MM/YYYY</b>		

Please ensure that the pro-forma is fully completed before sending via secure email to the Senior Housing Access Officer at [Sian.Staley@blaenau-gwent.gcsx.gov.uk](mailto:Sian.Staley@blaenau-gwent.gcsx.gov.uk) . Please telephone 01495 354600 (option 1) should you need to speak with a member of the team.

## Appendix 4: High Risk Letting Meeting Form

### High Risk Letting Meeting Form

(For applicant at top of shortlist who is presenting with public protection risks)

Name of Applicant:

Property bid for:

Property type:

Date of meeting:

Officers present:

(Should include a Manager/Head of service or Director)

History of Risk to others: Risk to applicant: Risk to staff: 2 person visit required? Yes/No
---

Risk(s)  
identified:

Risk area	Comments & risk management options	Risk level? (L/M/H)	Mitigation & control
<b>1. Property suitability</b> (meets physical need/manages or reduces risk eg access, meets criteria e.g. age criteria)			
<b>2. Close Neighbours</b> (other high risk tenants in area, families housed in block/street, other vulnerable persons living/visiting regularly)			
<b>3. External environmental factors</b> (e.g. close to school, children known to congregate locally, off-license close by (if alcohol issues), sensitive let needs, LLP)			
<b>4. Restrictions</b> e.g. SOPO (Sex offender prevention order) or other restrictions such as			

injunctions, or victims in area.			
5. Other			
<b>Overall risk level</b>  <b>Can risk be managed or mitigated to satisfactory level for Melin Homes/Tai Calon Community Housing/United Welsh Housing Association/Linc Cymru?</b>	<b>Low / Medium / High</b> (cross out as required)		

**Decision:**        **Agree let / More information required / Overlook application bid** (Please cross out as required)



## **Appendix 5: How local lettings policy will be applied and reviewed**

Local Letting Initiatives may be applied to meet the particular needs of a local ward or to address sustainability and community issues to ensure that the housing allocation scheme is able to contribute to building sustainable communities.

They will be tailored to fit local situations in well-defined communities (such as a particular block of flats, an individual street, or new housing development. Or may be applied to a parish or a village in a rural area). Each local letting initiative will be based on a detailed analysis of relevant information gathered from a variety of sources and may include for example evidence from internal departments, partner Housing Associations, local Councillors, and the community itself. (Evidence may include information such as tenant profiling, the incidence of anti-social behaviour, and stock turnover in a particular block, street or area, or the need to provide housing for local people in rural villages and parishes).

The following are examples of local letting policies that may be deployed under the Allocation Policy. The list is for illustrative purposes and is not exhaustive.

- Age restrictions.
- Policies that are geared towards encouraging applicants who work or volunteer to live in an area where for example there may be an area, or street with high numbers of working age households not in work.
- Prioritising applicants who are key workers as defined by the Council.
- Restrictions on lettings to vulnerable households where there are already a concentration of supported tenants/residents in a street or block.
- Lettings to childless households where there are high concentrations of children and young people living on a specific estate, street or block.
- Disregarding household type or property matching rules to allow for example under-occupation to reduce child density or to account for future family growth
- Ensuring that there is a balance of working and non-working households allocated to a new build scheme.

How will a local letting policy be assessed and agreed?

The Team Manager responsible for Housing and the Housing Association Partner will come to an agreement on the villages, estates, blocks or streets where a local letting policy may be appropriate and why.

There must be a clear evidence base for adopting a local letting policy. Agreement as to whether a local letting policy is appropriate will be based on the following test:

1. That there is a clear definition of the objective to be achieved by that particular local letting policy
2. That there is a clear evidence base to back up the need for a local letting policy.
3. That any potential equality impact has been considered.

4. How long the local lettings policy is intended to operate
5. When the policy should be reviewed

A written record of each policy adopted or rejected should be kept.

It is the intention that local letting policies will be fluid with new policies being added as are required and existing policies being deleted once the objective for that policy has been met.

All current local letting policies will be listed as a link to the online version of the Council's Allocation Policy so the public can see which policies are in operation at any point in time.



## Appendix 6: The Bedroom Standard for general needs and older person housing

Property size and Type	Household Size
Bedsit	Single person
1 bedroom elderly persons flat or bungalow or 2 bedroom bungalow	Single person or couple (Dependent on age criteria listed below or disabled)
1 bedroom flats	Single person or couple
2 bedroom flats	Family needing 2 bedrooms or 2 adults sharing accommodation
1 bedroom houses and maisonettes	Single person, couple, or single person
2 bedroom houses and maisonettes	Family needing 2 bedrooms or 2 adults sharing accommodation
3 bedroom houses or maisonettes	Family requiring 3 bedrooms or 3 adults sharing accommodation
4 bedroom house/ 3 bedroom house with spare room	1 or more adult with 4 or more children

The size criterion allows one bedroom for each person or couple living as part of the household with the following exceptions:

- Children under 16 of the same gender are expected to share
- Children under 10 are expected to share regardless of gender
- A disabled tenant or partner who needs a non-resident overnight carer will be allowed an extra room.

This means those tenants whose accommodation is larger than they need may lose part of their Housing Benefit. Those with one spare bedroom will lose 14 per cent of their Housing Benefit and those with two or more spare bedrooms will lose 25 per cent. However, if applicants are in full time employment and are able to pay the full weekly rent, they will be eligible to apply for any house size one bedroom larger.

Age criteria for sheltered accommodation	
Linc Cymru	55 and over
Melin Homes	55 and over
Tai Calon Community Housing	55 and over
United Welsh	55 and over

## **Appendix 7: The Local Housing Panel**

The Local Housing Panel will meet on a monthly basis, or more frequently if required. The role of the Panel will be to consider and make decisions on applications that have been forwarded to it under the criteria set out below.

The Panel will be responsible for making decisions on the following issues. This list is not exhaustive. Written representations can be received from an applicant and their representative and or professional body:

- 1) The award of Emergency Banding due to exceptionally urgent welfare or medical/disability circumstances.
- 2) Needing more settled accommodation in order to deal with child protection issues arising under the Children Act
- 3) The household seeking accommodation has welfare needs so severe that the protection of vulnerable adults or children is only possible if the household were to move to a new home and where the present circumstances could deteriorate to such an extent as to place household members at risk, or in need of residential care unless re-housing is offered.
- 4) Families with a child with behavioural difficulties, which may require an additional bedroom or particular type of accommodation.
- 5) Requiring additional space such as for a carer or to foster.
- 6) The risk level of a potentially vulnerable person continuing to live in his/her current address.
- 7) The need for rehousing due to irreconcilable neighbour difficulties.
- 8) Requests to agree exceptions to certain policies set out in the scheme for example where an award of reduced preference has been given due to former or current rent arrears.
- 9) Requests to agree an applicant for any type of special housing provision, for example, floating support, supported housing because of a learning difficulty, mental health problems, alcohol or drug misuse, sensory difficulties, a need for low-rise or low density accommodation or accommodation in specific areas of the borough to give or receive support etc.
- 10) Requests to transfer following harassment including, verbal abuse, insults, intimidation, damage to property or possessions, threatening or abusive behaviour, racist, homophobic or other abusive graffiti, unprovoked assaults including common assault, actual bodily harm and grievous bodily harm, use of dogs, arson and attempted arson, threatening letters, witnesses of crime, or victims of crime, who would be at risk of intimidation amounting to violence or threats of violence if they remained in their current homes.

- 11) Requests for a transfer or rehousing where there has been a bereavement or personal tragedy in the property or area.
- 12) May be ineligible due to unacceptable behavior including rent arrears and a recoverable debt;
- 13) May be allowed to qualify but be given no preference due to unacceptable behavior or any other criteria outlined in the policy
- 14) Worsens their own circumstances to increase priority;
- 15) Knowingly or recklessly makes a statement which is false, or knowingly withholds information;
- 16) Requires a risk assessment before being rehoused
- 17) The Panel will also review cases that may be due an offer of accommodation where circumstances exist that may lead to the applicant being bypassed.

### **Composition of the Panel**

- Local Authority Housing Manager with responsibility for Housing;
- Housing Association Manager with responsibility for Housing;
- Supporting People Officer;
- Additional members will be co-opted as and when required.

### **Frequency of Meetings**

The Panel will meet monthly or more frequently as required. The Panel will receive the case and supporting evidence in a standard format. Where a case cannot wait for the monthly panel a decision can be made by circulating evidence relating to the case on a standard form to panel members who will make their recommendation by e-mail.

All decisions and reasons for that decision will be recorded and an applicant informed of the decision. In exceptional cases an applicant may be asked to attend the panel to provide clarification on their case.

The decision reached by the Panel can still be subject to appeal to the Housing Appeals Board

### **Monitoring**

The number of cases dealt with by the Panel, along with the decisions made and reasons will be monitored and reported annually to the Customer Services Scrutiny Committee and boards of relevant Registered Social Landlords.

## **Appendix 8: Housing Appeals Board**

### **Terms of Reference**

## **1. Composition of the Appeals Board**

The Board will consist of the following Officers and elected members:

- Team Manager;
- Council Executive Member with responsibility for Housing;
- Head of Service from the participating Registered Social Landlords.

## **2. Role of the Appeals Board**

The Appeals Board will:

Consider appeals from homeseekers against any decision made by the Local Housing Panel following a request for a review to the Council for any of the statutory reasons set out in 7.1 of the Policy

The decision reached by the Appeals Board will be final.

## **3. Frequency of Meetings**

The Board will meet within 28 days of the Council receiving a request in writing to appeal a decision made by the Local Housing Panel following a request for a review of any statutory decision set out in 7.1 of the Policy.

## **4. Conduct of the Meetings**

The homeseeker will be able to attend the meeting and bring a representative to the meeting to assist them in putting forward their case. The homeseekers' representative can be either a relative or friend or a professional representative such as a Housing Rights Worker or Solicitor.

The Appeals Board will firstly consider the case put forward by the homeseeker and/or their representative.

The homeseeker and/or their representative must set out why the decision made is incorrect and provide evidence to support their case.

Representatives from the Local Housing Panel, to include as a minimum the Council's Team Manager and one housing association representative will then be provided with the opportunity to set out the reasons why the original decision was made by the Local Housing Panel, along with the evidence upon which they based their decision.

The Appeals Board will then, meeting in closed session, consider the merits of the arguments put forward by both the applicant and the Local Housing Panel and reach a final decision. Where the Appeals Board is unable to reach a unanimous decision, a majority decision will suffice.

The homeseeker will be advised of the decision of the Appeals Board in writing. The letter notifying the homeseeker of the decision will state the Appeals Board's reasons for reaching its decision.



## **5. Monitoring and review**

The number of appeals requested, decisions made and reasons will be monitored and reported annually to the Neighbourhood Services Scrutiny Committee and Boards of local Registered Social Landlords.

### **Extra Care**

#### **1. Composition of the Panel**

The Board will consist of the following Officers:

- Senior Extra Care Manager
- Senior Housing Solutions Officer
- Care Co-ordinator – The person responsible for organising the care needs of the tenant. (This may be a Social Worker, Community Care Worker, Community Nurse or Community Occupational Therapist)
- Housing related support provider

The panel will be attended by representatives of all the partners, with each representative authorised to make decisions required on behalf of their partner agency.

Where additional information is required to support the application other members may be co-opted to the group to assist with an individual application as and when required.

Panels will not be convened unless the Senior Extra Care Manager and at least one representative from Social Services and Care are present.

If necessary the Senior Extra Care Manager will be responsible for discussing specific Homeseekers with the Care Co-ordinator(s) to comment separately on the nursing needs of the Homeseeker.

#### **2. Role of the Appeals Board**

The Appeals Board will:

Consider appeals from homeseekers against any decision made by the Extra Care Panel following a request for a review to the Council for any of the statutory reasons set out in 7.1 of the Policy

The decision reached by the Extra Care Appeals Board will be final.

#### **3. Frequency of Meetings and Composition**

The Board will meet within 28 days of the Council receiving a request in writing to appeal a decision made by the Local Housing Panel following a request for a review of any statutory decision set out in 7.1 of the Policy.

The Chair(s) of the Allocation Panel will call meetings. The Chairs consist of the Senior Extra Care Managers.

The Allocations Panel must keep a record of meetings and decisions made (appendix 7). The meeting will be minuted and the "Progress List of Homeseekers updated. The Abritas database and Housing Application should also be updated if there are changes to the details of those registered with Abritas.

The minutes will be distributed to the Allocation Panel members having confirmed the next meeting.

#### **4 The Allocations Panel will undertake the following tasks**

New and existing cases will be updated and brought to the Extra Care Panel meetings. The Homeseekers information will be assessed and updated prior to the meeting.

The Extra Care Panel will then consider the applications and identify individuals and their appropriateness for Extra Care.

When the Homeseeker's housing and care needs cannot be met in an Extra Care environment, the Homeseeker will normally receive written notification from the Extra Care Panel outlining the reasons for the decision with suggestions for alternative options. Advice in the letter will be given on how to re-apply if circumstances alter.

The Extra Care Panel can then discuss the application from all information that is available (for example, care needs assessment, application form, Occupational Therapists Reports, etc.) from this information the Extra Care Panel should be in a position to make an offer to the Homeseeker if a vacant flat is available and an Extra Care Panel Decision Form completed (appendix 9).

If a Homeseeker requires High level support in order to maintain a tenancy then an allocation of a place in Extra Care can only be offered if there is a vacancy with a support provider. If support is not available and the Homeseeker would be unable to maintain their tenancy without support then the Homeseeker will not be offered a tenancy however they will remain on an additional preference band on the Housing list.

#### **5. Conduct of the Meetings**

The Housing Solutions Manager will inform the Registered Social Landlord of any appeal received.

Representatives from the Extra Care Appeals Board, to include as a minimum the Council's Housing Solutions Manager and Senior Extra Care Manager will then be provided with the opportunity to set out the reasons why the original decision was made by the Extra Care Panel, along with the evidence upon which they based their decision.

The Appeals Board will then meet in closed session, consider the merits of the arguments put forward by both the applicant and the Extra Care Panel and reach a final decision. The decision of the Extra Care Appeals Board (subject to the Housing Association) will be final.

Where the Appeals Board is unable to reach a unanimous decision, a majority decision will suffice.

The homeseeker will be advised of the decision of the Appeals Board in writing. The letter notifying the homeseeker of the decision will state the Appeals Board's reasons for reaching its decision.

The Extra Care Appeals Procedures are available to applicants wishing to appeal against decisions made regarding their application for housing. The complaints procedure is available to those who are dissatisfied with Extra care standard of customer care in administering their application.

## **6. Allocation Panel Guidance**

Where two or more Homeseekers have the same level of need, the Allocation Panel will allocate the vacant unit to the Homeseeker whose application was received first.

Nominations

## **7. Nominations**

All Homeseekers must be registered on Blaenau Gwent's Common Housing Register. Prior to the Allocations Meetings, it should be established by the Senior Extra Care Manager whether the Homeseeker is registered on WICCIS if this is not the case the Allocation Manager requests that the Homeseeker is nominated (if necessary).

All allocations will be in line with the dependency ratios set out in Blaenau Gwent's Allocation Policy. This Allocation Policy has been developed in partnership with United Welsh Housing Association and Linc Cymru to facilitate this process. This will be reviewed by all parties as necessary; at least annually.

## **8. Monitoring and review**

The number of appeals requested, decisions made and reasons will be monitored and reported annually to the Neighbourhood Services Scrutiny Committee and Boards of local Registered Social Landlords.

## Appendix 9: Extra Care Panel Decision Form



<b>CONFIDENTIAL</b>	
<b>CARE ALLOCATIONS PANEL DECISION RECORD</b>	
Applicant Name(s):	
Applicant Address:	
Applicant's carer / advocate's contact details:	
Is all correspondence to be sent to the carer?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
Is this a transfer application?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
<b>ELIGIBILITY CHECKLIST</b>	
Is the applicant (or partner) aged over 55?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
Is either of the applicants under the age of 50?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
If the applicant is under the age of 50 do they have a long term disability?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
Does the applicant household have housing / support /care needs?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
Are they waiting for hospital, residential care, nursing or care setting discharge?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>
Is the applicant an older carer with son, daughter or dependant with a learning / physical disability who requires care and support?	
	<div style="display: inline-block; width: 45%; text-align: center;">Yes</div> <div style="display: inline-block; width: 45%; text-align: center;">No</div>

Does the applicant household currently reside in Blaenau Gwent?

<b>Yes</b>	<b>No</b>
------------	-----------

Does the applicant household have a local connection with Blaenau Gwent which will assist their current or future care needs?
---

<b>Yes</b>	<b>No</b>
------------	-----------

<b>What are the current medical / care needs of the household?</b>
--

Do they require <i>high / medium / low</i> / support? <b>High Med Low</b>
---

Will the applicant have the ability to manage to live in extra care accommodation with an appropriate care package?
---

<b>Yes</b>	<b>No</b>
------------	-----------

Are there any exceptional circumstances with this applicant household?
--

<b>Yes</b>	<b>No</b>
------------	-----------

If yes, please provide a brief outline:
---

Is there a reason why the applicant household should be suspended from the Association's housing register?
--

<b>Yes</b>	<b>No</b>
------------	-----------

If yes, please provide a brief outline:
---


--

<b>ALLOCATION PANEL DECISION</b>
----------------------------------

Is each member in agreement with decision?	<b>Yes</b>	<b>No</b>
--	------------	-----------

If eligible, which tenure type is the applicant to be supported with?
---

Does the applicant require a ground floor property?	<b>Yes</b>	<b>No</b>
---	------------	-----------

Does the applicant require full disability provision?	<b>Yes</b>	<b>No</b>
---	------------	-----------

DECISION NOTIFICATION
Date decision letter sent to applicant
Date decision letter sent to applicant / advocate
Date offer of accommodation made
Date of viewing:
Outcome of viewing: (accepted or refused):

Accepted	Refused
----------	---------

If refused provide refusal reason:
Date council Housing Options Team advised of outcome
Tenancy commencement date:
Property allocated:

Yes	No
-----	----

<b>Authorisation</b>
Panel Decision Authorised by Chair
Date of Panel Decision

## **Appendix 10: Sheltered Housing and Extra Care Schemes**

### **Sheltered Housing**

#### Melin Homes

Llys Ebwy, Briery Hill, Ebbw Vale  
Roderick Hill Court, Dukestown, Tredegar  
Ty Pendry Thomas, Briery Hill, Ebbw Vale  
Howell Griffiths Court, Roseheyworth, Abertillery

**Eligibility Criteria** - 55 years old and above or relevant support need

#### Linc Cymru

Bill Harry Court, Dukestown, Tredegar  
Llys-Yr-Efail, Westside/South, Blaina  
Ty Scott, Tredegar Town Centre

**Eligibility Criteria** - 55 years old and above or relevant support need

#### United Welsh Housing Association

Cronin Court, Tredegar Town Centre  
George Parry Court, Ebbw Vale Town Centre  
Gwern Las, Cwmtillery, Abertillery  
Saxon Court, Brynmawr Town Centre  
Wesley House, Brynmawr Town Centre  
William Adams Court, Ebbw Vale Town Centre

**Eligibility Criteria** - 55 years old and above or relevant support need

#### Tai Calon Community Housing

Davey Evans Court, Abertillery Town Centre  
Grace Pope Court, Llanhilleth, Abertillery  
Llandafel Court, Cwm, Ebbw Vale  
Llys Cwm Llwydrhew, Six Bells, Abertillery  
Llys-Y-Capel, Westside/South, Blaina  
Peacehaven Court, Cefn Goula, Tredegar  
Riverside Flats, Westside/South, Blaina  
Ffynon Court, Brynmawr Town Centre

**Eligibility Criteria** - 55 years old and above or relevant support need

### **Extra Care Schemes**

United Welsh – Llys Nant Y Mynydd, Hospital Road, Nantyglo  
Linc Cymru – Llys Glyncoed, Off College Road, Ebbw Vale

**Eligibility Criteria** - 55 years and above with a partner 50 years and above.  
Llys Nant y Mynydd, Llys Glyncoed 65 years and above

## Appendix 11: Outline of Financial Assessment Criteria

Rent	Actual
Mortgage	Actual
Life Insurance	Actual
House Insurance	Actual
Water Rates	Actual
Gas	£10.00 a Week
Electricity	£10.00 a Week
Council Tax	Actual
Food Single Person Couple Each additional member of the household	£25.00 A Week £50.00 A Week £10.00 A Week
Cigarettes	Dependant on circumstances and evidence supplied
Television License	Actual
Home Telephone (including mobile)	£10.00 A week
Car Road Tax Insurance Petrol	Actual Actual Up to £15.00 a week dependant On circumstances and evidence supplied
Bus Fares	Up to £15.00 dependant on circumstances and evidence supplied
School Dinner Money	£2.00 per child per day
Clothes	£5.00 per household per week
Childcare charge	Actual
Maintenance Payments	Actual
Loans	Actual
Credit Cards	Minimum Payment
Sky	Lowest Package
Catalogues	Minimum payment
Pet Costs	Dependant on circumstances
Other	Dependant on circumstances

All of the above are guidance for the dedicated officer assessing an individual needs and the final decision will be on dependant on the individual circumstances.



## **Appendix 12 Assessing whether to award a priority banding on the grounds of a medical condition or disability impact**

### **Awarding Medical Priority for a significant medical condition or disability that is being made worst by an applicant's housing conditions or circumstances**

#### **Introduction**

When ill health, disability or old age is aggravated by housing conditions and would improve if other accommodation were offered, the award for medical consideration is either the Emergency Band or Gold Band. To achieve this consistency in the allocation of banding under this heading a descending schedule is detailed below

#### **Emergency Band: Urgent and immediate medical impact problem**

This top category will be reserved for exceptional cases where an applicant or tenant's health is seriously at risk (including a risk to life) due to the associated medical and housing difficulties that are inherent in the existing accommodation.

#### **Gold Band: Serious medical impact problem**

This again is a high banding category and should only be used to reflect urgent medical difficulties that have a clear relationship to existing housing circumstances.

If the Council decides that the medical condition or disability is not affected by the person's current housing the applicant will not be awarded Emergency or Gold Banding for this criteria.

#### **How will medical cases be assessed?**

The following procedure will be applied:

- 7) Homeseekers who indicate that they or anyone in their household have an illness or disability which is affected by their current home they will be asked to complete questions on their application form relating to their medical condition or disability.
- 8) The Housing Access Team Officers will carry out an initial assessment using the standard assessment criteria set out in appendix 10 to the policy. An applicant may need to be further interviewed by an Access officer or OTT officer to help make the assessment.
- 9) Where a Homeseeker's health is not so urgent and immediate that they are unable to live in their current home but their medical condition would be significantly improved by alternative housing a Gold Banding will be awarded. This will be determined by the Housing Access Officer and checked and signed off by the Team Manager based on the evidence provided.

- 10) Where the Council believes there may be an urgent and immediate need due to the severity of the impact of their current housing on their medical condition or disability and the applicant may be unable to continue to live at their present home the case will be submitted to the Occupational Therapy Team (OTT) who will determine whether to place the Homeseeker in the Emergency Band. In order to do this the OTT will potentially visit the home of the Homeseeker or tenant household, consider any supporting information that has been requested or provided, and decide whether Emergency Band priority should be awarded, based upon the severity of the case and the urgency of the need for re-housing.
- 11) The OTT will also recommend the type of housing that the homeseeker needs to resolve the urgent circumstances. All disabled applicants and adapted properties are assessed via a criteria which allows applicant(s) to be matched to the most suitable type of property (A,B,C,D,E,F,U). When an adapted property becomes available to let, it is allocated to the person who needs that Level of property and who is in the highest band.
- 12) Where priority is awarded on the basis of medical circumstances applicants can only be considered for the type of properties identified by the OTT, so for example, if someone needs to move to a bungalow because they have suddenly become a wheelchair user, they will only be considered for suitable properties within the Gold Band.

The Housing Access Team will not as a matter of course request an applicant or tenant to submit medical certificates or to obtain letters from their GP. The belief is held that this is a purely voluntary matter for the GP and patient. The Council has no wish to create further work for doctors by insisting that medical certificates are produced before any application is considered.

However, there will be occasions when the advice of a doctor or consultant will need to be sought. For example, where an applicant's housing circumstances are affecting their mental health, or where a GP considers a patient requires High Priority as a result of a medical problem.

When determining what banding to award, if any, staff will approach the matter from the standpoint of assessing what degree of need exists and, secondly, what adverse effect this has on the ability of the applicant to manage day to day tasks in their current home.

The following list covers some of the main factors, which can be reflected in a banding award under Medical Considerations:

- An applicant's inability to manage stairs, control temperature, etc
- Where an applicants more or less confined to their existing accommodation
- Where present accommodation is causing an applicant's mental or physical disability.
- An applicant's restricted ability to fend for him/herself

- The need for adapted housing and/or extra facilities
- The need for sheltered or supported housing
- The need for housing as part of a care plan

Medical priority will not normally be awarded in any of the following circumstances:

- Disrepair problems not impacting significantly on the applicants medical condition
- Overcrowding not impacting significantly on the applicants medical condition
- Where the medical condition is as a result of a lifestyle choice (e.g. drug use)

### **The 4 stage assessment process applied by the assessing officer**

When assessing whether to award Emergency or Gold Banding on medical or disability grounds, there is a 4 stage assessment process.

The 4 stage process is:

1. Is the medical condition serious enough for a priority banding to be considered? (This assessment procedure will be used to decide cases more likely to be awarded priority and cases less likely to be awarded priority)
2. If the medical condition is serious enough for a priority banding to be considered the next stage is for the assessment officer to decide if there is a direct link between the identified medical problem and the applicant's current housing accommodation/situation? I.e. is the applicant's current housing accommodation/circumstances making the medical condition substantially worst or will make it worst.
3. If the assessment officer agrees this to be the cases they will next need to be satisfied that there a realistic expectation that the identified medical condition would improve if alternative, more suitable accommodation was made available.
4. If the answer is it would be improved the final question will be whether to award the Emergency Band or Gold Band depending on the severity of the condition.

### **Guidance for the assessing officer**

The following are a list of the most common significant medical issues in alphabetical order. The section sets out:

- 1) The condition,
- 2) More information on the condition and impact
- 3) Common treatments
- 4) Questions that can be asked of the applicant and their GP/health professional to help determine the condition, management and impact
- 5) Where a person is less likely and more likely to be a priority case due to the seriousness of the condition

Below are the most common significant medical conditions. There are clearly many more medical conditions that a person may have been diagnosed with or claim to have and if the applicant has a condition not listed below the assessing officer will obtain information on what the condition is, its impact, treatment, how to manage the condition and potential long- term prognosis.

### **Arthritis**

Q - Has the applicant received a diagnosis?

Q - If so, is the condition Osteoarthritis or Rheumatoid arthritis, or another rarer or associated form?

### **Osteoarthritis**

- This is the most common type of arthritis in the UK, affecting around 8 million people.
- Often develops in people who are over 50 years of age. However, it can occur at any age as a result of an injury or another joint-related condition.
- In osteoarthritis, the cartilage between the bones gradually erodes, causing bone in the joints to rub together.

Q Check the joints that are most commonly affected. These are normally the hands, spine, knees and hips.

Q How is it impacting on the applicant?

Q How is the condition (if diagnosed) being treated?

Q For osteoarthritis, painkillers, [non-steroidal anti-inflammatory drugs \(NSAIDs\)](#) and [corticosteroids](#) are often prescribed.

Q Have these been prescribed?

Q How effective are they?

Q Is the applicant taking their medication

Q Has the applicant undergone any of the following surgical procedures?

- arthroplasty (joint replacement)
- arthodesis (joint fusion)
- osteotomy (where a bone is cut and re-aligned)

Q If so how long ago?

Q How successful was surgery?

### **Rheumatoid arthritis**

- Often starts when a person is between 40 and 50 years old. Women are three times more likely to be affected than men.

- Rheumatoid and osteoarthritis are two different conditions. Rheumatoid osteoarthritis occurs when the body's immune system targets affected joints, which leads to pain and swelling.
- In treating rheumatoid arthritis treatment is often to slow down the condition's progress and minimise damage to the joints.
- Q Is the applicant being treated and what form of treatment is it? Common treatments include:
- Q Is the applicant prescribed medication to relieve symptoms and slow the progress of the condition - analgesics (painkillers); disease modifying anti-rheumatic drugs (DMARDs)
- Q Is the applicant receiving supportive treatments, such as [physiotherapy](#) and occupational therapy, and regular exercise to help the person keep mobile
- Q Has the applicant undergone or is awaiting surgery to correct any joint problems that develop

***Less likely to be granted a priority banding***

- Completed successful surgery
- On medication and this is controlling the condition and complying with medication and occupational therapy, and regular exercise to help the person keep mobile
- Has undertaken or is undertaking occupational therapy, and regular exercise to help the person keep mobile and has responded well to this treatment
- Medication has reduced the number of incidents of 'flare ups'
- Impact on upper body only and not on lower body needed for mobility

***More likely to be granted priority banding***

- Awaiting joint replacement
- On a major drug e.g. azathioprine, methotrexate, steroids.
- Where treatment has been unsuccessful that the condition is getting worse and impacting significantly on the ability to get out and perform day to day tasks
- Where for Rheumatoid arthritis it has led to secondary complications that may cause additional problems and can sometimes be life-threatening. Possible complications include [carpal tunnel syndrome](#), - inflammation of other areas of the body (such as the lungs, heart and eyes), and an increased risk of [heart attacks](#) and [strokes](#).

## Asthma

- Asthma is a common long-term condition -1 in every 12 adults suffer from it
- Can cause coughing, wheezing, chest tightness and breathlessness.
- The severity of these symptoms varies from person to person.
- Asthma can be controlled well in most people most of the time, although some people may have more persistent problems.
- Occasionally, asthma symptoms can get gradually or suddenly worse. This is known as an "asthma attack", although doctors sometimes use the term "exacerbation".
- While there is no cure for asthma, there are a number of treatments that can help control the condition.

Treatment is based on controlling and relieving symptoms

Q Is the applicant receiving treatment?

Q If so what is it? For most people, this will involve the occasional, or, more commonly, daily use of medications, usually taken using an inhaler. However, identifying and avoiding possible triggers is also important. **Reliever inhalers** are usually blue and are taken to relieve asthma symptoms quickly. **Preventer inhalers** are usually brown, red or orange and reduce the chances of asthma attacks occurring. They must be used regularly (typically twice or occasionally once daily) and indefinitely to keep asthma under control.

Q Is the applicant prescribed oral steroids?

Normally prescribed if severe asthma is not under control. This form of treatment is usually monitored by an asthma specialist and will require further inquiries of that specialist before a granted priority banding decision can be made.

Oral steroids are powerful anti-inflammatory preventers, which are generally used in two ways:

Q Have they been prescribed to regain control of asthma when it is temporarily upset, for example, by a lapse in regular medication or an unexpected chest infection; in these cases, they are typically given for one or two weeks, then stopped. Or

Q Are they prescribed because long-term control of asthma remains a problem, despite maximum dosages of inhaled and other medication? In these cases, oral steroids may be given for prolonged periods, or even indefinitely, while maintaining maximum treatment with inhalers as this

maximises the chance of being able to stop the oral steroids again in the future.

- Q Has the applicant been given a personal asthma action plan agreed with their doctor or nurse that includes information about the medicines they need to take; how to recognise when symptoms are getting worse, and what steps to take when they do so.

***Less likely to be granted a priority banding***

- On medication and this is controlling the condition and the applicant is complying with medication
- Asthma is under control through the combined use of preventer inhaler and reliever inhaler.
- Has not had a severe attack requiring hospital admission ever or not for some time and since the attack the condition is under control with inhalers.
- Have been prescribed oral steroids to regain control of asthma when it is temporarily upset, for example, by a lapse in regular medication or an unexpected chest infection.
- Past asthma condition that has been reversed following treatment and no medication now prescribed

***More likely to be granted priority banding***

- Permanent narrowing of their airways and secondary problems
- Histories of severe attacks that may have required hospital admission for treatment, as severity of the attack could be life threatening and attacks have happened despite medication.
- Long-term use of oral steroids to try and control the condition (often long term oral steroids may have side effects – check with asthma consultant and or GP).
- Uses a nebulizer

**Back Pain, Neck Pain, Shoulder Pain**

Backache is most common in the lower back ('lumbago'), although it can be felt anywhere along the spine, the neck down to the hips.

Q - Has the pain been caused by an injury or disease, such as:

- ✓ A slipped disc – when one of the discs in the spine is damaged and presses on the nerves
- ✓ sciatica – irritation or compression of the sciatic nerve, which causes pain, numbness and tingling that travels down one leg
- ✓ Whiplash – neck injury caused by a sudden impact

- ✓ Frozen shoulder – inflammation around the shoulder that causes pain and stiffness
- ✓ Ankylosing spondylitis – a long-term condition that causes pain and stiffness where the spine meets the pelvis

Q - Is the applicant receiving treatment for short-term pain to speed up recovery? Q - If so what is the treatment?

- To remain as active as possible
- To take over-the-counter painkillers such as paracetamol or ibuprofen
- To use hot or cold compression packs

Q – Is the applicant receiving treatment for longer-term pain.

Q - If so what is the treatment?

- Stronger painkillers
- Exercise classes – where they may receive instruction on specific exercises to strengthen muscles and improve posture
- Physiotherapy, osteopathy, chiropractic, acupuncture.
- Support and advice at a specialist pain clinic

### ***Less likely to be granted a priority banding***

Most conditions can be treated and are likely to improve, or can be managed to the extent where it should not prevent the applicant from managing despite their current housing conditions.

### ***More likely to be granted priority banding***

A chronic condition where confirmed that the applicant requires surgery and impacts to an extent where they are unable to perform basic day-to-day tasks.

#### **Cancer**

- Cancer is a condition where cells in a specific part of the body grow and reproduce uncontrollably. The cancerous cells can invade and destroy surrounding healthy tissue, including organs.
- Cancer sometimes begins in one part of the body before spreading to other areas. This process is known as metastasis.
- There are over 200 different types of cancer, each with its own methods of diagnosis and treatment. Surgery is the primary treatment option for most types of cancer, because solid tumors can usually be surgically removed.
- Two other commonly used treatment methods are chemotherapy (powerful cancer-killing medication) and radiotherapy (the controlled use of high-energy X-rays).
- Given the number of types of cancer and treatments and prognosis for cure or remission it is not possible to give any guidelines. You should as



with all other serious medical conditions talk to the applicants GP or health professional. Where a person is undergoing or has undergone recent surgery, chemotherapy or radiotherapy they will inevitably be in granted priority banding. Where a particular form of cancer has been cured or is in long-term remission and there are no secondary complications or conditions then they are Less likely to be granted a priority banding.

### **Depression, Bipolar and Mental Illness**

Depression affects people in different ways and can cause a wide variety of symptoms. They range from lasting feelings of sadness and hopelessness, to losing interest in the things a person used to enjoy and feeling very tearful. Many people with depression also have symptoms of anxiety.

There can be physical symptoms too, such as feeling constantly tired, sleeping badly, having no appetite, and complaining of various aches and pains.

The severity of the symptoms can vary. At its mildest, a person may simply feel persistently low in spirit, while at it's most severe depression can make a person feel suicidal and that life is no longer worth living.

### ***Treatment for depression usually involves a combination of medicines, talking therapies and self-help.***

The kind of treatment that a doctor recommends will be based on the type of depression a person has. Below is a short description of the types of treatment a doctor may recommend.

#### ***Mild depression***

**Wait and see** - If a person is diagnosed with mild depression, it may improve by itself. In this case, the GP may recommend a person should simply be seen again one off or regularly to monitor progress. This is known as watchful waiting.

**Exercise** – there is evidence that exercise may help depression and it is one of the main treatments if a person has mild depression.

**Self-help groups** – talking through feelings can be helpful. A person may be recommended by their GP to talk either to a friend or relative, or be referred to a local self-help group. The GP may also recommend self-help books and online cognitive behavioural therapy (CBT).

#### ***Moderate depression***

**Talking therapy** – if the person has mild depression that isn't improving, or moderate depression, the GP may recommend a talking treatment (a type of psychotherapy). There are different types of talking therapy for depression, including cognitive behavioural therapy (CBT) and counseling.

#### ***Moderate to severe depression***

**Antidepressants** – tablets that treat the symptoms of depression. There are almost 30 different kinds of antidepressant. They have to be prescribed by a doctor, usually for depression that is moderate or severe.

The GP may recommend that a person take a course of antidepressants plus talking therapy, particularly if the depression is quite severe. A

combination of an antidepressant and CBT usually works better than having just one of these treatments.

**Mental health teams** – if a person has severe depression, they may be referred to a mental health team made up of psychologists, psychiatrists, specialist nurses and occupational therapists. These teams often provide intensive specialist talking treatments as well as prescribed medication.

### ***Bipolar disorder***

Formerly known as manic depression, is a condition that affects a person's moods, which can swing from one extreme to another.

If a person has bipolar disorder, they will have periods or episodes of depression – where they will feel very low and lethargic and mania – where they will feel very high and overactive. Symptoms will depend on which mood the person is experiencing. Unlike simple mood swings, each extreme episode of bipolar disorder can last for several weeks (or even longer), and some people may not experience a "normal" mood very often. During an episode of depression, you may have overwhelming feelings of worthlessness, which can potentially lead to thoughts of suicide.

Several medications are available to help stabilise mood swings. These are commonly referred to as mood stabilisers and include:

- lithium carbonate
- anticonvulsant medicines
- Antipsychotic medicines

### ***Types of anti depressants***

**Selective serotonin reuptake inhibitor (SSRI)** - Normally a person will be prescribed a modern type called a selective serotonin reuptake inhibitor (SSRI) to treat mild or moderate depression. Examples of commonly used SSRI antidepressants are Seroxat (paroxetine), Prozac (fluoxetine) and Cipramil (citalopram).

They help increase the level of a natural chemical in the brain called serotonin, which is thought to be a "good mood" chemical.

**Tricyclic antidepressants (TCAs)** - This group of antidepressants is used to treat moderate to severe depression.

TCAs, which include Imipramil (imipramine) and amitriptyline, have been around for longer than SSRIs.

**SNRIs (serotonin-noradrenaline reuptake inhibitors)** - New antidepressants, such as Efexor (venlafaxine), Cymbalta or Yentreve (duloxetine) and Zispin Soltab (mirtazapine), work in a slightly different way from SSRIs and TCAs. Venlafaxine and duloxetine are known as SNRIs (serotonin-noradrenaline reuptake inhibitors). Like TCAs, they change the levels of serotonin and noradrenaline in the brain. Studies have shown that an SNRI can be more effective than an SSRI, though they're not routinely prescribed as they can lead to a rise in blood pressure.

Q Has the applicant been diagnosed with depression?

- Q If so has any treatment been prescribed?
- Q What is that treatment – e.g. antidepressant medication, CBT, exercise, talking therapy?
- Q If anti depressants what type (see above) and at what level dosage?
- Q Has the depression required a referral to a mental health team?
- Q What are their symptoms both physical and psychological? (Establish the impact ranging from feelings of sadness, anxiety and hopelessness, feeling constantly tired, sleeping badly, having no appetite, and complaining of various aches and pains).
- Q What is the severity of the symptoms? (At its mildest, a person may simply feel persistently low in spirit, while at its most severe depression can make a person feel suicidal and that life is no longer worth living).
- Q Have these symptoms been reduced by the treatment recommended? If not is further treatment recommended from the person's GP?
- Q Has the person been diagnosed as suffering from Bipolar disorder? If so what medication are they on? Are they receiving psychiatric services?

***Less likely to be granted a priority banding***

- Mild to moderate depression treated through anti depressants or other non- medication therapy where the applicant is responding to treatment and is able to undertake day to day tasks
- Feeling of low mood and anxiety but no feelings of self harm or attempts at self harm
- Drug induced illnesses with no secondary complications

***More likely to be granted a priority banding***

- Severe depression being treated by a mental health service where professional opinion to the severity and impact is that their current housing circumstances are or will have a significant effect on the applicant.
- Recent Psychiatric hospital admission
- Verified history of self harm from GP or other health professional
- On anti psychotic medication
- Evidence of current psychosis
- On injected depot therapy
- Diagnosed condition of bipolar

**Diabetes**

**Type 1 Diabetes** – commonly known as insulin-dependent diabetes.

- The body's immune system attacks and destroys the cells that produce insulin. As no insulin is produced, glucose levels increase, which can seriously damage the body's organs. It affects about 10% of all adults with diabetes.
- A person will need insulin injections for the rest of their life.

Q - Consider if there are secondary complications. These could be:

- ✓ Heart disease and stroke
- ✓ Atherosclerosis that is the furring and narrowing of blood vessels.
- ✓ Angina
- ✓ Nerve damage
- ✓ Retinopathy where the retina at the back of the eye is damaged.
- ✓ Kidney disease and in rare cases kidney failure
- ✓ Foot problems - Damage to the nerves of the foot can lead to a foot ulcer and can cause serious infection.
- ✓ Circulation problems – which, in the most serious of cases, can lead to gangrene

**Type 2 Diabetes**

Q – Have they been referred to a diabetes care team for specialist treatment, or are they receiving treatment from their GP surgery

Q – What treatment has been prescribed?

Making changes to lifestyle such as eating a healthy diet and taking regular exercise, stopping smoking, reducing alcohol intake, losing weight

Q - Have they been prescribed medication to keep their blood glucose at normal levels? This will normally be a tablet.

Q – Is the applicant cooperating with treatment to keep their blood glucose levels as normal as possible, which will control symptoms and minimise the risk of health problems developing later on.

***Less likely to be granted a priority banding***

- On oral medication only
- Not on medication and advised to change lifestyle by doctor or health professional
- Pre type 2 condition with raised glucose levels only

***More likely to be granted priority banding***

- Insulin dependent type 1 condition with secondary complications or medical opinion that developing or strong risk of developing secondary complications

- Originally diagnosed type 2 conditions rapidly changing to type 1 condition with complications.

## **Epilepsy**

- Epilepsy is a condition that affects the brain and can cause a one off or repeated seizures referred to as "fits".
- Epilepsy is estimated to affect more than 500,000 people in the UK; one in every 100 people has the condition.
- The severity of seizures can differ from person to person. Some people simply experience an odd feeling with no loss of awareness, or may have a "trance-like" state for a few seconds or minutes, while others lose consciousness and have convulsions (uncontrollable shaking of the body).
- Some people may only have a single seizure at some point during their life. If they do not have a high risk of having further seizures, they may have been medically diagnosed as not being regarded as having epilepsy.
- Treatment for epilepsy is used to control seizures, although not everyone with the condition will need to be treated.
- It may sometimes be possible to control epilepsy solely by avoiding things that trigger seizures, such as sleep deprivation and alcohol.
- While some people may need to be treated for the rest of their lives, this is not always the case. Sometimes people have epileptic seizures at one stage in their life, only for them to become less frequent or disappear, as they get older. This is more common if seizures first occur in childhood or early adulthood.

Q Has the applicant been diagnosed with epilepsy?

Q When was the diagnosis – as a child or more recently?

Q When did they last have a seizure and what form did it take e.g. an odd feeling with no loss of awareness, or a "trance-like" state for a few seconds or minutes, or the loss of consciousness and convulsions.

Q If recent was this reported to their GP?

Q Is the applicant under medication for their epileptic condition?

Q Are they on Anti-epileptic drugs (AEDs) to control their seizures?

Q Since being treated with AEDs have they had a seizure?

Q If so did it result in their level of AED being increased and if so have they had a seizure since?

***Less likely to be granted a priority banding***

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- No recent seizures and not on AED medication
- On AED medication and since taking medication has not had any seizures and complies with taking medication
- One off seizure in childhood and no seizures since

***More likely to be granted a priority banding***

- Uncontrolled recurrent seizures leading to loss of consciousness and convulsions and medication is not able to stop seizures.
- Seizures would be controlled but applicant is not complying or not able to comply with medication leading to recurrent fits.

**Heart Problems**

There are many conditions that come under the title heart problems so it is important that you are able to assess what the problem is and how it is being treated in order to further assess the question of a priority banding for any impact of their current housing conditions. If the applicant has a heart condition contact with their GP will be essential

Q Have they undergone coronary angioplasty (stenting) to widen blocked or narrowed coronary arteries and was it successful.

Q Have they had or are awaiting by pass surgery?

Q Have they been diagnosed with Coronary Heart Disease? This is the term that describes what happens when the heart's blood supply is blocked or interrupted by a build-up of fatty substances in the coronary arteries.

Q Have they had a heart attack? When and have they had more than one? What is the medical prognosis re the amount of damage this has caused the heart muscle

Q Have they been diagnosed with a Heart block (where the transmission of the pulse between the nodes and the ventricles is interrupted)?

Q If so has treatment been thought to be required such as a permanent pacemaker?

Q Have they been diagnosed with heart palpitations? (Heart palpitations are heartbeats that suddenly become more noticeable where the heart may feel like it's pounding, fluttering or beating irregularly, often for just a few seconds or minutes)

Q Do they have congenital heart disease (this is a general term for a range of birth defects that affect the normal workings of the heart - people with congenital heart disease often need treatment throughout their life and may require ongoing monitoring during adulthood. People with complex heart problems can develop further problems with their heart rhythm or valves over time).

***Less likely to be granted a priority banding***

N.B. - Clearly you will need to carefully consider evidence from the applicant's GP or health consultant.

- Medication consists of statins alone
- Has completed successful bypass surgery or stenting and treated by statins since
- Has been diagnosed with heart palpitations with no secondary complications or other heart condition.
- Has been diagnosed with a Heart block condition where no treatment was considered necessary or has been treated by inserting a pacemaker
- Has described their condition as having suffered a heart attack but this is not the conclusion of the GP or medical professional

***More likely to be granted a priority banding***

- There will be a number of heart conditions where the severity will mean the person is likely to be in granted priority banding. Discussions with their GP or health consultant will enable you to consider the condition, severity and impact on the applicant.
- Awaiting bypass surgery
- On multiple medications or medication for heart failure
- Many congenital heart conditions that the health professional believes have or will result in further heart problems that need or cannot be treated

**Hepatitis**

- Hepatitis is a term used to describe inflammation (swelling) of the liver. It can occur as the result of a viral infection or because the liver is exposed to harmful substances such as alcohol.
- Some types of hepatitis will pass without causing permanent damage to the liver.
- Other types can persist for many years and cause scarring of the liver (cirrhosis). In the most serious cases, it may lead to loss of liver function (liver failure) or liver cancer. These types of long-lasting hepatitis are known as chronic hepatitis.

**Hepatitis A** is the most common type of viral hepatitis. It occurs in the UK, but is more common in countries where sanitation and sewage disposal are poor. Around 350 cases are reported each year in England, with most cases occurring in people who have travelled abroad.

It is usually a short-term infection and symptoms will pass within three months. There is no specific treatment for hepatitis A other than to relieve symptoms.

**Hepatitis B** virus. This is found in blood and body fluids, such as semen and vaginal fluids and can be spread during unprotected sex, by sharing needles to inject drugs, and from pregnant women to their babies.

Hepatitis B is uncommon in England and cases are largely confined to certain groups, such as drug users. It is much more common in other parts of the world, particularly East Asia and sub-Saharan Africa.

Most people infected with hepatitis B are able to fight off the virus and fully recover from the infection within a couple of months. However, a small minority of people develops a long-term infection. This is known as chronic hepatitis B. In some people, chronic hepatitis B can cause cirrhosis and liver cancer.

Chronic hepatitis B is treatable with antiviral medication.

The majority of people infected with hepatitis B are able to fight off the virus and fully recover from the infection within a couple of months and most people with hepatitis B have very little liver damage. A small minority of people go on to develop cirrhosis of the liver and, in some cases, liver cancer.

**Hepatitis C** is the most common type of viral hepatitis in England. It is estimated that around 215,000 people in the UK have chronic hepatitis C. The hepatitis C virus can be found in the blood and, to a much lesser extent, the saliva and semen or vaginal fluid of an infected person. It is particularly concentrated in the blood, so it is usually transmitted through blood-to-blood contact. In England, it's most commonly spread through sharing needles to inject drugs, which account for 9 out of 10 cases.

Around one in four people will fight off the infection and will be free of the virus. In the remaining three out of four people, the virus will stay in their body for many years. This is known as chronic hepatitis C. In some people, chronic hepatitis C can cause cirrhosis and liver failure. Chronic hepatitis C can be treated by taking antiviral medications, although there can be unpleasant side effects.

Hepatitis C can be treated with antiviral medicines designed to stop the virus from multiplying inside the body and prevents liver damage.

Two widely used antiviral medications are interferon and ribavirin.

There are six different strains of hepatitis C, known as genotypes, and some genotypes respond better to treatment than others.

The most common genotypes of hepatitis C in England are genotypes 1 and 3.

With treatment, around half of people with genotype 1 will be cured, and around eight out of 10 people with genotype 3 will be cured. Depending on other risk factors, such as alcohol use, between 10% and 40% of people with untreated chronic hepatitis C will go on to develop scarring of the liver (cirrhosis), often more than twenty years after first catching the virus.

Q Has the applicant been diagnosed with Hepatitis and if so what form?

Q For Hepatitis B and C has their health professional concluded that they have made a full recovery?

Q Are they are receiving antiviral medication?

Q Have they developed a chronic condition with evidence of a diseased or failing liver or liver cancer?

***Less likely to be granted a priority banding***

➤ Hepatitis A with full recovery



- Hepatitis B or C where medical view is the applicant has fully recovered or where the condition is under control with or without anti viral medication.
- Hepatitis B carrier status only

***More likely to be granted a priority banding***

- Chronic condition B or C with medical evidence of diseased or failing liver.

**HIV/AIDS**

- HIV is a virus, which attacks the immune system, and weakens a person's ability to fight infections and disease. There is no cure for HIV, but there are treatments to enable most people with the virus to live a long and healthy life.
- AIDS is the final stage of HIV infection, when a person's body can no longer fight life-threatening infections. With early diagnosis and effective treatment, most people with HIV will not go on to develop AIDS. Although there is no cure for HIV, treatments are now very effective, enabling people with HIV to live long and healthy lives.

***Treatment***

Medication, known as antiretroviral, work by stopping the virus replicating in the body, allowing the immune system to repair itself and preventing further damage. These medicines come in the form of tablets, which need to be taken every day.

HIV is able to develop resistance to a single HIV drug very easily, but taking a combination of different drugs makes this much less likely. Most people with HIV take a combination of three antiretroviral and it is vital that the medications are taken every day as recommended by their doctor.

They will be encouraged to take regular exercise, eat a healthy diet, stop smoking and have yearly flu jabs and five-yearly pneumococcal vaccinations to minimise the risk of getting serious illnesses.

Without treatment, the immune system will become severely damaged and life-threatening illnesses such as cancer and severe infections can occur. This is known as late-stage HIV infection or AIDS.

If a person is diagnosed with HIV, they will have regular blood tests to monitor the progress of the HIV infection before starting treatment. This involves monitoring the amount of virus in blood (viral blood test) and the effect HIV is having on the immune system. This is determined by measuring the levels of CD4+ve lymphocyte cells in the blood. These cells are important for fighting infection. Treatment is usually recommended to begin when the CD4 cell count falls towards 350 or below, whether or not the person has any symptoms. In some people with other medical conditions, treatment may be started at higher CD4 cell counts. When to start treatment will be decided by the person's health professional. The aim of the treatment is to reduce the level of HIV in the blood, allow the immune system to repair itself and prevent any HIV-related illnesses.

HIV is treated with antiretroviral (ARVs), these work by stopping the virus replicating in the body, allowing the immune system to repair itself and preventing further damage. A combination of ARVs is used because HIV can quickly adapt and become resistant to one single ARV. Patients tend to take

three or more types of ARV medication. This is known as combination therapy or antiretroviral therapy (ART).

Some antiretroviral drugs have been combined into one pill, known as a "fixed dose combination". This means that the most common treatments for people just diagnosed with HIV involve taking just one or two pills a day. Different combinations of ARVs work for different people so the medicine a person will take will be individual to them.

Once HIV treatment is started, they will probably need to take the medication for the rest of their life. For the treatment to be continuously effective, it will need to be taken regularly every day. Not taking ARVs regularly may cause the treatment to fail.

Q Has the applicant received a diagnosis of HIV or AIDS?

Q If HIV how is it being treated? E.g. monitored with blood tests only at this stage or treatment with anti viral drugs?

Q If anti viral drugs is this a combined one pill known as a "fixed dose combination"?

***Less likely to be granted a priority banding***

- HIV condition controlled by anti viral drugs on a single one pill fixed dose combination and where there are no secondary conditions or lifestyle problems such as drink or drugs and where the person is fully complying and able to comply with taking medication daily without evidence of lapses.
- Not on medication and the CD4 count is significantly higher than 350

***More likely to be granted a priority banding***

- Diagnosed with AIDS
- CD4 count lower than 350 and just beginning anti viral medication
- On anti viral medication and secondary complications or multiple symptoms or lifestyle issues such as substance misuse
- Risk of not complying with taking anti viral medication due to poor self-medication regime.
- Multiple drug regimes.

**Hypertension (High Blood Pressure)**

High blood pressure (hypertension) means that the person's blood pressure is continually higher than the recommended level. It rarely has noticeable symptoms. Around 30% of people in England have high blood pressure and if left untreated, high blood pressure increases the risk of a heart attack or stroke.

Q – Do you have high blood pressure and how is it been treated?

Q – Are there any secondary complications such as affecting the kidneys or has it led to a stroke or heart attack?

***Less likely to be granted a priority banding***

- The majority of cases unless combined with other problems

- Being treated with lifestyle advice and or blood pressure medication

***More likely to be granted a priority banding***

- Where it has resulted in secondary serious complications re heart, Kidneys, stroke.

**Kidney Disease**

- A diagnosed condition of kidney disease is termed chronic kidney disease (CKD). Although there is no cure for kidney disease, treatment can help relieve symptoms, slow or prevent progression of the condition, and reduce the risk of developing related problems.
- The treatment will depend on the stage of chronic kidney disease (CKD).
- Stages one, two and three CKD can usually be treated by the applicant's GP. Treatment involves making changes to lifestyle and, in some cases, taking medication to control blood pressure and lower blood cholesterol levels. This should help prevent further damage to the kidneys and circulation.
- Many people with kidney failure can continue with treatment using medicines and will have good-functioning kidneys for the rest of their lives.
- If the applicant has stage four or stage five CKD, they will have usually been referred to a specialist. In addition to the treatments above, they may also have been given several medications to control or prevent the symptoms of CKD.
- Kidney failure, also called established renal failure or ERF, occurs when the person has lost nearly all kidney function and the condition has become life threatening. About 1% of people with stage three CKD develop ERF at some point.
- If the person has kidney failure the choice will be whether to have treatment with dialysis (a means of artificially replacing some functions of the kidney), a kidney transplant.

Q Has the applicant been diagnosed with kidney disease?

Q If so what stage are they at? Stages 1-3 normally treated by their GP or stages 4 or 5 normally through a Kidney Specialist.

Q What treatment are they on? Lifestyle changes only? Medication to control blood pressure and lower blood cholesterol levels? Is this keeping the condition under control?

Q Is the applicant diagnosed as suffering from Kidney Failure (ERF) that does or will require dialysis or a transplant?

Q Has the applicant received a kidney transplant?

***Less likely to be granted a priority banding***

- Applicants diagnosed as stages 1-3 being treated by their GP. Treatment involves making changes to lifestyle and, in some cases, taking medication to control blood pressure and lower blood cholesterol levels and the treatment is working with the condition under control

***More likely to be granted a priority banding***

Stages 4 or 5 where there is a diagnosis of kidney failure which is likely to require dialysis, transplant or medication significantly more than that for lowering blood pressure or cholesterol.

- Applicants who have had a kidney transplant

**Minor medical conditions**

*Where the person is suffering from a minor medical condition such as*

- ✓ Migraine
- ✓ Hay fever
- ✓ Skin condition or allergies
- ✓ Urinary infection
- ✓ Haemorrhoids
- ✓ Irritable bowel syndrome
- ✓ Fungal infections

None of these are likely to indicate any condition of any severity or relevance to housing as none of the above medical issues, either singly or as a whole, impede a person's reasonable function unless there are secondary conditions or complications.

**Stroke**

- A stroke is a serious, life-threatening medical condition that occurs when the blood supply to part of the brain is cut off. This can lead to brain injury, disability and possibly death.
- There are two main causes of strokes:
  - Ischemic – where the blood supply is stopped due to a blood clot (this accounts for 85% of all cases)
  - Hemorrhagic – where a weakened blood vessel supplying the brain bursts
- There is also a related condition known as a transient ischemic attack (TIA), where the supply of blood to the brain is temporarily interrupted, causing a 'mini-stroke' often lasting between 30 minutes and several hours. TIAs should be treated seriously as they are often a warning sign that the person is at risk of having a full stroke in the near future.

- Treatment depends on the type of stroke the person has had including, which part of the brain was affected and what caused it. Most often, strokes are treated with medication. This generally includes medicines to prevent and remove blood clots, reduce blood pressure and reduce cholesterol levels. In some cases, surgery may be required to treat brain swelling and reduce the risk of further bleeding in cases of hemorrhagic strokes.
- Around one in every four people who has a stroke will die, and those who do survive are often left with long-term problems resulting from the injury to their brain. Some people need to have a long period of rehabilitation before they can recover their former independence, while many will never fully recover and will need support adjusting to living with the effects of their stroke.

Q Has the applicant had a stroke?

Q If so what type ischemic or hemorrhagic or transient ischemic attack (TIA or mini stroke).

Q What are the long-term problems caused by the stroke?

***Less likely to be granted a priority banding***

- Where the applicant claims they have suffered a stroke in the past but there is no diagnosis to that effect.
- Mini Stroke where the professional medical opinion is that the applicant has made a full recovery

***More likely to be granted a priority banding***

- The vast majority of cases where there has been a professional diagnosis.

**Tuberculosis (TB)**

Tuberculosis is a bacterial infection spread through inhaling tiny droplets from the coughs or sneezes of an infected person.

It is a serious condition, but can be cured with proper treatment.

TB mainly affects the lungs. However, it can affect any part of the body, including the glands, bones, and nervous system.

Typical symptoms of TB include:

- A persistent cough that lasts more than three weeks and usually brings up phlegm, which may be bloody
- Weight loss
- Night sweats
- High temperature (fever)
- Tiredness and fatigue

- Loss of appetite

Treatment for tuberculosis (TB) will usually involve a long course of antibiotics lasting several months.

While TB is a serious condition that can be fatal if left untreated, deaths are rare if treatment is completed.

For most people, a hospital admission during treatment is not necessary.

### **Pulmonary TB**

If the applicant has been diagnosed with active pulmonary TB (TB that affects the lungs and causes symptoms), they will normally be given a six-month course of a combination of antibiotics. The usual course of treatment is:

- Two antibiotics (isoniazid and rifampicin) every day for six months
- Two additional antibiotics (pyrazinamide and ethambutol) every day for the first two months

It may be several weeks or months before they start to feel better. It is important that they continue taking their medicine exactly as prescribed and to complete the whole course of antibiotics, as this is the most effective method of ensuring the TB bacteria are killed. If they have stopped taking their antibiotics before completing the course, or take it intermittently the TB infection may become resistant to the antibiotics. This is potentially serious as it can be difficult to treat and will require a longer course of treatment.

If treatment is completed correctly, an applicant does not normally need any further checks by a TB specialist afterwards and it is rare for the illness to return.

### **Extra pulmonary TB**

Extra pulmonary TB (TB that occurs outside the lungs) can be treated using the same combination of antibiotics as those used to treat pulmonary TB. However, medication needs to be taken over 12 months. If the applicant has TB in areas such as their brain, they may also be prescribed a corticosteroid such as prednisolone for several weeks to take at the same time as the antibiotics. This will help reduce any swelling in the affected areas.

As with pulmonary TB, it's important that they take their medicines exactly as prescribed and to finish the whole course.

Q Has the applicant been diagnosed with TB?

Q If so what form Pulmonary TB or Extra pulmonary TB?

Q Has the applicant undertaken the required course of antibiotic treatment and have they received a medical opinion that this has been successful and no further treatment is required?

Q If the applicant is in treatment at the point they apply do they find it difficult to take their medication every day?

Q If so have they worked with their treatment team to find a solution to take it at the treatment clinic, or somewhere else more convenient?

Q Is there a medical opinion that the TB has been treated late with significant damage to the lungs as a result?

***Less likely to be granted a priority banding***

- The applicant has undertaken the required course of antibiotic treatment and have they received a medical opinion that this has been successful and no further treatment is required

***More likely to be granted a priority banding***

- Newly diagnosed and undergoing antibiotic course
- Recently completed treatment and still unwell
- Recently hospitalized as a result of TB
- Recent TB outside of the lungs that is affected the spine, kidneys or brain
- Where the applicant has been treated late with significant damage to the lungs as a result.

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## Blaenau Gwent Homes Allocation Policy

### Summary of Changes

Theme	Current Policy	Proposed Policy	Reasons for Change
<b>Armed Forces</b>	<p>Armed forces applicants leaving or bereaved spouses or partners</p> <p>Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military accommodation and Bereaved spouses or civil partners of those serving in the regular forces.</p>	<p>To redress the disadvantage people who have served in the British Armed Forces often face when accessing social housing, the effective date of registration may be reset set to the date they joined the British Armed Forces in the following instances:</p> <ul style="list-style-type: none"> <li>• where an existing member of the British Armed Forces is likely to be homeless upon discharge; Applicants who require accommodation as a result of leaving the Armed Forces and the loss of military accommodation and Bereaved spouses or civil partners of those serving in the regular forces</li> <li>• where a British Armed</li> </ul>	<p>Request from Regional Armed Forces Covenant Liaison Officer who is funded by Welsh Government to amend the housing policy to ensure that the armed forces community are treated fairly and equally throughout Gwent. Torfaen and Caerphilly have recently adapted this approach.</p>

		<p>Forces veteran applies for rehousing within 3 months of discharge and may be homeless or threatened with homelessness within 56 days of submitting their application; or</p> <ul style="list-style-type: none"> <li>• in extenuating circumstances, and at the discretion of the Council, where a British Armed Forces veteran applies for rehousing beyond 3 months and up to 2 years from their date of discharge and are able to demonstrate that during this time they have been unable to establish stable accommodation.</li> </ul>	
<b>Short-listing</b>	<p>When an adapted property becomes available for allocation the relevant housing officer from the Housing Association will identify applicants requiring an adapted property, which are at the top of the relevant band. The housing officer will follow the process used for selecting an applicant for a general needs property i.e. identifying</p>	<p>The OTT will also recommend the type of housing that the homeseeker needs to resolve the urgent circumstances. All disabled applicants and adapted properties are assessed via a criteria which allows applicant(s) to be matched to the most suitable type of property</p>	<p>To make the best use of the adapted housing stock and adaptations that have already been installed in properties.</p>

	an applicant from Emergency Band first and if there is no suitable applicant in this band, moving to Gold Band and so on (dependant on the current quota system in place).	(A,B,C,D,E,F,U). When an adapted property becomes available to let, it is allocated to the person who needs that Level of property and who is in the highest band.	
<b>Bungalows</b>	Current Scheme states that bungalows will be offered to people requiring adapted housing in the first instance	Bungalows will be allocated according to the Level they have been assessed as (A,B,C,D,E,F) and will be allocated based on this and as per either adapted or general needs short-listing.	To make the best use of the adapted housing stock and adaptations that have already been installed in properties.
<b>Extra Care</b>	Not currently in Allocations Policy	<p>To be eligible for Extra Care applicants must be:</p> <ul style="list-style-type: none"> <li>aged 55 or over with any partner aged 50 or over, depending on the accommodation and Housing Association. Age is the initial qualifying criteria, however, in exceptional circumstances flexibility will be considered</li> </ul>	The allocations were previously undertaken by the Extra Care allocations panel. However, to ensure that allocations are made in line with housing need/priority it has been decided that to ensure transparency these lettings will be undertaken in line with the Policy and processes of the Common Housing Register.

		<p>for designated units subject to the Registered Social Landlords individual policies.</p> <ul style="list-style-type: none"> <li>• eligible for housing as detailed in the Scheme and appear in an appropriate band as set out in the Scheme.</li> <li>• Complete a self-assessment application form which will enable a Community Care Assessment to be undertaken (which will identify both support and care needs).</li> </ul> <p>A list of these schemes can be seen in Appendix 9.</p> <p><b>Extra Care Refusals</b></p> <p>If an offer of accommodation is refused the vacancy will be offered to the next eligible Homeseeker(s) on the reserve list agreed by the Extra Care Allocations Panel.</p> <p><b>The Allocations Panel will undertake the following</b></p>	
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		<p><b>tasks</b></p> <p>New and existing cases will be updated and brought to the Extra Care Panel meetings. The Homeseekers information will be assessed and updated prior to the meeting.</p> <p>The Extra Care Panel will then consider the applications and identify individuals and their appropriateness for Extra Care.</p> <p>When the Homeseeker's housing and care needs cannot be met in an Extra Care environment, the Homeseeker will normally receive written notification from the Extra Care Panel outlining the reasons for the decision with suggestions for alternative options. Advice in the letter will be given on how to re-apply if circumstances alter.</p> <p>The Extra Care Panel can then discuss the application from all information that is available (for example, care needs</p>	
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		<p>assessment, application form, Occupational Therapists Reports, etc.) from this information the Extra Care Panel should be in a position to make an offer to the Homeseeker if a vacant flat is available.</p> <p>If a Homeseeker requires High level support in order to maintain a tenancy then an allocation of a place in Extra Care can only be offered if there is a vacancy with a support provider. If support is not available and the Homeseeker would be unable to maintain their tenancy without support then the Homeseeker will not be offered a tenancy however they will remain on an additional preference band on the Housing list.</p>	
<b>Direct Matching</b>	In addition there may be other circumstances where there are urgent operational or financial reasons to make a direct offer of housing outside of the band	<b>Direct Match / Sensitive Lettings</b>  <u>Direct Match Lettings</u>	Allows Housing Associations some flexibility in exceptional circumstances to make the best use of their housing stock.

	and date order criteria.	Whilst it is expected that the vast majority of general needs properties will be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a hard to let property to an applicant (e.g. Low demand area and short list has been exhausted). This will be done in exceptional circumstances and in consultation with the Council.	
<b>MAPPA (Multi Agency Public Protection Arrangements)</b>	Currently all applicants are assessed via banding for their needs. Applicant(s) are matched to the most suitable type of property subject to coming top of the band to which the property has been allocated and Probation checks have been carried out.	A high risk applicant assessment form will need to be completed and attached to the housing application by the Registered Social Landlords on all match/offer rejections for bypass reasons.	To implement reasons for any exclusion and give advice on all housing options.
<b>Domestic Abuse</b>	<b><i>1) Need to move on welfare grounds - Domestic abuse, extreme violence or extreme harassment.</i></b>  Applicants who need to move		This wording is clearer for applicants to understand and more appropriately reflects reduced preference.

	<p>immediately due to domestic abuse, extreme violence or extreme harassment will be awarded additional preference. This includes hate crime, disability, racial, homophobic or transgender harassment and will be awarded for exceptional circumstances where there is a high risk to the tenant or their family's safety if they remain in the property/area and they therefore require an immediate move.</p> <p>The assessment will be made by a senior officer within the Council and will be based on evidence provided by the customer and other appropriate statutory and voluntary agencies. This would include, the Police, Social Services, referrals by MARAC, Domestic Violence organisations and Lesbian and Gay support groups.</p> <p>For tenants of a Council or another Registered Social Housing Provider in another</p>	<p><b><i>1) Need to move on welfare grounds - Domestic abuse, extreme violence or extreme harassment.</i></b></p> <p>Applicants who need to move immediately due to domestic abuse, extreme violence or extreme harassment will be awarded additional preference. This includes hate crime, disability, racial, homophobic or transgender harassment and will be awarded for exceptional circumstances where there is a high risk to the tenant or their family's safety if they remain in the property/area and they therefore require an immediate move.</p> <p>The assessment will be made by a senior officer within the Council and will be based on evidence provided by the customer and other appropriate statutory and</p>	
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	<p>local authority area that apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.</p> <p>Where the Homeseeker's social circumstances affect their housing conditions (examples being serious neighbour nuisance, threat of violence from inside or outside of the home) the case will be passed to the Local Housing Panel. The Panel consists of a nominated representative from all partners with the delegated power to make a decision</p> <p>The Local Housing Panel will consider each case with any supporting information provided by the relevant agencies to ensure that the threat of domestic abuse, violence or harassment would</p>	<p>voluntary agencies. This would include, the Police, Social Services, referrals by MARAC, Domestic Violence organisations and Lesbian and Gay support groups.</p> <p>For tenants of a Council or another Registered Social Housing Provider in another local authority area that apply to join the Blaenau Gwent Register the imminent risk of harm will be assessed taking into account the responsibility on that landlord to resolve the problem or transfer the tenant immediately. Therefore only in an absolutely exceptional case will reasonable preference be awarded.</p> <p>Where the Homeseeker's social circumstances affect their housing conditions (examples being serious neighbour nuisance, threat of violence from inside or</p>	
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	<p>be significantly reduced via rehousing. The Panel will decide whether priority should be awarded based upon the severity of the case and the urgency of the need for rehousing.</p> <p>The Panel will also recommend where the Homeseeker should be rehoused in order to resolve the urgent circumstances.</p>	<p>outside of the home) the case will be passed to the Local Housing Panel. The Panel consists of a nominated representative from all partners with the delegated power to make a decision</p> <p>The Local Housing Panel will consider each case with any supporting information provided by the relevant agencies to ensure that the threat of domestic abuse, violence or harassment would be significantly reduced via rehousing. The Panel will decide whether priority should be awarded based upon the severity of the case and the urgency of the need for rehousing.</p> <p>The Panel will also recommend where the Homeseeker should be rehoused in order to resolve the urgent circumstances.</p>	
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		<p>The Registered Social Landlords reserve the right to refuse to make an offer of accommodation. Such cases are expected to be rare, and as such senior officers from the relevant partners are likely to be involved in such decisions. Such cases will be referred to the Local Housing Panel for in-depth discussion with the aim of reaching a consensus view.</p>	
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## BLAENAU GWENT COUNTY BOROUGH COUNCIL: REVISED HOUSING ALLOCATIONS SCHEME EQUALITIES IMPACT ASSESSMENT (EQIA) NOVEMBER 2019

Date approved	
Approved by:	<i>Add approval e.g. Portfolio Holder, cabinet, Full Council</i>
Next Review Date:	2021

### Background

Under Part 1 of the Housing Act Wales 2012 every Local Authority is required to have an Social Housing Allocation Scheme, the purpose of which is to determine priorities in allocating housing and to set out the procedures to be followed. The Allocation Scheme determines individual priority for housing. Blaenau Gwent's Housing Allocation Scheme was last reviewed in 2017 and is now being reviewed in line with legislative guidance.

The Policy has been subject to a period of consultation during October 2019 and has been finalised in the light of the consultation responses. As part of the consultation every relevant community group in Blaenau Gwent were made aware of the Consultation document and their opportunity to respond. This included specialist organisations working with groups and individuals owed a protected characteristic including organisations for disability, mental health, domestic abuse, older persons and race. All relevant public sector bodies were written to including Health and Social Services.

In addition staff members who work in the applications and allocations team were consulted with and their comments were used to shape the revised Policy.

Under the Equality Act 2010, Blaenau Gwent Council must have due regard to the need to:

- a) Eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by, or under the Act

- b) Advance equality of opportunity between those with a protected characteristic and those without
- c) Promote good relations between those with a protected characteristic and those without

The 'protected characteristics' referred to are: age; disability; gender reassignment; pregnancy and maternity; race; religion or belief; sex; sexual orientation. It also covers marriage and civil partnership with regard to eliminating discrimination. The equalities impact assessment set out below, considers the impact of the changes to the Allocation Policy on the protected groups and, where there is an impact, sets out the justification for continuing with the Policy change and any actions the Council will take to reduce any impact that has been identified.

Applicants wishing to join the Housing Register are typically on low incomes and many who are assessed as being in housing need are fully, or partially, benefit dependant. Many applicants will have to wait some time before securing an offer of social housing.

Many others without a statutory housing need have little prospect of being housed.

The revisions to the Allocation Policy aim to:

- a) Support local people most in need of housing
- b) Address the limited housing options available
- c) Ensure the Policy is transparent, is fair and is seen to be fair
- d) Contributes towards mixed and sustainable communities where people of different backgrounds and socio economic groups live side by side. In The Council's view this fosters better community relations.

### **What are the changes to the Blaenau Gwent Allocation Policy?**

The changes to the Policy are minor and the details are set out below. In summary the changes are:

- 1) **More priority to be given to people who have served in the armed forces (including families)** - The effective registration date for applicants who are existing armed forces personnel that are due to leave the armed forces

- 2) **Changes to the process when allocating adapted/accessible properties and Bungalows** - The Council wish to ensure that the Policy makes the best use of the adapted housing stock and adaptations that have already been installed in properties. When an adapted property becomes available to let, it is allocated to the person who needs that Level of property and who is in the highest band. There are also minor changes to how applicants with a disability will be assessed.
- 3) **Incorporating the Extra Care accommodation within the allocation scheme** - the Extra Care allocations panel previously undertook Allocations. However, to ensure that allocations are made in line with housing need/priority it has been decided that to ensure transparency these lettings will be undertaken in line with the Policy and processes of the Common Housing Register.
- 4) **Making direct match/sensitive lettings in exceptional circumstances** - The revised Policy allows Housing Associations some flexibility in exceptional circumstances to make the best use of their housing stock. The vast majority of general needs properties will continue to be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a hard to let property to an applicant (e.g. Low demand area and short list has been exhausted). This will be done in exceptional circumstances and in consultation with the Council.
- 5) **Implementing a high-risk form for MAPPA (Multi Agency Public Protection Arrangements) cases** - A high-risk applicant assessment form will be introduced and needs to be completed and attached to the housing application by the Registered Social Landlords on all match/offer rejections for bypass reasons. This will ensure transparency so that reasons for any exclusion are given.
- 6) **Making changes to the process when dealing with applicants that need to move immediately** – For applicants needing to move on welfare grounds such as domestic abuse, extreme violence or extreme harassment the Policy wording has been made clearer for applicants to understand and more appropriately reflects the Policy intention.
- 7) **Proposing to introduce text messaging to inform applicants of progression of their application**

### The EQIA assessment

This EQIA considers the changes to the Allocations Policy and assesses their impact on those applicants/households within the protected characteristic groups. The assessment considers whether any assessed impact is a positive, negative or neutral impact and sets out what steps Blaenau Gwent Council have taken or will take to reduce any impact identified.

The Council has been careful to build into the Allocation Policy discretion to consider exceptional circumstances.

### **Data used to assess the EQIA**

This assessment has been drawn up taking into account legislation and case law governing allocations. Regard has been had to the Welsh Government guidance on the allocation of housing, the Equality Act 2010 and case law on the operation of Section 149 of that act.

The Council has considered a wide range of relevant data:

Consideration has been given to existing data for those on the Housing Register by the protected groups. However, it is recognised that Blaenau Gwent does not yet have a comprehensive set of data for the households on the Housing Register and for those rehoused by each protected group. This is because a large number of applicants fail to complete the section of the application form that provides personal data.

Importantly, moving forward the Council is committed to obtaining better information and statistics for those on the Register and has been housed under the Policy. This will allow the Council to consider if those housed by each protected group is proportional to the proportion from each group on the Housing Register.

To ensure that Blaenau Gwent has better information to review the EQIA in the future the following actions will be taken:

- a) The telephone or personal application interview process will be amended to encourage more applicants to fully complete the relevant monitoring sections
- b) Officers will on contact with all applicants to ask any applicant who has not completed the relevant section information so they are able to complete it.
- c) Changes will be considered for the Blaenau Gwent Homes website home page of the on-line account for all applicants to show a message explaining the importance of providing the information.



- d) For existing applicants at the point all applicants are written to asking them if they wish to remain on the Housing Register they will be prompted to complete the personal data section

Based on the evidence available there is no reason to believe that protected groups are impacted negatively by the Policy or Policy changes. Data indicates that the following groups are already over-represented in the high priority bands on the Housing Register:

- Families with children
- Households with disabled or long-term health problems.
- Female headed households

The EQIA assessment is set out in the table below and is structured to consider:

- a) Each Individual protected group in the context of the changes; and  
b) The changes in the context of their impact on all of the protected groups

## The EQIA: Assessment of Impact and outcomes

## Part A – Consideration of each Individual protected group in the context of the changes

Protected characteristic	Potential issue where there may be a positive or negative impact	Impact Judgement + / -	Observations and Recommendations	Relevant comments or Action/s to reduce any impact
Age				
	<p>A customer must always be interviewed, and you can request an interview in person or by telephone by contacting the Housing Access Team:</p> <p>The impact could be that older people are less able to use an on-line application system therefore the decision to retain applications by phone or in person</p>	Negative	<p>There is no decision to move to on-line applications. An applicant is asked to complete an on-line initial housing advice application but applicants can be assisted to fill out the on-line form and are able to make an application in person or over the phone where this is not possible. After completing the on-line form all applicants are given either a telephone application interview or can be interviewed in Person at the Church Street Office.</p> <p>The Council's Housing Team can assist older people to fill out their initial housing advice application and</p> <p>There is no evidence from applicants or stakeholders that people are finding difficulty in applying for the Housing Register</p>	The Council's and Housing Association websites can be amended to inform applicants that help is available if they are having difficulty in using any of the online advice form
	There is evidence	Positive	The Housing Register is overwhelmingly made up of people under	None additional

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	nationally that younger people find it easier to access services online rather by filling out forms and may be disadvantaged by a system where access is by completing a paper form		35. Delivering the application system fully on-line in the future may be an advantage to younger people	
	Requiring an initial application to be made through an advice portal may disadvantage those applicants without internet access and these tend to be older applicants, or applicants most impacted by poverty	Negative	<p>Libraries have free public PC's. There are also free computer training sessions available in Blaenau Gwent.</p> <p>Information is available from the Housing Team on the availability of free local public internet access.</p> <p>There are numerous PCs in Council venues and venues of other public authorities.</p> <p>The office of National Statistics reported that In 2018, 90% of adults in the UK were recent internet users, up from 89% in 2017. 8.4% of adults had never used the internet in 2018, down from 9.2% in 2017. Virtually all adults aged 16 to 34 years were recent internet users (99%) in 2018, compared with 44% of adults aged 75 years and over</p>	There is sufficient internet access points and support available in Blaenau Gwent to help people without access to the internet.

Disability				
	What is the impact of the Policy on the housing prospects of applicants	Positive	<p>Blaenau Gwent's Allocations Policy actively promotes the needs of disabled groups by being designed to ensure that applicants with mobility problems are prioritised for accommodation that is suitable for them.</p> <p>Many applicants with a physical disability where their current housing circumstances impact on their disability will be prioritised for housing under the Allocation Policy</p> <p>Many applicants with a severe mental health condition and are homeless will be prioritised under the Policy through being awarded reasonable preference for being owed a statutory homelessness duty</p>	No additional comments
	How accessible is the application processes for applicants with a disability?	Positive	<p>The Blaenau Gwent Homes website has an accessibility section. Actions include:</p> <ul style="list-style-type: none"> <li>a) The website is W3C 1.0 compliant and dementia friendly</li> <li>b) Information on how to adjust type, font size, colour contrast and how to make the applicant's computer speak text aloud.</li> <li>c) Information for people who need to contact or talk to the Council in Welsh or another language other than English, or need a letter in audio.</li> </ul>	No additional comments
Transgender/ Gender				

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reassignment				
	Is there an impact of the Policy, or the changes, on transgender/gender reassignment applicants	Neutral	Applicants who have undergone gender reassignment – can apply to join the housing register and will qualify as long as they meet the eligibility and qualification criteria	There is no information on the Housing Register re applicants who are Transgender. Blaenau Gwent will more actively collect monitoring information for this group but has insufficient data at present.
Pregnancy and maternity				
	No further impact identified	Positive	<p>The majority of applicants on the register are female and applications from female single parents make up a large percentage of the housing register and a percentage will be pregnant. Pregnant women are more likely to have become homeless due to being excluded from a family home, or homeless due to domestic abuse, or homeless due to being unable to continue to afford the rent on a private rented property once they have given birth given the cost of childcare</p> <p>The homeless are given a high priority banding for housing under the Policy and therefore pregnant women as a groups are likely to be advantaged and not disadvantaged by the Policy</p>	No additional comments

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Race				
	Access via an advice portal may impact on applicants whose first language is not English		<p>The 'Blaenau Gwent Homes' website has information for people who need to contact or talk to the Council in Welsh or a language other than English.</p> <p>Low risk as if a person's command of English is particularly poor they are likely to have access to someone with interpretation skills; other less able people are likely to have access to professional support</p> <p>There is an increased likelihood of this potential negative impact amongst residents for whom English is not their first language, or who have learning impairments or severe mental health problems. The new Scheme has been written to be easier to understand. As is currently the case, housing application Officers can provide advice and assistance for people who may have difficulty understanding the policy</p>	
Religion / belief				
	Is there an impact of the Policy or the Policy changes on applicants with a religion or belief?	Neutral	It can be difficult to distinguish what are factors to do with a person's religion as opposed to their ethnic or cultural origins. The Council does not have data for the Housing Register and lettings.	Blaenau Gwent will place more effort on collecting the information in order to be able to assess any impact as the policy and the

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				EQIA is reviewed
Sexual orientation				
	Is there an impact for this protected group?	Neutral	There is insufficient information for this protected group	Blaenau Gwent will place more effort on collecting the information in order to be able to assess any impact as the policy and the EQIA is reviewed
Sex				
	Is there any potential gender bias in the Policy?	Negative	<p>Females make up the majority of the housing register. This is because women are likely to be the main carers and are more likely as a result to come under one of the reasonable preference categories for a statutory housing need. This is likely to continue.</p> <p>A large proportion of the register is made up of 'female headed' households. The changes will not impact on the proportions of female headed households owed a statutory housing need</p> <p>Married, civil partners and co-habiting couples, same sex couples, brothers and sisters who wish to live together, can make applications.</p>	The Council going forward will collect and monitor application and letting data to check that it is in proportion to those on the Housing Register to confirm whether or not there is

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				any gender bias.
General comments on impact and safeguards			<p>The Council is satisfied that the changes to the Policy are positive to many of the protected groups under the Equality Act and achieve an appropriate balance and if there is any negative impact on any group this is minimal.</p> <p>Although it can always be argued that any change that positively impacts on the chances of social housing for one or more protected group may have a negative impact on other protected groups seeking social housing the impact is not considered to be substantial.</p> <p>All applicants have a statutory right to seek a review of their housing application if they are dissatisfied with the Council's decision re how they have been assessed and the Band they have been awarded.</p> <p>The Policy also provides all applicants with the opportunity to submit reasons why they believe their case should be considered under the exceptional circumstances part of the Policy.</p>	
Part B Consideration of the specific changes in the context of their impact on all or several of the	All Groups/Several Groups	Impact positive or negative		



protected groups				
	<b>More priority to be given to people who have served in the armed forces including families and bereaved spouses or civil partners</b>	<p>Positive for some applicants owed a protected characteristic</p> <p>Neutral for others</p>	<p>To redress the disadvantage people who have served in the British Armed Forces often face when accessing social housing, the effective date of registration may be reset set to the date they joined the British Armed Forces in a number of set circumstances.</p> <p>This change will have a limited impact on protected groups. Leaving members of the armed forces already have priority under the scheme and the change increases the priority in a number of circumstances. It is estimated this may impact on no more than 10/15 lettings per year being allocated to leaving or former members of the armed forces</p> <p>A number of Leaving members of the armed forces may have to leave due to injury or disability caused by active service and the changes will advantage those who come under the definition of a disability for that protected characteristic.</p>	The Council will analyse the equality profile of households who will potentially be affected by the proposed changes
	<b>Changes to the process when allocating adapted/accessible properties and</b>	Positive	The Council wish to ensure that the Policy makes the best use of the adapted housing stock and adaptations that have already been installed in properties When an adapted property becomes available to let, it is allocated to the person who needs that Level of property and who is in the highest band. There are also minor changes to how	

	<b>Bungalows</b>		<p>applicants with a disability will be assessed.</p> <p>This change will advantage applicants owed a protected characteristic for having a disability. It will mean allocations of an already adapted property are able to be made to disabled applicants in need of the existing adaptations</p>	
	<b>Incorporating the Extra Care accommodation within the allocation scheme</b>	<p>Positive for the protected groups of age or disability</p> <p>Neutral for the other groups</p>	<p>The Extra Care allocations panel previously undertook allocations. However, to ensure that allocations are made in line with housing need/priority it has been decided that to ensure transparency these lettings will be undertaken in line with the Policy and processes of the Common Housing Register.</p> <p>This will ensure consistency in the assessment of applicants requiring extra care accommodation.</p> <p>It is positive to the protected groups for age and disability, as extra care is more likely to be required by older applicants and those with a mental or physical disability.</p>	
	<b>Making direct match/sensitive lettings in exceptional circumstances</b>	<p>Positive for the protected groups of sex, disability and sexual orientation</p> <p>Neutral for the other</p>	<p>The revised Policy allows Housing Associations some flexibility in exceptional circumstances to make the best use of their housing stock. The vast majority of general needs properties will continue to be allocated based on the proposed Policy, there are times when the Housing Association would like to directly match a hard to let property to an applicant (e.g. Low demand area and short list has been exhausted). This will be done in exceptional circumstances and in consultation with the Council.</p> <p>The change is mainly aimed at ensuring that low demand housing</p>	

		groups	<p>can be let through a process of direct lets. This will mean more social housing units are let and is positive to all groups owed a protected characteristic</p> <p>Where direct lets are made in sensitive circumstances this is often where an applicant may be a victim of domestic abuse or is being harassed or has complex needs. A direct sensitive let will allow the best match to be made depending on the circumstances and needs of a particular applicant. This is an advantage to a number of protected groups for disability, sex (women are more likely to be victims of domestic abuse)</p> <p>For the other protected groups making direct lets outside of the policy band and date order will have a minimal negative impact as these will only be made in exceptional circumstances and in consultation with the Council</p>	
	<b>Implementing a high-risk form for MAPPA (Multi Agency Public Protection Arrangements) cases</b>	<p>Positive for the disability protected group</p> <p>Neutral for the others</p>	<p>A high-risk applicant assessment form will be introduced and needs to be completed and attached to the housing application by the Registered Social Landlords on all match/offer rejections for bypass reasons. This will ensure transparency so that reasons for any exclusion are given</p> <p>Many MAPPA cases may fall under the criteria for the disability protected group where they may have complex mental health needs.</p> <p>By introducing a risk assessment form and procedure for bypassing cases this will ensure that fewer MAPPA cases are overlooked for social housing and provide transparency for how risk will be assessed and the level of support needed for an allocation and to ensure that a tenancy is sustainable once let.</p>	

	<b>Making changes to the process when dealing with applicants that need to move immediately</b>	<p>Positive for a number of protected groups</p> <p>Neutral for others</p>	<p>For applicants needing to move on welfare grounds such as domestic abuse, extreme violence or extreme harassment the Policy wording has been made clearer for applicants to understand and more appropriately reflects the Policy intention.</p> <p>This change will advantage people owed a protected characteristic who are:</p> <ul style="list-style-type: none"> <li>Escaping domestic violence</li> <li>Are victims of severe harassment or threats due to their sexuality or transgender</li> <li>Need to move due to their impact of their current housing on their physical or mental health</li> </ul>	
	<b>Proposing to introduce text messaging to inform applicants of progression of their application</b>	Positive for all protected groups	<p>Providing more information by text on the progress of an application is a benefit to all on the housing register including those that come under the protected groups listed in the Equality Act</p> <p>Some older people may not be able to use a mobile phone and this will be determined at the application interview so that they can be updated by letter. Text updates will not replace written communication on the outcome of applications or offers of accommodation.</p>	



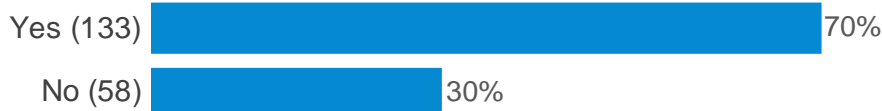


## Housing Policy Changes

This report was generated on 05/11/19, giving the results for 194 respondents.  
A filter of 'All Respondents' has been applied to the data.

The following charts are restricted to the top 12 codes. Lists are restricted to the most recent 100 rows.

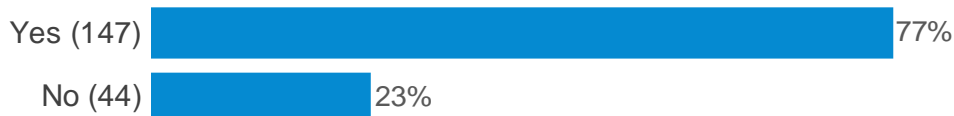
### Do you agree with giving more priority to the people who have served in the armed forces (including families)? ~~(Friday 18th October, 9am to 12pm)~~



### Do you agree with the change of process when allocating adapted/accessible properties?



### Do you agree with change of process when allocating bungalows?



### Do you agree with incorporating the Extra Care accommodation within the allocation scheme?



### Do you agree with direct match/sensitive lettings in exceptional circumstances?



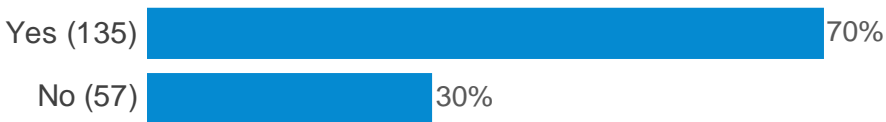
### Do you agree with implementing a high risk form for MAPPA (Multi Agency Public Protection Arrangements) cases?



**Do you agree with changes to the process when dealing with applicants that need to move immediately?**



**Would you like to receive text messaging in relation to progression of your application?**





# Agenda Item 9

Executive Committee and Council only

Date signed off by the Monitoring Officer: 14.01.20

Date signed off by the Section 151 Officer: 15.01.20

Committee: **Executive Committee**  
 Date of meeting: **29<sup>th</sup> January 2020**  
 Report Subject: **Local Housing Strategy Review**  
 Portfolio Holder: **Cllr D Davies, Executive Member Regeneration**  
 Report Submitted by: **Richard Crook, Corporate Director Regeneration and Community Service**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
19.11.19	26.11.19	13.01.20			09.12.19	29.01.20		

## 1. Purpose of the Report

The purpose of the report is to:

- 1.1 Inform Executive Committee of the requirement to update and revise the Local Housing Strategy (LHS) in line with Welsh Government guidance.
- 1.2 Seek Executive approval, to engage in developing a new local housing strategy.

## 2. Scope and Background

- 2.1 The scope of the report is to outline the key principles and requirements of the guidance from Welsh Government in preparing a Local Housing Strategy.
- 2.2 Further to this, the report highlights potential opportunity for collaborative working with other local authorities on a regional level.
- 2.3 Performance outcomes associated with the aims contained within the current Housing Strategy are outside the scope of this report and will be presented in a separate report at the end of the current financial year 19/20, following a members briefing in the New Year.

### Background

- 2.4 Within the revised guidance to local authorities in Wales, Welsh Government, state:

***“The primary purpose of a Local Housing Strategy is to articulate a locally agreed direction for addressing the housing requirements, problems and opportunities of an area, taking into account national policies and priorities..... to provide a strategic vision which is capable of directing the activity of partners”.***

- 2.5 Overall the LHS should set out a long term “housing vision” with housing related objectives and key target areas, based upon findings within the Local Housing Market Assessment.

- 2.6 It must be framed within the context of the overarching vision for the economic, environmental and social wellbeing of its area and inhabitants set out in the authority's corporate strategy.
- 2.7 The strategy should be framed for the next five year period, although the 'vision' should be longer term, within the context of the corporate strategy. The current BGCBC Housing Strategy has ran for the period 2014 – 2018. Following on from a strategy document an operational plan should set out how the objectives and targets in the strategy will be met.
- 2.8 Blaenau Gwent has a positive and proven working relationship with its Strategic housing partners across the region.
- 2.9 Recently and in recognition that the population's needs are not solely met within single county boundaries, the Gwent local authorities agreed to collectively formulate The Gwent Regional Homelessness Strategy. With Operational Plans at a local level; with the support of Welsh Government.
- 2.10 The Blaenau Gwent Housing Delivery Group has met to discuss the requirement to review the Blaenau Gwent Local Housing Strategy and consider the opportunity to pursue a regional approach, to which the officer group were supportive. Initial dialogue with housing officers within the region indicates that other authorities may be receptive to a regional approach.
- 2.11 Traditionally BGCBC has produced its own Local Housing Strategy internally, specific to the Local Authority,
- 2.12 By working collaboratively there are a number of opportunities:
- To identify and share of exemplars of good practice and further partnership working opportunities.
  - To comprehensively consider the population's needs and aspirations in a holistic manner.
  - To understand possible inward migration opportunities and population transience.
  - To identify and encourage residential development opportunities to meet the aspirations of the population.
  - Assit in leveraging investment and/or funding opportunities.
- 2.13 In order to undertake a Regional Housing Strategy the Gwent local authorities would need to establish an Officer Working Group, with representatives from each local authority, with an identified lead authority to commission the work.
- 2.14 The group would then consider the most effective method of developing a regional strategy. Consideration could be given to the appointment of a single, dedicated Regional Research Officer, hosted by the lead authority, or alternatively the commissioning of external consultants.

- 2.15 Following the development of a draft Regional Housing Strategy and public consultation the Officer Working Group would inform regional and local action plans.
3. **Options for Recommendation**  
Scrutiny have considered the report and support to a regional approach (option 2).
  - 3.1 Option 1  
  
Do nothing; the decision not to proceed with carrying out a Local Housing Strategy.
  - 3.2 Option 2 (Preferred option)  
  
Endorse BGCBC to work collaboratively with Gwent wide Local Authorities to investigate the possibility of producing a Regional Housing Strategy and local (Blaenau Gwent) action plan.
  - 3.3 Option 3  
  
BGCBC to develop a Blaenau Gwent Local Housing Strategy.
4. **Evidence of how does this topic supports the achievement of the Corporate Plan / Statutory Responsibilities / Blaenau Gwent Well-being Plan**
  - 4.1 **Corporate Plan Priorities**  
Economic Development and Regeneration is a priority within the 2018 to 2022 Corporate Plan, with a specific focus on working with private developers and RSL partners to provide a variety of homes.
  - 4.2 **Blaenau Gwent Well Being Plan**  
In taking a strategic view to meeting the aspirations of the demographic, thus providing safe, appropriate and affordable housing to local residents will underpin the five objectives outlined within the Wellbeing plan.
  - 4.3 **Local Development Plan**  
The emerging LDP has an annual target of 117 per annum with an aspirational target of 144. A RHS would align with the emerging LDP.
  - 4.4 Further to this housing is also a Regeneration Priority to encourage inward migration.
  - 4.5 **Regeneration**  
Further to the above Housing is an identified Regeneration priority and is also a significant contributor to delivering the emerging Growth and Investment Strategy.

## 5. Implications Against Each Option

- 5.1 Do nothing; The decision not to engage in developing a Local Housing Strategy could reduce the focus and strategic vision of new residential developments for Blaenau Gwent, our approach to housing regeneration more broadly and may also generate a negative response from stakeholders.
- 5.2 Option 2; The primary implication associated with this option is that there would not be a specific BGCBC housing strategy; a detailed local action plan would however be developed, providing both regional and local context.
- 5.3 Option 3; The opportunity for Blaenau Gwent LA to investigate the development of a Regional Housing Strategy with the Gwent LA partners would be lost; dropping the collective pool of knowledge and resources to develop a housing strategy.

### 5.4 ***Impact on Budget (short and long term impact)***

#### 5.5 Do Nothing Option

There would be no short term impact to the budget, if a strategy was not produced. Without a strategic vision the impact to the longer term budget is very difficult to estimate. The lack of a housing strategy may negatively impact efforts to secure internal/external funding or investment to support development. Further to this not having a housing strategy could result in an adhoc approach to development, which may occur in areas where there is no demonstrated need or demand.

- 5.6 If an external consultant or dedicated officer was employed to undertake the housing strategy review there would be a financial implication. A regional approach may offer economies of scale, compared to individual authority commissions.

#### 5.7 Indicative costs;

If a regional strategy was agreed and developed, a contribution circa £5k would be required per participating Local Authority.

A local strategy approach bespoke to BGCBC would cost circa £20k to undertake.

Internal funding would be required to meet these costs.

### 5.8 ***Risk including Mitigating Actions***

#### 5.9 Option 1 Do Nothing

The main risks associated with not developing a Local Housing Strategy are;

- Limited focus of a strategic housing vision for BGCBC.

- The risk would be mitigated in part by the Housing Delivery Group along with wider internal and external partner's taking a focus from the updated, Local Housing Market Assessment, the revised Local Development Plan and information collated from the Common Housing Register.

#### 5.10 Option 2 (Preferred option)

The main risk associated with option 2 are;

- A local focus to the strategy may become diluted. A mitigating factor could be to ensure that a local focus is developed within the Operational Plan, complementing a wider regional strategic overview.

#### 5.11 Option 3

The main risk associated with option 3 are;

- If a local housing strategy was devised without local authority partners in the wider Gwent area the opportunity of a cohesive vision at a cross boundary level would be lost. The risk would be mitigated through ensuring consideration was made to the regional context and further to this, through maintaining regional partnerships and initiatives.
- Potential opportunities at a regional level may be lost, eg. The potential for SMEs to work across defined areas.

#### 5.12 **Legal**

There are no legal implications associated with this report.

#### 5.13 **Human Resources**

Delivering agreed housing priorities including associated development, will require input from a range of officers within the Environment Directorate, including Housing Strategy, Planning Officers and Estates Officers.

Consideration to undertake the strategy review in house, however, the work involved, associated timeline and resource commitment may negatively impact broader housing strategy activity and delivery.

### 6. **Supporting Evidence**

#### 6.1 **Performance Information and Data**

Following the formulation of a LHS, the outcomes and targets held within local operational plans will be reported annually to RCSLT/CLT/Scrutiny and Executive for information. This does include the target of encouraging inward migration to Blaenau Gwent.

#### 6.2 **Expected outcome for the public**

To effectively forward plan and ensure that resources are in place to meet future housing need and demand. Ensuring sustainable communities are maintained within Blaenau Gwent, by affording the opportunity of access to a

variety of homes within the borough, through a strategic vision and partnership working with a variety of stakeholders.

**6.3 *Involvement (consultation, engagement, participation)***

The development of a RHS involves input and data from a range of internal and external partners including; regeneration, housing solutions, planning policy, estates and wider Gwent partners. Officers have made initial contact with Gwent partners to ascertain interest in possible collaboration.

**6.4 *Thinking for the Long term (forward planning)***

The primary purpose of the document is to ensure a future strategic housing vision is formulated.

**6.5 *Preventative focus***

The primary purpose of the document is to ensure future housing demand is met through a variety of options which is appropriate for Blaenau Gwent as a whole.

**6.6 *Collaboration / partnership working***

To ensure the collaboration of effective partnerships working with stakeholders and Gwent wide partners. Safeguarding the demand for a variety of homes is met by ensuring partnership working is maintained.

**6.7 *Integration(across service areas)***

Delivery of the recommendations and bringing development forward, requires close integrated working e.g. regeneration, planning, estates, public protection etc.

**6.8 *EqlA(screening and identifying if full impact assessment is needed)***

The report is open to all and is fully inclusive.

**7. *Monitoring Arrangements***

**7.1** There is a number of agreed housing KPIs which are reported to Welsh Government. This data will be reported annually to RCSLT/CLT/Scrutiny and Executive for information.

**Background Documents**

<https://gweddill.gov.wales/topics/housing-and-regeneration/publications/localhouseguideforlase/index9ed2.html?lang=en>

# Agenda Item 10

*Executive Committee and Council only*

Date signed off by the Monitoring Officer: 14.01.20

Date signed off by the Section 151 Officer: 15.01.20

Committee: **Executive**  
Date of meeting: **29<sup>th</sup> January 2020**  
Report Subject: **Progress report - Social Services Assisted Transport provision**  
Portfolio Holder: **Councillor John Mason, Executive Member for Social Services**  
Report Submitted by: **Damien McCann, Corporate Director of Social Services**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
31/10/19	12/11/19 & 17/12/19	13.01.20			13.01.20	29.01.20		

## 1. Purpose of the Report

### 1.1 The purpose of the report will be to provide:

- a. An update on the outcome of assessments undertaken during 2019, for eligibility to Assisted Transport.
- b. Outline further options to determine the future Assisted Transport model including the potential consideration to implement a charging mechanism for those who continue to access the in house transport provision.

## 2. Scope and Background

### 2.1 In January 2019 the Social Services Assisted Transport policy was approved by Council. This included a recommendation that the Social Services department progress the phased assessments of citizens currently accessing the Community Options transport.

### 2.2 In addition, members requested that the department return the report in the Autumn of 2019 with an update of the outcomes of the assessments including information on:

- a. Details of any citizens who have ceased attending Community Options as a direct result of the implementation of the policy;
- b. Options and costings in relation to the potential for the Local Authority to develop charges for those citizens who are eligible to continue to access transport;
- c. Details of the proposed future model of Assisted Transport in Blaenau Gwent.

### 2.3 At the time of the meeting in January 2019 Social Services transported approximately 190 citizens 'to and from' Community Options (Day Services) venues. On average this equated to approximately 120 citizens each day. The current arrangements for providing transport meant that none of the

citizens transported paid towards the costs of the Community Options transport.

2.4 Members were also informed that the budget allocation for transport within Community Options Service was £366,000. Prior to the conclusion of the eligibility assessments, the service identified efficiencies from April 2019 as demand had reduced and therefore the service relinquished 1 vehicle. This had resulted in voluntary redundancy of a driver and the reduction in management arrangements through the redundancy of the Passenger Service Officer.

2.5 However, the costs of providing assisted transport remains high, the budget allocation for transport within Community Options Service is currently £321,235 (gross) inclusive of staff costs.

• Direct vehicle Costs	£135,590
• Employee Costs	£173,805
• Total	£309,395

2.6 The remaining £11,840 is budget allocation for vehicle licencing/ maintenance/ supplies and services.

2.7 During the past 8 months staff from across Adult Services Care Management Teams and our Community Options Service has undertaken all of the 149 reviews with citizens and where appropriate their carers. Section 7 below – (supporting evidence), provides a comprehensive analysis of the outcomes of the reviews.

2.8 As a reminder to Executive, the assessments were based on the following principals as set out in the Assisted Transport Policy which has recently been updated to reflect current legislation in line with the Social Services and Wellbeing (Wales) Act 2014, and also to introduce a process to be undertaken if a citizen disagrees with the findings of the assessment.

- a. Assisted transport will only be provided to meet an assessed need, and where there are no other reasonable means for accessing the service.
- b. The principle of promoting individual's independence should be the starting point of any transport requirement.
- c. People who qualify for concessionary travel, or have access to DLA/PIP (benefits provided to support mobility costs) which includes a transport element, have a Motability car or their own car should use these to travel to and from Community Options facilities.
- d. For those passengers deemed ineligible, but who are unable to access public transport or use other reasonable means to attend



Community Options, a charge **may** be made for using the Local Authority assisted transport

- 2.9 As a direct result of these assessments 87 citizens are no longer accessing our transport and on conclusion of the reviews, a further 16 will cease to access from January 2020 as alternative options will have been sourced.
- 2.10 Of those assessments that have been completed, we can report that only 1 citizen who was identified as no longer eligible for transport, has chosen to withdraw from the Community Options Service. This individual had previously attended Community Options Outreach Service (Vision House) 1 day per week. Alternative taxi share options along with a travel buddie (Community Support Worker) were offered as a potential solution but the Service User and their family refused any alternative solutions.
- 2.11 Of the 149 assessments completed to date, we have received 6 objections to the policy following the social work visit and information provided to them that they would be ineligible to receive assisted transport in line with the policy. However, it is pleasing to note that following further clarification and a visit from the Community Options Manager where the policy was discussed in greater detail in the main concerns have been addressed and 5 of the outstanding cases are being considered via the exceptional circumstances clause within the policy and 1 has chosen to purchase a mobility vehicle.
- 2.12 **Public Transport/ Taxi costs**
- 2.13 For the purpose of this report potential charges for accessing Community Options are compared to the cost of citizens accessing public transport although it is recognised that the citizens accessing Community Options are utilising their bus passes and therefore are not paying the cost of the journey.
- 2.14 The costings below have been provided via Stagecoach (prices correct as of 10<sup>th</sup> October 2019). It is suggested that should members not wish to proceed with a full cost recovery model, then a cost model could be based on comparable costs of similar public transport journeys.
- 2.15 **Stagecoach Travel (table 1)**

<b>Journey / Route From</b>	<b>To</b>	<b>Single</b>	<b>Return</b>
Abertillery High St	Pond Road Nantyglo	£2.30	£4.00
Ebbw Vale Bus Station	Pond Road Nantyglo	£2.90	£4.90
Tredegar Bus Station	Pond Road Nantyglo	£4.80	£6.50
Tredegar Bus Station	Ebbw Vale Festival Park	£5.30	£8.70 Dayrider
Swffryd	Ebbw Vale Festival Park	£5.40	£7.00 Dayrider

Swffryd	Brynmawr	£4.80	£5.10
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- 2.16 **The table 2 below illustrates the average cost of taxi's as paid by citizens accessing a taxi to attend at present:**

<b>Journey / Route From</b>	<b>To</b>		<b>Return</b>
Abertillery	Brynmawr	Seated passenger	£20.00
Abertillery	Pond Road Nantyglo	Based on 1 wheelchair user-unable to transfer	£30.00
Ebbw Vale	Nantyglo	Based on 4 sharing	£8.00 per person

- 2.17 The Assisted Transport Policy remains a priority in not only ensuring that the service is efficient but also in ensuring that our support is provided in line with the key themes of the Social Services and Well-being (Wales) Act 2014.
- 2.18 The Assisted Transport Policy has a key principle that the decision to provide assisted transport should be based on assessed needs, the balance of personal risks, achieving personal outcomes and on promoting citizens' independence.
- 2.19 In addition, the Transport Policy suggests that those citizens who are ineligible continue to access transport and would be asked to pay a charge towards the cost of travelling on Social Services assisted transport.
- 2.20 For the purpose of the report members have previously been informed that we currently operate Assisted Transport on Section 19 and 22 of the Transport Act 1985. These permits cover options for charging. The regulations stipulate that when charging, no profit can be made however we are able to recover the costs of running the vehicle inclusive of any driver/escort salary – full details are available at [www.gov.uk/government/publications/section19](http://www.gov.uk/government/publications/section19).
- 2.21 The Council's Income Policy 2014 recommends full cost recovery. The total cost of a service (defined as the level of activity at which a charge is made) should include all expenditure required to deliver the service, including all central overheads. The Council will seek to achieve full cost recovery where appropriate unless there are legal, strategic or contractual reasons for not doing so whilst having regard to current market value and benchmarking.
- 2.22 Based on the numbers of citizens who are currently eligible for transport as outlined in section 7 below – a total of 24 will continue to access assisted transport free of charge and a further 13 who are deemed ineligible could be charged for transport due to exceptional circumstances. The new model also includes a recommendation to reduce the current fleet from 8 to 4 vehicles, - and reduce the staffing structure from 8 to 5 drivers with 2 escorts which will enable the department to achieve an approximate saving of £116,563

2.23 The proposed new model is based on 2019/20 and the figures are;

- Direct vehicle Costs           £79,597
- Employee costs               £115,075
- Additional costs               £10,000

Total £204,672

2.24 The approximate £10,000 additional costs as indicated above are made up of vehicle licencing/ maintenance/ supplies and services.

2.25 The table below demonstrates a full cost recovery model based on 2 vehicles which will support the transportation of the 18 paying passengers per day, based on the proposed future model however the full cost recovery model does not include the cost of collecting the charge or collecting any unpaid charges.

Full costs recovery across service	Based on 18 paying passengers per day / across service (250 days per year)	
Cost of Vehicles (2)	£33,758	Full cost recovery:  Daily cost per passenger - £18.26 (£ 9.13 per journey)
Staff costs	£48,390	
Total	£82,148	
Average weekly cost to pay:	£ per week 2 days 3 days 4 days	£36.52 £54.78 £73.04

2.26 The 4 vehicles can potentially provide a total of 48 seats per day however seat numbers are reduced where wheelchairs are needed to be accommodated.

2.27 Members have been previously informed that in the event of agreement being made for any of the above charges being introduced, the department will need to implement a mechanism for collecting the income. This could include invoicing service users. A system will also need to be put in place to collect unpaid debts and pursue non-payments. Additional digital mechanisms for collecting the payment will also be explored including potential to collect the charge alongside any current invoicing for domiciliary care / community options invoices, online payments etc., pre-paid cards etc.

2.28 In the event of any of the above charges being imposed, colleagues in the Corporate Finance department have confirmed that the preference would be to collect the income as an “upfront fee” either at pick up/day care facility, or by utilising all existing payment options, online payments etc. Upfront payments will negate the need to produce invoices, or establish an account debt monitoring process.

Members are asked to consider the content of this report and progress to the recommendations below.

**3. Options for Recommendation:**

3.1 The report was considered by the Social Services Scrutiny meeting on 13<sup>th</sup> January and the Scrutiny Committee agreed to recommend an alternative option, as detailed below (Option 3).

3.2 **Option 1** – Executive note the progress made in assessing the needs of citizens in line with the Assisted Transport Policy including the outcomes of the assessments. **Plus,** Executive recommend that only those citizens who remain eligible for assisted transport continue to receive support **free of charge**. And that those citizens who are deemed **ineligible** but have **exceptional circumstance** continue to receive support but at a charge **based on a full cost recovery model**. The full cost recovery charges will be based on the configuration of transport required to deliver the future model of Community Options services.

3.3 **Option 2** - Executive note the progress made in assessing the needs of citizens in line with the Assisted Transport Policy including the outcomes of the assessments. **Plus,** Executive recommend that only those citizens who remain eligible for assisted transport continue to receive support **free of charge**. And that those citizens who are deemed **ineligible** but have **exceptional circumstance** continue to receive support but at a charge based on similar costs illustrated earlier in the report reflecting public transport rates. The charges will be based on the future configuration of transport required to deliver the future model of Community Options services.

3.4 **Option 1** is the preferred option for direction of travel if the service is to be sustainable moving forward however further work to ascertain the actual charges based on the reconfiguration of the service including review of demand and capacity.

3.5 **Option 3** Community Options revert back to the previous situation (i.e. the status quo prior to the implementation of the Assisted Transport Policy in January 19) and that all citizens accessing Community Options who are assessed as requiring transport, are offered Local Authority Community Options Transport, free at the point of contact.

**4 Evidence of how does this topic supports the achievement of the Corporate Plan / Statutory Responsibilities / Blaenau Gwent Well-being Plan**

**4.1 Expected outcome for the public**

Citizens of Blaenau Gwent have been appropriately assessed in line with the policy (adopted in January 2019) since February 2019. The assessments have been undertaken by Social Workers / Support Workers independently

of the Community Options staff team using the principles of Social Services and Wellbeing (Wales) Act 2014.

#### **4.2 Involvement (consultation, engagement, participation)**

Consultation, engagement and participation are evidenced throughout this report and attached documents. To capture citizen's thoughts on becoming more independent in accessing services the department approached a number of citizens who have chosen to taxi share their comments were:

#### **4.3 Quotations from independent travellers-**

- *I enjoy coming to Lake View in the taxi, I can come when I want to and go home when I want to.*
- *I can come in late and get up later – better, better.*
- *I love it, I come in with my booty love.*
- *I love it, it's warmer and quicker, better than the bus.*
- *I get home earlier, it's better than the bus.*
- *I like coming in the taxi it's better than the bus, I haven't got to travel all over the place. I get on with the taxi driver, we have a laugh and a joke.*
- *I don't think I should have to pay for my taxi.*

#### **4.4 Thinking for the Long term (forward planning)**

The overriding principles within this report focus on maximising and promoting independence wherever possible. It ensures that where transport is required by citizens, that the service is sustainable and cost effective to meet the future model of Community Options.

#### **4.5 Preventative focus**

The Social Services and Wellbeing (Wales) Act 2014 clearly identifies that Local Authorities should take a preventative approach to the delivery of traditional service provision. It supports the principles of the department undertaking a strengths based approach and that where possible citizens are supported to access their own strengths to meet their care and support needs e.g. utilise their own Motability vehicles etc. or are supported to develop personal skills through travel training to promote their own independent travel.

#### **4.6 Collaboration / partnership working**

A partnership approach has been developed within the consultation process with the inclusion of third sector organisations, advocacy groups etc.

#### **4.7 Integration (across service areas)**

The development of the policy has been as a result of discussions across colleagues from health, local authorities and the third sector.

## **5 Implications Against Each Option**

### **5.1 Impact on Budget (short and long term impact)**

5.2 There is a significant cost in providing assisted transport to all citizens who access Community Options and the implementation of the Assisted Transport Policy has enabled Community Options Service to redesign the model of transport required (see 2.13 above). It is anticipated that the reduction in fleet and staffing from 8 to 4 vehicles will result in a saving of £116,563. Additional income will also be achieved should members decide to support the recommendation to achieve full cost recovery wherever possible. It is important to note however that if this is the preferred option then some citizen may be charged a fee at a rate higher than the equivalent public transport cost.

5.3 As indicated within the report; in the event of any charges imposed for travelling on assisted transport, the department will need to implement a cost effective mechanism for collecting the charge.

### **5.4 Legal**

There are no identified legal concerns in relation to this report and recommendations. The recommendations are in line with the Social Services and Wellbeing (Wales) Act 2014.

5.5 The Social Services and Well-being (Wales) Act 2014 is the legislative framework for Social Services to identify an individual's outcomes and assess their need for care and support services. The Local Authority does not have a statutory duty to provide transport, but will have a duty to meet that need if the need cannot be met by the person's own resources or community resources.

### **5.6 Human Resources**

5.7 The implementation of this policy has resulted in a reduction in demand for transport as citizens' access their own transport or use public transport. This will result in a reduction of staff required to provide transport and full staff consultation on the implementation and consequence of the policy has started. Both Organisational Development and Trade Unions will form part of any potential downsizing of the work force and potential redundancy situation.

## **6 Risk including Mitigating Actions**

6.1 Risks identified in the previous report include the risk that vulnerable citizens may not choose to attend Community Options due to the charge and that this may increase their vulnerability at home. However, of the 149 assessments completed to date only one citizen has ceased attendance, their previous attendance was for one day per week only. The Community Care Team is supporting this individual and it is not felt that vulnerabilities have increased as a result of their decision to not attend.

- 6.2 Assessments completed have been strength based assessment 'what matters' conversations utilising on their personal resources including their access to their own Motability vehicle, bus pass or benefit entitlement. As a department we have and continue to support citizens with 'transport training' which promotes safe travel to and from the venues.
- 6.3 A further risk in implementing any charging for transport is that of potential non-payment of charges and accruing of debt to the Local Authority. This risk will be monitored by colleagues on the Corporate Resources Team and debts recovered as per current arrangements.

## 7 **Supporting Evidence**

- 7.1 As stated in 2.7 above during the past 9 months 149 assessments have been undertaken. At the time of Members approving the Assisted Transport policy there were approximately 190 citizens accessing the service via our transport but this number has reduced during the time of the assessments as citizens needs have changed, some citizens are unable to attend due to declining health, some have moved into Care Homes and sadly some attendees have passed away. All new referrals to Community Options have been assessed via the policy and therefore transport demand has reduced.

- 7.2 The outcome of these 149 social work assessments are as illustrated below:

7.3 A. Eligible for transport:

Following the assessments:

- ✓ 24 citizens have been assessed as eligible for assisted transport; this figure mainly consists of our older citizens and those citizens living with Dementia.

7.4 B. Ineligible for transport:

Following the assessments:

- ✓ 87 citizens are now supported by family/friends/carers to attend Community Options and have begun to access services through alternative means of transport (Motability vehicles/ personal cars etc.). One of the outcomes of this has been that we are seeing an increase in families/carers taking advantage of the flexible opening and closing times within the Community Options provision and this is having a positive outcome for all parties. Of these:
  - 13- are using their own vehicle
  - 3 - access via a taxi (sole occupancy)
  - 15 - access via a shared taxi arrangement
  - 12 - citizens are accessing services via stagecoach travel
  - 43 - access with transport provided by their care provider
  - 1- is transport funded via health

- 7.5 ✓ 11 tenants of the BG Supported Living – have received an assessment and deemed as not being eligible for Assisted Transport and the service is arranging for the transportation of these citizens as part of the scheme rota as the tenants have access to Motability vehicles.
- 7.6 ✓ 10 citizens have been assessed as being ineligible for transport but have been assessed as requiring additional support as defined within the policy (exceptional circumstances category). This means that they have been assessed due to their complexity of need, and inability to access public transport or use other reasonable means. These assessments are being reviewed via a formal process, independent of the original decision maker to clarify that they meet the criteria for exceptional circumstances.
- 7.7 ✓ 8 citizens have been assessed as being ineligible for transport and staff are continuing to work with them to identify potential taxi / public transport options although this is proving challenging due to the low availability of taxi services who are able to support people with mobility issues or require wheelchair access.
- 7.8 ✓ 5 Citizens have received a review under the exceptional circumstances process which has determined that exceptional circumstances do not apply. Therefore, they are not eligible to access Social Services Assisted Transport
- 7.9 ✓ 3 citizens currently access the Community Options Community Support provision whereby staff support in staff cars due to their assessment of needs.
- 7.10 ✓ 1 person has decided not to continue to attend Community options

- 8. ***EqlA (screening and identifying if full impact assessment is needed)***  
A full equalities impact assessment has been undertaken and can be found in **Appendix 2**.

## 9. **Monitoring Arrangements**

- 9.1 The impact of the new policy is monitored via an overarching Assisted Transport Project Board and the Adult Services Department Management Team. It is recommended that regular progress reports will be presented the Social Service Scrutiny Committee. In addition, the Social Services Assisted Transport Policy will be subject to an annual review.

### **Background Documents / Electronic Links**

- Appendix 1 Transport Policy
- Appendix 2 EqlA Assisted Transport Policy





Cyngor Bwrdeisdref Sirol

**Blaenau Gwent**

County Borough Council

## **Assisted Transport Policy for Adult Social Services**

**SOCIAL  
SERVICES  
DIRECTORATE**

<b>Version number:</b>	2	<b>Date Written:</b>	Updated October 2019
		<b>Date Approved:</b>	
<b>Status:</b>	Approved	<b>Date of Issue:</b>	
		<b>Review Date:</b>	November 2020
<b>Approved by:</b>			
<b>Author:</b>	Mark Morris		
<b>Supporting documents:</b>			
<b>Equality Impact Assessment</b>	<b>Approved by:</b>		
	<b>Date:</b>		
<b>Change history</b>	<b>Dates reviewed:</b>		<b>Outcome of review:</b>
	Version 1 August 2018		Updated

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## **1. Introduction**

This policy has been developed to provide a strategic consistent approach to delivering transport and clearly outlines the framework through which assisted transport is provided.

The Council is committed to promoting independence across all areas of service provision and seeks to ensure as many people live as independently as possible within their own communities and continue to travel as independently as possible.

For some vulnerable adults who access services provided by Adult Social Services the Council will continue to provide specialist assisted transport.

## **2. Legal Framework**

The Social Service and Wellbeing (Wales) Act 2014 (The Act) provides a legal framework for improving the wellbeing of people who need care and support, and for transforming Social Services in Wales.

The Act gives people a stronger voice and real control over the support they need to remove barriers to their wellbeing. It focusses on earlier intervention to prevent needs becoming critical, and promotes investment of resources in the short term, to give best value to the public purse overall.

The Act also demands a change in culture to help individuals achieve their wellbeing outcomes - identifying “what matters to individuals?” and secondly by maximising an individual’s own support networks and access to community and voluntary resources.

## **3. Assessing Eligibility**

The eligibility decision flows naturally from the assessment process. All five elements must be taken into account in the assessment, and from this a judgement is reached about whether the person has eligible needs.

If the identified need(s) can only be met through a care and support plan or a support plan the need will be eligible.

An individual has an eligible need for care and support if an assessment establishes that overcoming barriers to achieving their personal outcomes requires the local authority to prepare and ensure the delivery of a care and support plan, or a support plan for carers.

## **4. Principles of Providing Assisted Transport**

The overriding principle is that the decision to provide assisted transport should be based on assessed needs, risks and outcomes and on promoting independence. The requirements for transport will be based on the following principles:

- Assisted transport will only be provided to meet an assessed need and there are no other means for accessing the service.
- The principle of promoting individuals independence should be the starting point of any transport requirement.
- People who qualify for concessionary travel or have access to DLA/PIP which includes a transport element, have a mobility car or their own car should use these to travel to and from Community Options facilities.
- For those who are unable to either access public transport, or any of the other means illustrated above to attend Community Options facilities they may be charged for using assisted transport.

## **5. Transport Criteria**

Based on the above principles the following guidance will be applied when identifying the need for Blaenau Gwent County Borough Council assisted transport.

- Where a person is able to walk, use assisted mobility (motorised scooter, wheelchair/aids) or public transport either independently or with support from family, friends, a support worker or volunteer to get to a local day opportunity venue, assisted transport will not be provided.
- Where a person receives a benefit to facilitate their mobility needs (e.g. Disability Living Allowance where this includes a transport element), this should be fully utilised to support their transport needs to and from day opportunities.
- Where a person has a private car including motability car, it will be expected that this is used to access Community Options. Where a person uses their own vehicle or motability car no petrol costs or other expenses will be considered.

- Where a person contributes to a shared vehicle, this should be used to meet their assessed needs.
- Where a person chooses to attend community activities or college outside of their identified locality and a local service is available to meet their assessed need, the additional cost of any transport considered necessary will be met by the person.
- Part of the person's annual assessment and review will identify their potential to develop road safety and orientation skills so that they can travel independently to and from day opportunities. Arrangements will be made to ensure appropriate levels of support are provided to those who are identified as able to use public transport; this will include opportunities for travel training and completion of a comprehensive risk assessment.

## **6. Arranging Assisted Transport**

- If a person accessing services is eligible to receive assisted transport a risk assessment will be carried out with the individual to understand their own requirements and ensure the safety of all users of the service.
- Continuation of assisted transport provision will be reviewed by Adult Social Care staff through care and support reviews. Any review and proposed removal of transport will be discussed with the individuals and their family or carers where necessary. If appropriate a time limited transition period will be agreed so that alternative arrangements can be made.
- If the individual does not travel on the assisted transport provided for one month, they are contacted and asked to confirm if transport is still required. If the individual does not respond, then the transport provision will be cancelled.

## **7. Exceptional Circumstances**

There may occasionally be circumstances where following an assessment, an individual is deemed ineligible to access assisted transport, and in addition has no alternative means of accessing services. These will be considered on an individual basis as part of our exceptional circumstances criteria (see apex 1 below). And will be considered via a panel consisting of independent Senior Managers. The panel will be arranged in 7 days of the assessment being undertaken, and outcomes determined. The Local Authority Care Manager will be responsible for ensuring the views and needs of the citizen are represented at the panel. In these instances, the Local Authority may levy a charge relative to the support required and travel distance.

## **8. Complaints**

If following the exceptional circumstances decision, a citizen remains unhappy with the outcome then they or their carer will have the opportunity to appeal the decision made. Please refer to the Department's factsheet "How to appeal a decision" in line with the social services complaints procedure. The application to review the decision should be made within 10 working days to their social worker following notification from Social Services.



Cyngor Bwrdeisdref Sirol

**Blaenau Gwent**

County Borough Council

**Assisted  
Transport  
Policy for  
Adult Social  
Services**

**Exceptional  
Circumstances**

**Decision Form for Exceptional Circumstances Review Panel**  
**(Transport Policy) November 19**

This form is to be used to document a request and outcome of applications to access assisted transport via exceptional circumstances. For full details refer to the policy as revised November 19.

The main principles of the policy include:

- Assisted transport will only be provided to meet an assessed need and there are no other means for accessing the service.
- The principle of promoting individual's independence should be the starting point of any transport requirement.
- People who qualify for concessionary travel or have access to DLA/PIP which includes a transport element, have a mobility car or their own car should use these to travel to and from Community Options facilities.
- For those who are unable to either access public transport, or any of the other means illustrated above to attend Community Options facilities they may be charged for using assisted transport. *(this section is yet to be enacted as of November 19)*

<b>A. Details of assessment (to be completed by assessor prior to panel):</b>				
1	Name of citizen			
2	Establishment attending:			
3	Attendance:			
	Number of days:	5	Which days attending:	
4	WCCIS number:		5. Date of Panel:	13/11/19
6	Name of social worker assessing: - Anne James / Sarah Savage		7. Date of assessment:	
<b>B. Outcome of assessment (to be completed by assessor prior to panel):</b>				



8	Is the citizen eligible for transport:	<b>YES</b>	<b>NO</b>
	<b>If no what criteria has been used:</b> <span style="float: right;"><b>Please circle</b></span>		
8a	Person has access to a Motability vehicle?	Yes / No	
8b	Person is able to use public transport / has concessionary travel pass?	Yes/ No	
8c	Person has the higher rate of mobility (DLA/ PIP) and can access a taxi / public transport to attend?	Yes/ <del>No</del>	
	If any of the answers to questions 8 a, b or c are YES then <u>citizen is not eligible to assisted transport</u> <b>unless</b> exceptional circumstances are identified – please explain below on what grounds exceptional circumstances have been identified e.g. citizen cannot travel unaccompanied due to health needs/ unable to access Motability vehicle etc...		
<b>B Recommendation of panel – to be completed at panel:</b>			
9a	Panel recommends that citizen is eligible for assisted transport	Yes/ No	
9b	Panel recommends that citizen is NOT eligible for assisted transport	Yes / No	

<b>Authorised by panel members:</b>	Signed:
	Signed:
	Signed:
<b>Confirmation as to who is notifying citizen of outcome of panel:</b>	

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# Equality Impact Assessment Screening Form

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## Equality Analysis Screening Questionnaire

Corporate Services & Strategy

10/25/2019

*Please use this form and guidance notes to determine the potential and expected level of impact on protected groups. The outcome of this brief analysis will determine whether you are required to undertake a full Equality Impact Assessment and will guide you on the expected timeframe for EIA completion.*

*Please contact Blaenau Gwent's Equalities Officer for further guidance and support [sarah.keefe@blaenau-gwent.gov.uk](mailto:sarah.keefe@blaenau-gwent.gov.uk).*

## **Equality Impact Assessment Screening Process**

Equality Impact Assessments are in place to ensure that we do not discriminate and/or disadvantage the members of our community who are part of a protected characteristic as outlined in the Equality Act (2010). By taking a wider view of the protected characteristics, Blaenau Gwent Council hopes to encourage a more holistic approach to the needs of all communities in designing and delivering their services. The protected characteristics listed under the Equalities Act (2010) are: -

1. Age
2. Disability
3. Gender
4. Marriage and Civil Partnership
5. Pregnancy and Maternity
6. Race
7. Religion
8. Sex
9. Sexual Orientation

*\*For more information on the Equalities Act, please click [here](#).*

An EIA is carried out through formal and systematic analysis of proposed or existing policies, practices and budgetary processes. An EIA encourages a structured approach which establishes and records whether the policy affects different groups in different ways, and takes action to eliminate or minimise any negative impacts on the listed protected groups. EIA's can be a rigorous and lengthy process, however, in order to fully determine if a full EIA is needed, a short screening process must first be completed.

*\* To view the Equality Impact Assessment guidance notes, please click [here](#)*

### **Equality Screening Process.**

A screening process will help you in identifying any potential inequalities on the listed protected groups, and will identify feedback and further guidance on how any improvements can be made. A screening process will introduce a level of accountability in in terms of assessing the impact on equality and will identify the priority level for undertaking an EIA.

## Screening Form

<b>Service Area Contact Information:</b> <i>(Please complete all fields)</i>				
<b><u>Name of Person Completing Form:</u></b> Mary Welch	<b><u>Department/Service Area:</u></b> Provider services- Adult Services / Policy	<b><u>Service Manager:</u></b> Mary Welch	<b><u>Date:</u></b> 25/10/2019	
<b><u>Contact Details:</u></b> Mary.welch@blaenau-gwent.gov.uk		<b><u>Name of Proposed Policy:</u></b> Assisted Transport Policy		
<b><u>Policy Information</u></b>				
<b><u>Are you screening for the Equality Impact on a new policy, or an existing policy:</u></b> <b><u>Existing Policy</u></b>		<b><u>Comments:</u></b> This brief screening exercise is specifically to identify potential adverse impacts on any protected groups in relation to the changes within the Assisted Transport Policy future services model.		
<b><u>What are the aims and objectives of the policy:</u></b> <p>The aim of the policy is to consider potential recommendations in relation to anticipated changes within the Assisted Transport Policy within the context of a future services delivery model.</p> <p>The policy has looked at more creative and effective ways of delivering an effective assisted transport service while also meeting the needs of the current client group and the wider community. This report is also developed in anticipation of any financial efficiency savings.</p> <p>In addition the policy aims to promote greater flexibility in accessing services and encourage independence for those citizens who are accessing the Community Options services</p>				
<b><u>Assessment of Impact of the Policy / Practice on Protected Characteristics</u></b>				
<b>Protected Characteristic</b>	<b>Positive Impact</b>	<b>Negative Impact</b>	<b>Neutral Impact</b>	<b>Provide reasons and any mitigation required</b>
Age (children, young people, the elderly)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The report indicates a number of positive comments from citizens on how the changes in Assisted Transport has had on the way they access services, although some people have disagreed with the ethos of the policy.

Disability	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Potential negative impact – The proposal within the report may have adverse effects on people accessing the service, all of which are considered to have a disability. The extent of this impact will need to be considered Mitigation of these potential impacts will be considered during the equality impact assessment process following the outcome of the report
Gender	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Marriage and Civil Partnership	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Pregnancy and Maternity	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Race	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Religion and Belief	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Sex (male, female)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.
Sexual Orientation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Click here to enter text.

#### **Additional Indicators of Equality Risk**

Please indicate if you believe this policy / practice has a high, medium or low risk as follows (1 = No Impact; 2 = Minimal Impact; 3 = Potential Impact; 4 = Likely Impact; 5 = Inequality Impact)

The policy present a low risk of any inequality

Children and / or young people up to the age of 18	No Inequality	Please indicate any planned methods aimed at mitigating these risks Click here to enter text.
The dynamics of a given community	Minimal Inequality	Please indicate any planned methods aimed at mitigating these risks This will be explored during the equality impact assessment process

#### **Meeting the Equality Objectives**

Please indicate below if / how your proposal will contribute to the Equality Objectives

Objective 1 – Making equality vital in decision making and service provision	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Through the inclusion of the client group / participants through the formal assessment process, should the decision be in favour of a charging policy.
Objective 2 – Be an equal opportunity employer, with a workforce that is aware of and understands the equality agenda	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Not applicable
Objective 3 – Do our best to engage, protect and support those people in our community that need it the most	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable
Objective 4 – Promote understanding and acceptance of diversity within our communities	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	As above.
<b><u>Evidence and Consultation</u></b>			
Have you undertaken any consultation and engagement activities with protected groups in order to mitigate any risks of discrimination	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	<p><i>Please describe the engagement activities undertaken as part of this process</i></p> <p>Individual assessments have been undertaken by Social Workers / Support Workers independently of the Community Options staff team using the principles of Social Services and Wellbeing (Wales) Act 2014 - A partnership approach has been developed within the consultation process with the inclusion of third sector organisations, advocacy groups etc – In addition Consultation, engagement and participation are evidenced throughout this report and attached documents</p>

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# Agenda Item 11

*Executive Committee and Council only*

Date signed off by the Monitoring Officer: 14.01.20

Date signed off by the Section 151 Officer:

Committee: **Executive Committee**

Date of meeting: **29<sup>th</sup> January 2020**

Report Subject: **Regional Partnership Update**

Portfolio Holder: **Cllr John Mason, Executive Member Social Services**

Report Submitted by: **Damien McCann, Corporate Director of Social Services**

Reporting Pathway								
Directorate Management Team	Corporate Leadership Team	Portfolio Holder / Chair	Audit Committee	Democratic Services Committee	Scrutiny Committee	Executive Committee	Council	Other (please state)
12.12.19	17.12.19	13.01.20			13.01.19	29.01.20		

## 1. Purpose of the Report

- 1.1 The purpose of the Report is to update the Executive on the work and decisions taken over the last 6 months by the Regional Partnership Board, developed under statutory guidance Part 9 of the Social Services and Wellbeing (Wales) Act 2014 (SSWB Act).

## 2. Scope and Background

- 2.1 The SSWB Act came into force in April 2016, Part 9 of the Act sets out statutory requirement for a Regional Partnership Board (RPB), along with the required minimum membership and a regional Citizen Panel, regional Provider Forum and regional Leadership Group (senior officer group) which have all been established.
- 2.3 The Regional Partnership Boards has been established on current local health board footprints – so the Gwent regional partnership board includes Aneurin Bevan University Health Board (ABUHB) and Blaenau Gwent, Caerphilly, Monmouthshire, Newport and Torfaen local authorities.
- 2.4 The regulations also set out required membership of Regional Partnership Boards. The Executive member in each local authority, with responsibility for health and social care, sits on the Regional Partnership Board. In a similar vein, non-executive members of the Aneurin Bevan University Health Board also sit on the Regional Partnership Board.
- 2.5 The Regional Partnership Board is an advisory body, that does not take away the existing responsibilities of the individual statutory bodies, but it is expected to take oversight of and provide direction to, any areas of integrated working across health and social care.
- 2.6 Social Services Scrutiny Committee on the 13<sup>th</sup> March 2017 agreed for the Executive Member of Social Services and Director of Social Services to

report back to Scrutiny Committee on a quarterly basis. This approach was endorsed by Executive Committee on the 15<sup>th</sup> March 2017.

- 2.7 The Regional Partnership Board has met on one occasions since the last report to Scrutiny Committee on the 17<sup>th</sup> October 2019. There were a number of areas which have occupied the Regional Partnership Board at this meeting. Firstly, the Transformational Offer which sets out the proposals of the Gwent Regional Partnership Board, to support the continued development of a '*seamless system*' of care, support and wellbeing in Gwent, in response to the Welsh Government's new long term plan for health and social care 'A Healthier Wales'. Secondly, the Integrated Care Revenue and Capital Fund which has considered the reallocation of underspend on current proposals. Thirdly, it has considered the Integrated Winter Plan for 2019/20. Finally, the Regional Partnership Board has an update on the Bevan Commission and Social Care Wales work to help develop future models of services across the Boards area.
- 2.8 Executive may recall from previous reports that the Regional Partnership Board had successfully developed and submitted a 'Gwent transformational offer' to Welsh Government which was approved and granted the Gwent RPB £13.4 million of new limited funding over a two-year period. Members may also recall that this was in response to 'A Healthier Wales' which set out a new £100 million transformational programme. It has the expectation that transformational change delivers a 'seamless system of health and social care'. The new plan emphasises the role of the Regional Partnership Boards in setting the strategic change agenda and providing the collaborative leadership required to deliver system change through the 'Area Plan'. The new transformation fund is intended to provide additional funding to catalyse 'whole system change', driven through the Regional Partnership Boards with an expectation that each region develops a 'transformational offer'.
- 2.9 The offer in Gwent related to four areas, derived from the priority areas within the Area Plan and the considered directions of the Regional Partnership Board. These include:
- The development of early intervention and prevention services (Integrated Wellbeing Networks);
  - The development of primary and community care services (Compassionate Communities);
  - The redesign of child and adolescent emotional and mental health services (Iceberg model);
  - The development of an integrated 'Home First' discharge model;
  - The development of workforce planning and organisational development to underpin transformational activity.
- 2.10 A summary of the different projects was previously provided at the 24<sup>th</sup> January 2019 and 17<sup>th</sup> October Scrutiny Committee but is attached again at Appendix 1 to remind Members of the projects.

- 2.11 The transformation programme continues to deliver the agreed priorities at pace, given the extremely short and testing time frame provided by Welsh Government of 2 years. Compassionate Communities are now dealing with on average 120 patients per month. Work is underway to track outcomes and build a profile of 'patient experience' to demonstrate the impact and effect Compassionate Communities is having on patients. The Integrated Wellbeing Networks held a seminar at national primary care conference demonstrating the importance of improved wellbeing on demand for and access to primary and community services. Wellbeing offers are now under development and are a core element of 'Building a Healthier Gwent'.
- 2.12 Homefirst has been successfully implemented using a 'Trusted Assessors' model allowing patient to return home as soon as possible with support before they are assessed in their own home. HomeFirst has dealt with over 1000 patients and are now scoping out what an integrated model could look like to include all four recognised discharge pathways.
- 2.13 The Iceberg model continues to be successfully implemented a 'Single Point of Access'(SPA) for GP's and Families and feedback is that it is making a considerable difference in improving access, making families feel more supported and able to access the system more easily. They have started to activate additional capacity in schools to deliver the 'whole schools' approach as the next part of the system and enabling access through the SPA.
- 2.14 All four programmes have commissioned the evaluation of the models, with the Institute of Public Care being successful for place based care, integrated wellbeing networks and Homefirst and Cedar Associates are leading the evaluation of the ICEBERG model.
- 2.15 One of the difficulties with the Transformation funding continues to be recruitment to specialist posts, with staff reluctant to leave existing posts for a short term funded post. This has been fed back to Welsh Government and hopefully will be taken on board for future funding streams.
- 2.16 The Regional Partnership Board is the body who sign off and agree the Integrated Care Fund revenue and capital proposals. We do not intend to go into detail of the funding obtained and the bids made as they were presented at Scrutiny Committee on the 17<sup>th</sup> October 2019. Local authorities across Gwent had requested that any slippage funding within existing projects be used for Children with Complex Needs to meet demand for the remainder of this year. Regional Leadership Group endorsed the approach for any ICF revenue slippage to be utilised for Children with Complex Needs. Quarter 2 reporting has provided an updated slippage figure of £450,000, requested to be shared on an equal basis with all Local Authorities in Gwent which has resulted in an additional £90K for Blaenau Gwent.
- 2.17 Winter Planning is an integral part of the Health and Social Care system responsibility and this year's plan has been developed, in line with Welsh

Government guidance, with all partners within the Regional Partnership Board (RPB) who have agreed to recommend a number of the activities in order to support patients within the Gwent area. The plan has been developed with colleagues across the Health Board and partners in Social Care, third sector and Welsh Ambulance Service. The RPB signed off and agreed to submit the RPB Winter plan to the Welsh Government.

- 2.18 The key themes within the Welsh Government's guidance for the use of the £3.252 million funding provided to the Regional Partnership Board was:
- Optimising cross organisational and sector working to support resilience;
  - Urgent Primary Care / out of hour's resilience;
  - Preventing Unnecessary Conveyance and Admission to Hospital;
  - Discharge to Assess/Recover;
  - Community Step Down Capacity;
  - An enhanced focus on the respiratory pathway;
  - An enhanced focus on the frailty pathway.
- 2.19 Blaenau Gwent bid for and obtained £133K funding to flexibly spot purchase step up/step down beds, to purchase additional domiciliary care packages, to purchase additional social work capacity over bank holidays and weekends and funding for hiring an additional 4x4 vehicle for adverse weather conditions. There were also some separate bids for additional health capacity locally and some Gwent wide bids for equipment e.g. single handed hoists.
- 2.20 One of the challenges for this year is medical, social care and nurse staffing to enable the increase in the Health Board's bed capacity and enhance community services. The current staffing gaps and high numbers of unfilled nursing posts presents a real risk to effective delivery on this part of the Winter Plan.
- 2.21 To enable the RPB to oversee service transformation and the successful delivery of 'A healthier Wales' development sessions are held on a regular basis to support members to build trust, enable critical challenge and scrutiny. To help the Board to continue this they have mandated a programme of organisational development to be facilitated by the Bevan Commission and Social Care Wales to co-create with the RPB an options paper to set out potential options for future service delivery models, which will be effective and sustainable in the Gwent context. The final meeting is set for the 10<sup>th</sup> January 2020 after which the Bevan Commission and Social Care Wales will provide a report of the possible future service delivery models for Gwent.

### 3. **Options for Recommendation**

This report will be considered at the Social Services Scrutiny committee meeting on the 13<sup>th</sup> January 2020 and any comments/recommendations will be provided verbally to the Executive.

3.1 The options for consideration are:

Option 1 – to support the decisions of the Regional Partnership Board:

Option 2 – to propose an alternative approach in relation to the options taken by Regional Partnership Board.

4. **Evidence of how does this topic supports the achievement of the Corporate Plan / Statutory Responsibilities / Blaenau Gwent Well-being Plan**

4.1 The report is fulfilling our statutory functions under the Social Services and Wellbeing Act 2014 and accompanying regulations.

4.2 The report is also fulfilling a number of the Social Services aims within the Corporate Plan:

- To improve accessibility, provision of information and advice to enable people to support their own wellbeing;
- To intervene early to prevent problems from becoming greater;
- To work with our partners including Aneurin Bevan Health Board and neighbouring authorities to deliver integrated responsive care and support;
- To promote and facilitate new ways of delivering health and social care involving key partners and our communities.

4.3 A number of the areas being progressed by the Regional Partnership Board will support us to achieve two of the Wellbeing Plan objectives:

- The best start in life for everyone;
- To encourage and enable people to make healthy lifestyle choices in the place that they live, learn, work and play.

5. **Implications Against Each Option**

Option 1 - The Regional Partnership Board have statutory responsibilities laid out within the Social Services and Wellbeing Act 2014 and Ministers expect to see the Regional Partnership Boards, maturing into a vehicle for more integrated commissioning, transformation and improvement across health and social care, with an emphasis on providing more care closer to home, maintaining independence and reducing unnecessary hospital admissions. Therefore, by implementing the Gwent transformation offer the Regional Partnership Board are complying with the legislation and Ministerial expectations.

Option 1 – Welsh Government have also determined that the use of the Integrated Care Capital and Revenue Fund, the new Transformational fund and new Integrated Winter Planning fund which will have oversight by the Regional Partnership Board. All these funding sources are to assist with the integration of health and social care across the Gwent Regional footprint. It is essential that the Regional Partnership Board has oversight of funding

proposals and subsequent use of these funding streams, and have approved the proposals before they were submitted and agreed by Welsh Government.

Option 2 – The Regional Partnership Board are made up of a number of organisations who collectively make decision for the good of the region. If Scrutiny were to recommend alternative approaches, then it would need the support of neighbouring authorities and the health board to obtain consensus. Failure to do this would mean being in breach of legislation and could result in Welsh Government intervention. Whilst failure to comply with the requirements of the Integrated Care Capital Fund and Revenue Fund, Transformational fund and Integrated Winter Planning fund could result in the withdrawal or ability to receive funding for the Blaenau Gwent and Gwent region respectively.

#### **5.1 *Impact on Budget (short and long term impact)***

There are no immediate impacts on the budget, the Integrated Care Capital Fund is provided on an annual basis to fund innovative projects within Health and Social Care until the term of the current Welsh Government. The transformation fund is to develop new models of transformation at pace and has been agreed for a two-year period. These are all additional funding coming into the system.

The Integrated Care Capital and Revenue Fund provides significant investment to develop a number of projects across Blaenau Gwent and Gwent as a whole and should this funding be removed at the end of the Government's time in the Assembly then this may have significant consequences going forward. In addition, the transformation fund is a time limited fund intended to replace or reconfigure existing services not adding an extra layer; therefore, there is an expectation that these models will be funded from core budgets going forward.

#### **5.2 *Risk including Mitigating Actions***

#### **5.3 *Legal***

The Legal department becomes involved in considering and advising on any legal agreements before signing such as the Section 33 Agreement.

#### **5.4 *Human Resources***

The Gwent Transformational Team support senior officers and elected members to deliver and implement the priorities of the Regional Partnership Board. In addition, they administer the Regional Partnership Board and Leadership Group. The Gwent Transformation Team is hosted by Torfaen County Borough Council.

## 6. **Supporting Evidence**

### 6.1 ***Performance Information and Data***

Not applicable.

### 6.2 ***Expected outcome for the public***

The role of the Regional Partnership Board is to ensure more seamless provision of health and social care services across Gwent.

### 6.3 ***Involvement (consultation, engagement, participation)***

The Regional Partnership Board has involvement from the third sector and representation from the Citizens Panel to ensure engagement and involvement in its direction.

### 6.4 ***Thinking for the Long term (forward planning)***

The Regional Partnership Board provides the opportunity to work collectively and have a long term vision to progress integration and partnership across the Gwent region.

### 6.5 ***Preventative focus***

The Regional Partnership Board within its strategic intent statement will be considering how Health and Social Services can work together in a more preventative way.

### 6.6 ***Collaboration / partnership working***

The role the Regional Partnership Board is to work collaboratively across boundaries to benefit citizens requiring health and social care services across Gwent.

### 6.7 ***Integration (across service areas)***

The purpose of the Regional Partnership Board is to foster integration between Health and Social Services.

## 7. **Monitoring Arrangements**

- 7.1 The monitoring arrangements for the Regional Partnership Board are through the Social Services Scrutiny Committee and the Executive Committee on a quarterly basis.

### **Background Documents /Electronic Links**

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